

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harwell, Robert B.	2. Court or Organization U.S. District Court of S.C.	3. Date of Report 08/17/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 401 West Evans Street McMillan Federal Bldg Florence, SC 29501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Officer/Director	██████████ Farms and Investment, Inc.
2. Personal Representative / Executor	Estate ██████████
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 12/31/15	South Carolina Judges - Solicitors Retirement System Survivors Annuity (named beneficiary of monthly survivor annuity from deceased father)	\$4,865.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Charles Schwab "Accounts"	A	Interest	M	T					
2. Wells Fargo & Co Common Stock (and former Wachovia Bank Stock)	A	Dividend	J	T					
3. Autoliv Inc. Common Stock	A	Dividend	J	T					
4. The Bank of Clarendon "Account"	A	Interest	M	T					
5. South State Bank "Accounts"	A	Interest	N	T					See note #1
6. Anderson Brothers Bank "Account"	A	Interest	M	T					
7. Conway National Bank "Account"	A	Interest	M	T					
8. Carolina Bank "Account"	A	Interest	M	T					
9. Bank of America "Account" (Y)	A	Interest			Closed	08/21/15	M	A	See note #1
10. BB&T Bank "Accounts"	A	Interest	N	T					
11. Farms & Investment 12 1/2% 12/31/04 compiled \$31M	A	Dividend	K	U					
12. PCDG, LLC (10%) 1998 & 2000 \$32,000		None	K	R					
13. Charles Schwab									See note #2
14. -Charles Schwab Cash Fund									
15. Charles Schwab IRA#1	A	Interest	N	T					
16. -Charles Schwab Cash Fund									
17. Charles Schwab IRA#2	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 P1 = \$1,000,001 - \$5,000,000	L = \$50,001 - \$100,000 P2 = \$5,000,001 - \$25,000,000	M = \$100,001 - \$250,000 P4 = More than \$50,000,000
3. Value Method Codes	N = \$250,001 - \$500,000 (See Column C2)	P3 = \$250,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Charles Schwab cash fund									
19. Trust #1 (Y)	A	Interest			Matured	02/19/15	M	E	
20. -Cash Fund-Charles Schwab									
21. -Vanguard short-term treasury									
22. Piedmont Mun Power Zero 1/1/15 (Y)					Matured	01/01/15	K	A	
23. Dimensional Fund Advisors Tax Man US Mktwde	A	Dividend	K	T					
24. Rental Prop Garden City, Beach, SC (2013, \$389,200)		None							Nonrental property
25. ██████████ & Sons Prop (Cherry Grove, SC) 50% (\$243,000)		None			Sold	09/04/15	M	G	William Turner
26. -on 2004 tax return) (Y)									
27. College Bound Fund #1, Alliance Bernstein Mutual Funds (Y)		None			Redeemed	12/10/15	K	A	
28. -CBF Appreciation Port Alt A									
29. College Bound Fund #2, Alliance Bernstein Mutual Funds (Y)		None			Redeemed	12/10/15	K	A	
30. -CBF Appreciation Port Alt A									
31. SC Future Scholar Plan #1, Age-Based Moderate Track		None	K	T					
32. SC Future Scholar Plan #2, Age-Based Moderate Track		None	K	T					
33. Claussen Farms, Florence, SC (1999 - \$125,000)		None	M	R					
34. SC Tuition Prepayment Program #1 (no control)		None	K	T	Redeemed (part)	01/01/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. SC Tuition Prepayment Program #2 (no control)		None	J	T	Redeemed (part)	01/01/15	J		
36. Wells Fargo Beneficiary IRA- First Clearing LLC (X)	B	Int./Div.	L	T	Open	11/01/15	L		See note #3
37. -Bank of America stock									
38. -BB&T stock									
39. -Duetsche Inv. Trust Core Equity Fund									
40. Edward Jones Beneficiary IRA (X)			M	T	Open	12/28/15	M		See Note #4
41. -Amcap Fund - Class A									
42. -Capital Income Builder Class A									
43. -Europacific Fund- Class A									
44. -Income Fund of America - Class A									
45. -New World Fund - Class A									
46. -Small Cap World Fund - Class A									
47. Estate #1 (X) (50%)									
48. -R & D Holdings, LLC (50%) \$114,664 Probate Inventory and Appraisement			M	V					
49. - A Services, LLC & ASG Services LLC (1.91%) Probate Inventory & Appra			J	V					
50. -Surf Golf and Beach Club 1 share \$8,000 Probate Inventory & Appraisem			J	V					
51. -Wells Fargo Securities Account	D	Int./Div.	P1	T					

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52. -Wells Fargo accounts									
53. -BB&T Corp Common Stock									
54. -Toronto Dominion Bank Common Stock									
55. -Bank of America Common Stock									
56. -American Express Co. Common Stock									
57. -Ameriprise Financial Inc. Common Stock									
58. -Chevron Corp. Common Stock									
59. -Corning Inc. Common Stock									
60. -Fannie Mae Common Stock									
61. -Home Depot Inc. Common Stock									
62. -Medtronic PLC Common Stock									
63. -Target Corp Common Stock									
64. -Toronto Dominion Bank Common Stock									
65. -Deutsche Invst TR Core Equity FD Class S									
66. -High 50 Dividend Strategy Series 15-3Q CA									
67. -Wells Fargo Managed Brokerage Account			N	T					See note #5
68. -Bank of America Accounts			M	T					

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69.									
70.									
71.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note #1 South State Bank purchased certain Bank of America Branches. All South State Bank accounts are listed #5.

Note #2 Erroneous duplication of line #1

Note #3 Named beneficiary of deceased Father's IRA. Not an estate asset

Note #4 Names beneficiary of deceased Father's 401 and 457 Plans

Note #5 Part VII, line 67 identifies a managed brokerage account at Wells Fargo Bank. This account is part of an estate that is in probate; therefore, the underlying assets held in this account have not been distributed. Because the assets are uncertain until they are distributed, they are not reportable, which is why I have not included a sub-listing of the underlying assets. I will include all of the distributed assets in my subsequent report(s).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert B. Harwell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544