

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moore, Kimberly A.	2. Court or Organization Federal Circuit	3. Date of Report 09/23/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S.C.A. Federal Circuit 717 Madison Place, NW Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Moore, Kimberly A.

Date of Report

09/23/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	West-Thomson, Book Royalties	\$5,887.90
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	attorney, self-employed, partner
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Federal Circuit Bar Association	6/19/2013 - 6/23/2013	Colorado Springs, CO	Educational activities exclusive of judicial education	Transportation, meals and lodging
2. Intellectual Property Section of the State Bar of Georgia	11/06/2013 - 11/10/2013	Montego Bay, Jamaica	Educational activities exclusive of judicial education / participant in IP Conference	Transportation, meals and lodging
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Moore, Kimberly A.

Date of Report

09/23/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citibank	Mortgage on rental property	N
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Moore, Kimberly A.

Date of Report

09/23/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property (2008 \$2,211,554.00)	G	Rent	P1	S					
2. Capital One Bank Accounts	A	Interest	N	T					
3. Citibank Account	A	Interest	O	T					
4. Northwestern Mutual Life Insurance	B	Dividend	J	T					
5. Northwestern Mutual Life Insurance	B	Dividend	J	T					
6. Berkshire Life Insurance	A	Dividend	J	T					
7.									
8. Charles Schwab Accounts A (H)									
9. -United Health Bonds	B	Interest	K	T					
10. -Anheuser Busch Bonds	C	Interest	L	T					
11. -Intersoll-RND	C	Interest	L	T					
12. -XTO Energy Inc	B	Interest	K	T					
13. -Vanguard GNMA	B	Dividend			Sold	10/18/13	L		
14. -Vanguard High Yield	D	Dividend	L	T					
15. -Pimco Dynamic Income	D	Dividend	M	T	Buy (add'l)	03/19/13	K		
16.					Buy (add'l)	03/19/13	J		
17.					Buy (add'l)	11/08/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Moore, Kimberly A.

Date of Report

09/23/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	12/23/13	J		
19.					Buy (add'l)	12/23/13	J		
20.					Buy (add'l)	12/23/13	K		
21.					Buy (add'l)	12/27/13	J		
22.					Buy (add'l)	12/27/13	J		
23.					Buy (add'l)	12/27/13	L		
24. -Radian Group Inc.		None			Sold (part)	03/12/13	K	E	
25.					Sold (part)	03/12/13	K	E	
26.					Sold	03/19/13	L	E	
27. -Pimco Exch Traded Fund	B	Dividend	M	T	Buy	03/12/13	J		
28.					Buy (add'l)	03/12/13	L		
29. -Schwab GNMA Fund		None			Buy	04/09/13	K		
30.					Sold	10/17/13	K		
31. -Schwab Target 2015 Fund		None			Buy	03/22/13	K		
32.					Sold	04/09/13	K		
33. -Direxion SHS Exch TRD	D	Dividend			Buy	10/24/13	L		
34.					Sold (part)	12/23/13	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Moore, Kimberly A.

Date of Report

09/23/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold	12/26/13	L	D	
36. Charles Schwab Accounts B (H)									
37. -Vanguard Developed Markets		None	J	T					
38. -Vanguard Total Stock Market	C	Dividend	N	T	Buy (add'l)	01/03/13	J		
39.					Buy (add'l)	04/16/13	L		
40. -Morley Stable Value Fund Net 20		None	M	T					
41. -T. Rowe Price Mid-Cap Growth	E	Dividend	N	T	Buy (add'l)	01/03/13	J		
42.					Buy (add'l)	04/16/13	L		
43. -Vanguard Institutional Index I	C	Dividend	M	T	Buy (add'l)	01/03/13	J		
44.					Buy (add'l)	04/16/13	L		
45. -Vanguard Total Bond	D	Int./Div.	N	T	Buy (add'l)	01/03/13	J		
46.					Buy (add'l)	04/16/13	L		
47. VA 529 Plans (H)									
48. -pre Paid	A	Int./Div.	M	T					
49. -in Vest Southside	C	Int./Div.	M	T	Buy (add'l)	04/18/13	J		
50. -in Vest Piedmont	A	Int./Div.	K	T	Buy (add'l)	04/18/13	J		
51. -in Vest Chesapeake*	A	Int./Div.	K	T	Buy	05/28/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Moore, Kimberly A.

Date of Report

09/23/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Amended report is being filed with corrections as requested in AO's letter of inquiry dated 9/17/2014

*Part VII, line 51 - in Vest Chesapeake was inadvertently omitted from original annual report dated and filed on 5/13/2014

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Moore, Kimberly A.

Date of Report

09/23/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kimberly A. Moore**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544