

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carey, Kevin J.	2. Court or Organization United States Bankruptcy Court for the District of Delaware	3. Date of Report 05/14/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States Bankruptcy Court for the District of Delaware 824 North Market Street, 5th Floor Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executor	Estate #1
2.	Member, Board of Directors	American Bankruptcy Institute
3.	Member, Board of Trustees, Executive Board, President	Turnaround Management Association
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	Matthew Bender & Company, Inc. (Lexis/Nexis) Contribution Author to Collier Forms Manual	\$10,000.00
2. 2014	Temple University Beasley School of Law (teaching)	\$5,778.00
3. 2014	St. John's University (teaching)	\$7,000.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Turnaround Management Association	January 15-17, 2014	Chicago, IL	Board Meeting Attendee	Airfare, Lodging, Meals, Local Transportation, Parking
2. Eastern District of Pennsylvania Bankruptcy Conference	January 23-24, 2014	Atlantic City, NJ	Annual Forum Attendee	Lodging, Meals, Mileage, Tolls, Parking
3. Turnaround Management Association	January 27, 2014	New York, NY	New York Chapter Program Participant	Amtrak, Parking
4. New York Institute of Credit/Association of Insolvency and Restructuring Advisors	January 30, 2014	New York, NY	Bankruptcy and restructuring Event Panelist	Amtrak, Parking, Program Fee (including meals)

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5.	Turnaround Management Association	February 4-7, 2014	Las Vegas, NV	Distressed Investing Conference (panelist and Board of Trustees meeting)	Airfare, Lodging, Meals, Local Transportation, Parking, Program Fee
6.	Turnaround Management Association	February 8-9, 2014	Bozeman, Montana	Certification Oversight Committee	Airfare, Lodging, Airport Parking
7.	American Bankruptcy Institute	February 10-12, 2014	Irving, TX	Bankruptcy Commission Report Attendee	Airfare, Baggage Fees, Lodging, Meals, Local Transportation, Parking
8.	National Conference of Bankruptcy Judges	March 25-27, 2014	Washington, DC	Legislative Committee Capitol Hill Visits (Participant)	Lodging, Meals, Local Transportation, Mileage, Tolls
9.	New York Institute of Credit/ Association of Insolvency and Restructuring Advisors	March 27, 2014	Villanova, PA	Views from the Bench Panelist	Reception
10.	National Conference of Bankruptcy Judges	April 6-8, 2014	Kansas City, MO	Mid-Year Meeting (Attendee and Committee Member)	Airfare, Lodging, Meals, Local Transportation, Parking, Tips
11.	St. John's Law School	April 11-12, 2014	Queens, NY	LLM Class (Adjunct Instructor)	Amtrak, Lodging, Meals, Parking, Tips
12.	American Bankruptcy Institute	April 24-27, 2014	Washington, DC	Spring Meeting (Board Member and Panelist)	Lodging, Meals, Parking, Mileage, Tolls, Program Fee
13.	Judicial Conference of the United States	April 28, 2014	Washington, DC	Space & Facilities Rent Management Subcommittee Meeting (Committee Member)	Lodging, Meals, Parking, Mileage, Tolls, tips
14.	Turnaround Management Association	May 5, 2014	Boston, MA	TMA Boston Chapter Gala (Attendee)	Airfare, Baggage Fee, Lodging, Meals, Local Transportation, Parking
15.	Turnaround Management Association	May 6-9, 2014	Chicago, IL	Senate (Panelist; Board of Trustees Meeting)	Airfare, Baggage Fees, Lodging, Meals, Local Transportation, Parking, Program Fee
16.	American Bankruptcy Institute	May 15, 2014	New York, NY	16th Annual New York City Bankruptcy Conference (Panelist)	Amtrak, Local Transportation, Parking
17.	Association of Insolvency and Restructuring Advisors	June 4-7, 2014	Denver, CO	30th Annual Bankruptcy and Restructuring Conference (Panelist)	Airfare, Baggage Fees, Lodging, Parking, Program Fee (including meals)
18.	Judicial Conference of the United States	June 8-10, 2014	Nashville, TN	Space & Facilities Meeting (Committee Member)	Airfare, Lodging, Meals, Local Transportation, Parking
19.	Turnaround Management Association	June 11-15-2014	Munich, Germany	TMA European Conference (Speaker)	Airfare, Lodging, Baggage Fees, Parking, Program Fee (including meals)
20.	Turnaround Management Association	June 24-25, 2014	Chicago, IL	Management Meeting (Participant)	Airfare, Lodging, Meals, Local Transportation, Parking
21.	Turnaround Management Association	July 9-11, 2014	Dana Point, CA	Western Regional Conference (Panelist)	Airfare, Lodging, Meals, Local Transportation, Program Fee (including meals)
22.	American Bankruptcy Institute	August 13-15, 2014	Maui, HI	Hawaii Conference (Panelist)	Airfare, Lodging, Parking, Program Fee (including meals)

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23.	Judicial Conference of the United States	August 17-19, 2014	Chicago, IL	Space & Facilities Meeting (Committee Member)	Airfare, Lodging, Meals, Local Transportation, Parking, Tips
24.	Turnaround Management Association	September 3-5, 2014	New York, NY	Nominating Committee Meeting (Committee Member)	Amtrak, Lodging, Meals, Local Transportation
25.	Association of Insolvency and Restructuring Advisors	September 10, 2014	Philadelphia, PA	Annual Meeting Planning Committee (Committee Member)	Parking
26.	National Conference of Bankruptcy Judges	September 16-17, 2014	Washington, DC	Legislative Committee Capitol Hill Visits (Participant)	Lodging, Meals, Local Transportation, Mileage, Tolls, Parking
27.	St. John's University	September 18-19, 2014	Queens, NY	LLM Class (Adjunct Professor)	Amtrak, Lodging, Meals, Parking, Tips
28.	Turnaround Management Association	September 28-October 2, 2014	Toronto, Ontario	Annual Meeting	Airfare, Baggage Fees, Lodging, Meals, Local Transportation, Parking, Program Fee (including meals)
29.	National Conference of Bankruptcy Judges	October 7-11, 2014	Chicago, IL	Annual Meeting	Airfare, Lodging, Meals, Local Transportation, Parking
30.	American Bankruptcy Institute	October 24, 2014	Georgetown, DC	Views from the Bench (Panelist)	Mileage, Tolls, Parking
31.	Turnaround Management Association	October 27-29, 2014	New York, NY	Executive Board Meetings (Board Member)	Amtrak, Lodging, Meals, Local Transportation, Parking
32.	Pennsylvania Bar Institute	October 30, 2014	Philadelphia, PA	Annual Bankruptcy Institute (Panelist)	Parking
33.	Turnaround Management Association	November 10-14, 2014	London, United Kingdom	UK Conference (Speaker and Attendee)	Airfare, Baggage Fees, Lodging, Meals, Local Transportation, Program Fee (including meals)
34.	Association of Insolvency and Restructuring Advisors	November 17, 2014	New York, NY	13th Annual Advanced Restructuring and Plan of Reorganization Conference (Panelist)	Amtrak, Parking, Program Fee (including lunch)
35.	Turnaround Management Association	December 13-16, 2014	New York, NY	NextGen Leadership Retreat (Attendee)	Amtrak, Lodging, Meals, Local Transportation, Parking
36.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	FIA Card Services (formerly Wachovia)	Line of Credit	J
2.	Citibank VISA	Credit Card	K
3.	American Express Optima	Credit Card	K
4.	Barclaycard (formerly Bank of America - USAir)	Credit Card	K
5.	American Express	Credit Card	J
6.	TD Bank	Credit Card	J
7.	Sears	Credit Card	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. [REDACTED] 401(k)- Investments Trustee directed	C	Dividend	L	T					
2. Estate #1-PNC Bank (cash in bank account)		None	J	T					
3. PNC Bank Accounts	A	Interest	J	T					
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin J. Carey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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