

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dunn, Randall L.	2. Court or Organization U.S. Bankruptcy Court, OR	3. Date of Report 05/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States Bankruptcy Court 1001 S.W. 5th Av., #700 Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. National Conference of
Bankruptcy Judges10/07/2014 -
10/11/2014

Chicago, IL

NCBJ Annual Conference
- activity of professional
association or civic
associationPrivately funded by: National Conference
of Bankruptcy Judges - 5 days

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IBM (IRA)	A	Dividend			Sold	02/27/14	J	C	
2. GAIAM		None	J	T					
3. Schwab Money Market	A	Dividend	J	T					
4. Schwab Money Market Fund (IRA)	A	Dividend	J	T					
5. First Eagle Overseas Fund, fka Sogen Overseas Fund (IRA)	A	Dividend	J	T					
6. Biosolar, Inc.		None	J	T					
7. Spider Gold Trust (IRA)		None	J	T					
8. Schwab Fundamental U.S. - Large (IRA) SFLNX	A	Dividend	K	T					
9. Schwab Fundamental U.S. - Small (IRA) SFSNX	A	Dividend	J	T					
10. Consolidated Edison (IRA) ED	A	Dividend	K	T					
11. Spider Gold Trust		None	J	T					
12. Wells Fargo (Savings Account)	A	Interest	J	T					
13. Honeywell	A	Dividend	J	T					
14. Boeing (IRA)	A	Dividend	J	T					
15. Diana Container Ship-DCIX (IRA)		None	J	T					
16. Verizon-VZ (IRA)	A	Dividend	K	T					
17. Schwab Funda. Emer - SFENX (IRA)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Schwab Funda. Int'l - SFNNX (IRA)	A	Dividend	J	T					
19. I Shares CoreTotal - AGG (IRA)	A	Dividend	K	T					
20. Apple	A	Dividend	J	T					
21. HAIN (IRA)		None	J	T					
22. WY (IRA)	A	Dividend	J	T					
23. Vanguard Emer Mkt VWO (IRA)	A	Dividend			Sold	09/30/14	J	A	
24. I Shares Index Fund EFA (IRA)	A	Dividend	J	T					
25. SPDR Consumer Fund XLY (IRA)	A	Dividend			Sold	04/22/14	J	A	
26. I Shares EAFE Fund Index EFA (IRA)	A	Dividend			Sold	07/21/14	J	A	
27. KLA Tencor KLAC (IRA)	A	Dividend			Sold	07/25/14	J	A	
28. Johnson Controls JCI	A	Dividend			Sold	12/17/14	J	B	
29. ONVO		None	J	T	Sold (part)	01/22/14	J	A	
30. TUP	A	Dividend			Sold	07/18/14	J	B	
31. WDC	A	Dividend			Sold	07/15/14	J	B	
32. BHIB (IRA)		None	J	T					
33. LMT (IRA)	A	Dividend			Sold	03/26/14	J	B	
34. PCLN (IRA)		None			Sold	07/02/14	J	B	

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35. SNA (IRA)	A	Dividend	J	T					
36. TKR (IRA)		None			Sold	01/08/14	J	B	
37. DDD (IRA)		None			Sold	05/02/14	J	B	
38. DFRTX (IRA)	A	Dividend			Sold	12/18/14	J	A	
39. GOBIX (IRA)	A	Dividend	J	T					
40. PFSIX (IRA)	A	Dividend			Sold	12/18/14	J	A	
41. GSRLX (IRA)	A	Dividend	K	T					
42. MAPTX (IRA)	A	Dividend	J	T					
43. 1 Shares Russell Growth (IWP) (IRA)	A	Dividend			Sold	01/06/14	J	A	
44. VIG (IRA)	A	Dividend	K	T					
45. GOOGL		None	J	T	Buy	07/02/14	J		
46. QRVO		None	J	T	Buy	01/02/14	J		
47. WEC	A	Dividend	J	T	Buy	07/31/14	J		
48. DIS	A	Dividend	J	T	Buy	12/17/14	J		
49. MTUM (IRA)	A	Dividend	J	T	Buy	04/22/14	J		
50. LCRIX (IRA)	A	Dividend	J	T	Buy	07/21/14	J		
51. IVW (IRA)	A	Dividend	K	T	Buy	11/04/14	K		

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52. PMDRX (IRA)	A	Dividend	J	T	Buy	12/19/14	J		
53. PTUIX (IRA)	A	Dividend	J	T	Buy	12/19/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Randall L. Dunn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544