

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DiClerico, Joseph A.	2. Court or Organization United States District Court District of New Hampshire	3. Date of Report 05/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2018 to 12/31/2018
7. Chambers or Office Address 55 Pleasant Street, Room 400 Concord, NH 03301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust I, Trust II, and Trust IV
2.	Trustee	Mayhew Program
3.	Trustee and Secretary	Ausbon Sargent Land Preservation Trust
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Office of President of University of Vermont	5/19/18 - 5/21/18	Burlington, VT	Spouse's college roommate received honorary degree	hotel, meals, parking
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Dodds Family	Use of boathouse to dock boat (See Part VIII)	\$2,500.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1 (H)									
2. -Sh. Com. Coca-Cola Co.	A	Dividend	J	T					
3. -Sh. Com. General Electric	A	Dividend	J	T					
4. -Goldman Sachs Bank Dep. Sweep Prg.	A	Interest	J	T					
5.									
6. IRA Brokerage Acct #2 (H)									
7. -Goldman Sachs Bank Dep. Sweep Prg.	A	Interest	J	T					
8. -Fidelity Money Market Premium Cl.	A	Dividend	J	T	Open	05/11/18	J		
9. -FPA New Income	A	Dividend	J	T					
10. -IVA Worldwid Fnd Cl 1	A	Dividend	J	T					
11. -PIMCO Inc. Fnd. Inst. Fnd	A	Dividend	J	T					
12. -Primecap Odyssey Growth Fnd	A	Dividend	J	T					
13. -American Europacific Growth Fnd CL F2	A	Dividend	J	T					
14. -Ishares Core S&P Small Cap ETF	A	Dividend	J	T					
15. American New Perspective CLF 2	A	Dividend	J	T					
16. -Oppenheimer Dev. Markets Class Y	A	Dividend	J	T					
17. -Vanguard BD Index FD Inc Total Bd Mkt ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Vanguard FTSE All-WLD Ex-US ETF	A	Dividend	J	T					
19. -Vanguard Index S&P 500 ETF	A	Dividend	J	T					
20.									
21. IRA Brokerage Acct. #3 (H)									
22. -Fidelity Money Market Prem. CL	A	Dividend	J	T	Open	05/11/18	J		
23. -Goldman Sachs Bank Dep Sweep Prg.	A	Interest	J	T					
24. -PIMCO Income Fnd Instit Fd	A	Dividend	J	T					
25. -IVA Worldwide Fnd CL1	B	Dividend	J	T					
26. -Primecap Odyssey Growth Fd	A	Dividend	J	T					
27. -American Europacific Growth Fnd CL F2	A	Dividend	J	T					
28. -Ishares Core S&P Small Cap ETF	A	Dividend	J	T					
29. -American New Perspective CL F2	A	Dividend	J	T					
30. -Oppenheimer Dev. Markets Class y	A	Dividend	J	T					
31. -Vanguard Bd. Index Fd Total Bd Market ETF (BND)	A	Dividend	J	T					
32. -Vanguard FTSE All-WLD Ex-US ETF (VEU)	A	Dividend	J	T					
33. -Vanguard Index S&P 500 ETF (VOO)	A	Dividend	J	T					
34. -PIMCO All Asset FND	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Vanguard Fixed Inc. Secs Fd Inter-Term Invst. Grade Fd-Admiral (VFDIX)	A	Dividend	J	T					
36.									
37. Trust I (H)									
38. -Fidelity Money Market Prem. CL	A	Dividend	M	T	Open	05/11/18	J		
39. -Goldman Sachs Bank Sweep Deposit Prg.	A	Interest	J	T					
40. -Manchester NH Redev. Auth - Zero Cop. Bnd 1/1/20		None	K	T	Buy	08/08/18	K		
41. -American Internat'l Growth & Income F2	A	Dividend	K	T	Buy	12/11/18	K		
42. -Vanguard Index FDS Vanguard Total Stk Mkt ETF (VTI)	A	Dividend	M	T	Buy	12/11/18	M		
43. -Vanguard Limited Term Tax Exempt Admiral Shs (VMLUX)	A	Dividend	L	T	Buy	03/14/18	L		
44. -Dodge & Cox FDS Int'l Stk FD		None			Sold	12/07/18	M		
45. -FPA New Income Inc.	A	Dividend	L	T	Buy	12/15/18	K		
46. -IVA Worldwide Fund CL I	D	Dividend	M	T					
47. -Wells Fargo Adv Asset Alloc FD		None			Sold	03/13/18	L	E	
48. -Oppenheimer Dev. Mkts. CL y	A	Dividend	L	T					
49. -FPA FDSTR Crescent Portfolio	D	Dividend	M	T					
50. -Wells Fargo FDS Trust Absolute Ret FD		None			Buy	03/14/18	L		
51.					Sold	12/07/18	L		

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52. -JP Morgan TRI Strategic Income OPPTY	A	Dividend			Sold	03/13/18	L		
53. -Vanguard Mun BD FD Inter Term Tax Exempt FDA Admiral Shs. (VWIUX)	A	Dividend	L	T	Buy	12/10/18	K		
54.									
55. Trust II (H) (Account closed 8/31/18)									
56. -Wells Fargo Adv. Bk. Dep. Sweep Prg.	A	Interest			Closed	08/08/18	L		
57. -Manchester NH Redev. Auth. - Zero Coup. Bnd 1/1/20		None			Sold	08/01/18	K	A	See Part VIII
58.									
59. Truste III (H)									
60. -Goldman Sachs Bk. Dep. Sweep Prg.	A	Interest	J	T					
61. -Fidelity Money Market Premium CL	A	Dividend	M	T	Open	05/11/18	J		
62. -Sh. Com. Community Bancorp	C	Dividend	L	T					
63. -Sh. Com. Bar Harbor Bankshares	A	Dividend	K	T					
64. -American Funds Capital World Growth & Inc. Fnd	C	Dividend	K	T					
65. -Dodge & Cox FDS Int'l Stk FD	B	Dividend	K	T					
66. -American Funds Int'l Growth & Income FD	B	Dividend	M	T	Buy	12/11/18	L		
67. -FPA New Income Inc.	B	Dividend	L	T					
68. -American Funds Fundamental Invs. Inc. Class F-2	D	Dividend	L	T					

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69. -IVA Worldwide Fund	D	Dividend	M	T					
70. -American Funds Inc. New World	C	Dividend	M	T					
71. -Wells Fargo Adv. Asset Alloc FD		None			Sold	03/15/18	L	D	
72. -PIMCO All Asset Funds Inst. CL	C	Dividend			Buy	03/14/18	L		
73.					Sold	12/10/18	M		
74. -FPA FDS TR FPA Crescent Portfolio Instl.	E	Dividend	M	T					
75. -Vanguard Specialized Portfolios Dividend Growth FD (VDIGX)	D	Dividend	M	T					
76. -American Tax Exempt Bnd. of Am. F2	A	Dividend	K	T					
77. -Vanguard FTSE All-World Ex-US ETF	B	Dividend			Sold	12/11/18	L		
78. -Vanguard Mun. Bd Fd Inc Tax Exempt Bd (VTEB)	C	Dividend	M	T					
79. -Vanguard Index S&P 500 ETF (VOO)	B	Dividend	L	T					
80.									
81. TRUST IV (H)									
82. -Fidelity Money Market Premium CL	A	Dividend	N	T	Open	05/11/18	J		
83. Goldman Sachs Bank Dep. Sweep Prg.	A	Interest	J	T					
84. -Sh. Com. Community Bancorp	C	Dividend	L	T					
85. -Bar Harbor Bankshares	B	Dividend	K	T					

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86. American Europacific Growth CL A	B	Dividend			Sold	12/10/18	K		
87. -American Mutual FD Inc. CL A	C	Dividend	L	T					
88. -American Funds International Growth & Income Class A	A	Dividend	K	T	Buy	12/10/18	K		
89. -American Funds International Growth and Income F2	A	Dividend	M	T	Buy	12/11/18	M		
90. -American Fund Fundamental Investors Inc. CL A	E	Dividend	M	T					
91. -American Fund New World Fund CL A	B	Dividend	L	T					
92. -Artisan FDS Inc. International Value Fd	D	Dividend			Sold	12/10/18	M		
93. -Dodge and Cox FDS Int'l Stk FD	D	Dividend	M	T	Buy	03/14/18	L		
94. -IVA Worldwide Fund	E	Dividend	M	T					
95. -Wells Fargo FDS Trust WFA Absolute Return Fnd.	C	Dividend	L	T					
96. -Wells Fargo Advan. FDS-Asset Alloc Fd		None			Sold (part)	01/16/18	K	C	
97.					Sold	03/15/18	M	E	
98. -Oppenheimer Dev. MKTS Class Y	B	Dividend	M	T	Buy	03/15/18	K		
99. -PIMCO All Asset Fnd.	C	Dividend			Sold	12/10/18	M		
100. -FPA FDS TR FPA Crescent Portfolio	E	Dividend	M	T					
101. -Vanguard Int'l Equity Index Fund Inc Total World Stk Index Fund	B	Dividend			Buy	03/18/18	L		
102.					Sold	12/11/18	L		

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103. -Vanguard Index Fnds Vanguard Total Stk Mkt ETF (VTI)	A	Dividend	L	T	Buy	12/11/18	L		
104. -Primecap Odyssey Stock Fund	D	Dividend	N	T					
105.									
106. TRUST V (H)									
107. -Goldman Sachs Bank Dep. Sweep Prg.	A	Interest	J	T					
108. -Fidelity Money Market Premium CL	A	Dividend	M	T	Open	06/05/18	K		
109. -Primecap Odyssey Growth Fund	C	Dividend	M	T	Buy	05/31/18	M		
110. -Ishares Core S&P Small Cap ETF	B	Dividend			Buy	05/31/18	M		
111.					Sold	12/31/18	L		
112. -Dodge & Cox Global Stock Fund		None	L	T	Buy	12/31/18	K		
113. -Vanguard Index FDS Vanguard Small Caps Vipers		None	M	T	Buy	12/31/18	L		
114. -Sh. Com. Exxon Mobil	C	Dividend	L	T					
115. -Sh. Com. General Electric	A	Dividend	J	T					
116. -IVA Worldwide Fund CL1	E	Dividend	M	T					
117. -Wells Fargo FDS Trust Absolute Return Fnd.	B	Dividend			Sold	12/31/18	K		
118. -PIMCO All Asset All Auth.	A	Dividend			Sold	05/31/18	L		
119. -FPA Crescent	D	Dividend	M	T	Sold (part)	05/31/18	M	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -IVA International FD CL1	D	Dividend	L	T					
121. -Vanguard Mun BD FD Inter Term Tax Ex. FD (Admiral) (VWIUX)	C	Dividend	M	T					
122.									
123. TRUST VI (H)									
124. -Goldman Sachs Bank Dep. Sweep Prg.	A	Interest	J	T					
125. -American Balanced Fund	D	Dividend	M	T					
126. -American Funds - American Mutual Fund CLA	E	Dividend	N	T					
127. -American Funds: International Growth and Income Fund	D	Dividend	M	T					
128. -American Funds: Fundamental Investors CLA	E	Dividend	M	T					
129. -American Funds: New World	C	Dividend	M	T					
130. -American Europacific Growth FD	C	Dividend	L	T					
131.									
132. TRUST VII (H)									
133. -Goldman Sachs Bank Dep. Sweep Prg.	A	Interest	K	T					
134. -American Funds: International Growth and Income Fund CLA	B	Dividend	L	T					
135. -American Funds: New World Fund CLA Funds:	B	Dividend	L	T					
136.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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DiClerico, Joseph A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. TRUST VIII (H)									
138. -Fidelity Money Market Premium CL	A	Dividend	K	T	Open	06/05/18	L		
139. -Goldman Sachs Bank Dep. Sweep Prg.	A	Interest	K	T					
140. -Dodge & Cox Stk. FD	D	Dividend	L	T					
141. -IVA Worldwide FD CL1	E	Dividend	M	T					
142. -Wells Fargo Absolute Return FD	A	Dividend	K	T					
143. -Oppenheimer Dev. Mkts. CL Y	A	Dividend	K	T					
144. -Pimco All Asset FD	D	Dividend	M	T					
145. -FPA Crescent	D	Dividend	M	T	Sold (part)	06/01/18	K	C	
146. -Vanguard Mun BD Fd Inter Term Tax Exempt FD Admiral Shs. (VWIUX)	B	Dividend	L	T					
147. -Vanguard Mun BD FD Limited Term Tax Exempt FD Admiral Shs. (VMLUX)	A	Dividend	K	T	Sold (part)	06/01/18	K		
148. -Vanguard Dividend Growth Investor CL (VDIGX)	B	Dividend	K	T					
149.									
150. Miscellaneous (H)									
151. -Ledyard Bank Accounts	A	Interest	M	T					
152. -Phoenix Mutual Life Ins. Whole Life Insurance	A	Dividend	K	T					
153. -Williams College Charitable Gift Annuity		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART V Gifts

Page 3, line 1 - Estimate value

PART VII Investments and Trusts

Page 7, line 57 - This asset was transferred into the Trust 1 account. See line 40.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joseph A. DiClerico

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544