

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ERDMANN, CHARLES E.	2. Court or Organization U S COURT OF APPEALS - ARMED FORCES	3. Date of Report 07/25/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOCIATE JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address C/O LOPACH & CARPARELLI, PC 863 GREAT NORTHERN BOULEVARD, SUITE 202 HELENA, MT 59601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

ERDMANN, CHARLES E.

Date of Report

07/25/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

STATE OF MONTANA RETIREMENT INCOME

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

ERDMANN, CHARLES E.

Date of Report

07/25/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

ERDMANN, CHARLES E.

Date of Report

07/25/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GENWORTH FINANCIAL ANNUITY	A	Interest	J	T	Buy (add'l)	12/31/16	J		
2. NORTHWESTERN MUTUAL LIFE INS - CASH VALUE OF POLICIES	C	Dividend	J	T	Buy (add'l)	12/31/16	J		
3. CAPITAL ONE BANK - CHECKING & SAVINGS	A	Interest	J	T	Distributed (part)	12/31/16	J		
4. OPPORTUNITY BANK CHECKING & SAVINGS	A	Interest	J	T	Buy (add'l)	12/31/16	J		
5. SUNTRUST BANK CHECKING AND SAVINGS	A	Int./Div.	K	T	Open	03/13/16	K		
6. CHARLES SCHWAB IRA									
7. - SCHWAB MM CASH	A	Int./Div.	J	T					
8. - AMERICAN CENTURY EQUITY GROWTH FUND	B	Dividend	K	T	Buy (add'l)	12/13/16	J		
9. - HARDING LOEVNER EMERGING MARKETS FUND	A	Dividend	J	T	Buy (add'l)	12/16/16	J		
10. - PERKINS MID CAP VALUE FUND	C	Dividend	L	T	Buy (add'l)	12/21/16	J		
11. - UMB SCOUT INTERNATIONAL FUND	B	Dividend	J	T	Buy (add'l)	12/16/16	J		
12. U S TRUST IRA									
13. - U S TRUST IRA - MONEY MARKET ACCOUNT	A	Int./Div.	J	T	Buy (add'l)	12/21/16	J		
14. - BERKSHIRE HATHAWAY INC CL B	A	Dividend	L	T					
15. - COLUMBIA CONTRARIAN FUND	A	Dividend	K	T	Sold (part)	06/02/16	J	A	
16. - COLUMBIA SELECT LARGE CAP GROWTH FUND	B	Dividend	K	T	Buy (add'l)	06/20/16	J		
17.					Sold (part)	06/02/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	12/12/16	J		
19. - ISHARES SELECT DIVIDEND ETF	A	Dividend	K	T	Open	04/28/16	K		
20. - ISHARES CORE S&P MID CAP ETF	A	Dividend	J	T	Sold (part)	04/28/16	J	A	
21.					Buy (add'l)	06/06/16	J		
22. - JANUS INVESTMENTS ENTERPRISE FUND	A	Dividend	J	T	Sold (part)	06/02/16	J	A	
23. - ISHARES RUSSELL 2000 ETF	A	Dividend	J	T	Sold (part)	04/28/16	J	A	
24.					Sold (part)	06/06/16	J	A	
25.					Buy (add'l)	09/09/16	J		
26.					Sold (part)	09/19/16	J		
27.					Buy (add'l)	10/31/16	J		
28.					Buy (add'l)	12/20/16	J		
29. - ISHARES RUSSELL 2000 VALUE ETF	A	Dividend	J	T	Open	12/20/16	J		
30. - TOUCHSTONE SMALL CAP GROWTH FUND	A	Dividend			Sold (part)	06/02/16	J	A	
31.					Sold (part)	09/15/16	J	A	
32.					Closed	10/27/16	J	A	
33. - DBX TRACKERS MSCI EAFE HEDGED EQUITY FUND					Closed	04/28/16	J	A	
34. - ISHARES TR MSCI EAFE MIN VOL INDEX FUND	A	Dividend			Buy	04/28/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold (part)	06/06/16	J	A	
36.					Closed	12/13/16	K		
37. - OPPENHEIMER INTL GROWTH FUND	A	Dividend	J	T	Sold (part)	06/02/16	J	A	
38. - VANGUARD FTSE DEVELOPED MARKETS ETF	A	Dividend	K	T	Sold (part)	04/28/16	K	A	
39.					Buy (add'l)	12/13/16	K		
40. - LAZARD EMERGING MKTS EQUITY PORTFOLIO					Sold (part)	02/11/16	J		
41. - VANGUARD FTSE EMERGING MARKETS ETF	A	Dividend	K	T	Sold (part)	06/06/16	J	A	
42.					Buy (add'l)	12/20/16	J		
43. - PRUDENTIAL SHORT-TERM CORP BOND FUND	A	Dividend			Closed	06/02/16	K	A	
44. - METRO WEST T/R BOND CL 1	A	Dividend	K	T	Buy (add'l)	06/02/16	J		
45. - SPDR BARCLAYS SHORT TERM CORP BD ETF	A	Dividend	K	T	Open	06/06/16	J		
46. - FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND	A	Dividend	J	T					
47. - BLACKROCK GLOBAL LONG/SHORT FUND	A	Dividend	J	T					
48. - GOLDMAN SACHS ABSOLUTE RETURN TRACKER FUND	A	Dividend	J	T					
49. - ROBECO BOSTON PARTNERS LONG/ SHORT FUND	A	Dividend	K	T					
50. - PRUDENTIAL GLOBAL REAL ESTATE FUND	A	Dividend	K	T					
51. - VANGUARD REIT ETF	A	Dividend	J	T	Buy	06/06/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

ERDMANN, CHARLES E.

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07/25/2017

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52. - ISHARES GOLD TRUST	A	Dividend	J	T	Buy (add'l)	06/06/16	J		
53.					Sold (part)	12/20/16	J	A	
54. U S TRUST JOINT AGENCY ACCOUNT									
55. - BANK OF AMERICA MONEY MARKET FUND	A	Int./Div.	J	T	Buy (add'l)	12/21/16	J		
56. - COLUMBIA DIVIDEND INCOME FUND	B	Dividend	K	T					
57. - COLUMBIA SELECT LARGE CAP GROWTH FUND	A	Dividend	J	T	Sold (part)	04/26/16	J	A	
58.					Buy (add'l)	12/12/16	J		
59. - ISHARES SELECT DIVIDEND ETF	A	Dividend	J	T	Open	04/28/16	J		
60. - JANUS ENTERPRISE FUND	A	Dividend	J	T	Sold (part)	04/26/16	J	B	
61.					Sold (part)	06/02/16	J	B	
62. - ISHARES RUSSELL 2000 ETF	A	Dividend	J	T					
63. - TOUCHSTONE SMALL CAP GROWTH FUND	A	Dividend			Sold (part)	04/26/16	J	A	
64.					Sold (part)	06/02/16	J	A	
65.					Closed	10/27/16	J	A	
66. - ISHARES EDGE MSCI MIN VOL EAFE ETF	A	Dividend			Open	04/28/16	J		
67.					Closed	12/13/16	J	A	
68. - OPPENHEIMER INTL GROWTH FUND	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

ERDMANN, CHARLES E.

Date of Report

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - VANGUARD FTSE DEVELOPED MARKETS FUND	A	Dividend	J	T	Sold (part)	04/28/16	J	A	
70.					Buy (add'l)	12/13/16	J		
71. - COLUMBIA SHORT TERM MUNICIPAL BOND FUND	A	Dividend	J	T					
72. - FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

ERDMANN, CHARLES E.

Date of Report

07/25/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

ERDMANN, CHARLES E.

Date of Report

07/25/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CHARLES E. ERDMANN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544