

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Feinerman, Gary S.	2. Court or Organization Northern District of Illinois	3. Date of Report 03/2/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Court, N.D. Ill. 219 South Dearborn Street, 21st Floor Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Committee	Constitutional Rights Foundation Chicago
2. Executor/Administrator	Estate [REDACTED]
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2009	Sidley Austin LLP Retirement Plan, no control
2. 2007	Illinois State Employee Retirement System, no control
3. 2003	Mayer Brown LLP Retirement Plan, no control

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. American Constitution
Society

6/13/2013-6/14/2013

Washington, DC

Annual Convention (I
served on a panel)

Transportation, hotel

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1	G	Distribution	P2	T					
2. Trust #2	A	Distribution	P1	T					
3. Trust #3	G	Distribution	P2	T					
4. Illinois State Retirement System		None	K	T					
5. State of Illinois Deferred Compensation Plan									
6. -- Vanguard Inst Index Fund Plus		None	K	T					
7. -- Wellington Diversified Growth		None	K	T					
8. -- Lord Abbott Lg Cp Core Stra Sep		None	K	T					
9. -- TRP Bond Trust 1 T1		None	K	T					
10. -- Vanguard Prime Money Market Fund		None	J	T					
11. Mayer Brown LLP USA Savings Plan									
12. -- Cavanal Hill Cash Mgmt 1		None			Sold	10/31/13	K		
13. -- Vanguard Prime Money Market Instl		None	K	T	Buy	10/31/13	K		
14. -- Dodge & Cox Stock		None	L	T					
15. -- Vanguard Inst'l Index		None	K	T					
16. -- Prudential Jenn Small Company Q		None	K	T					
17. -- EuroPacific Growth R6		None	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Mayer Brown LLP USA Retirement Plan		None			Sold	04/09/13	L		
19. Merrill Lynch Medical Savings Account		None	J	T					
20. Sidley Austin Cash Balance Plan		None	M	T					
21. Sidley Austin LLP Savings and Retirement Plans									
22. -- Harbor Capital Appreciation Fund		None	K	T					
23. -- Vanguard Equity-Income Adm		None	K	T					
24. -- Rainier Small/Mid Cap Equity Instl		None			Sold	09/03/13	K		
25. -- Vanguard Extended Market Idx I		None	K	T	Buy	09/03/13	K		
26. -- Harbor Intl Instl		None	K	T					
27. -- PIMCO Total Return Instl		None	K	T					
28. -- SSGA Govt Short Term		None	K	T					
29. -- PIMCO All Asset Instl		None	K	T					
30. -- Firm Directed (specific to the Sidley Plan)		None	K	W					
31. Citibank	A	Interest	M	T					
32. JPMorgan Chase Bank	A	Interest	K	T					
33. Camp Ojibwa (X)	A	Dividend	K	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust #1, Trust #2, and Trust #3 are trusts, of which [REDACTED] is a beneficiary, over which [REDACTED] I have control or particular knowledge of the assets, other than partial ownership in a date farm/ranch.

The Estate [REDACTED] has no reportable assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gary S. Feinerman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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