

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) REINHARD, PHILIP G.	2. Court or Organization NDIL - WESTERN DIVISION	3. Date of Report 05/04/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 327 SOUTH CHURCH STREET ROOM 6100 ROCKFORD, ILLINOIS 61101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	TRUSTEE	TRUST #1
2.	EXECUTOR	ESTATE #1 OF FAMILY MEMBER (Closed 7/10/2017)
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2017	Illinois Judges' Retirement System & Illinois Municipal Retirement Fund - Vested. Benefits began 1/12/1996.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Illinois Judges' Retirement System	\$81,265.08
2. 2017	Illinois Municipal Retirement Fund	\$15,895.42
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017-Int.	Substitute Teacher - Harlem Consolidated School District
2. 2017	Teachers Retirement System of the State of Illinois
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regal Beloit Corporation Common Stock - Trust #1	B	Dividend	M	T	Donated (part)				
2. EQTCorp,formerly listed as Equitable Resources Common Stock - Trust #1	A	Dividend	M	T	Buy (add'l)	03/07/17	J		
3.					Buy (add'l)	04/27/17	J		
4.					Buy (add'l)	06/19/17	J		
5. Vanguard - Index Total Stock Market Fund	D	Dividend	N	T	Buy (add'l)	01/04/17	J		
6. Vanguard - Index Total Stock Market Fund - Trust #1	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
7. E*Trade Clearing LLC Brokerage Account - Trust #1	A	Interest	L	T					
8. Vanguard Prime Money Market Fund	A	Dividend	M	T	Redeemed (part)	01/04/17	K		
9.					Redeemed (part)	05/01/17	K		
10.					Redeemed (part)	06/05/17	J		
11.					Buy (add'l)	06/02/17	J		
12.					Buy (add'l)	08/02/17	M		
13.					Buy (add'l)	08/30/17	J		
14. Vanguard Prime Money Market Fund - Trust #1	A	Dividend	K	T	Redeemed (part)	05/02/17	J		
15.					Buy (add'l)	06/05/17	J		
16.					Redeemed (part)	06/06/17	J		
17.					Buy (add'l)	08/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	08/31/17	J		
19. Gold - Krugerrands		None	M	T					
20. Johnson & Johnson Corp. Common Stock - Trust #1	B	Dividend	L	T					
21. MGE Energy Inc. Common Stock - Trust #1	B	Dividend	L	T					
22. 3M Corp. Common Stock - Trust #1	B	Dividend	M	T					
23. Vanguard Intermediate-term Tx. Muni bonds - Admiral	D	Interest	N	T					
24. Alpine Bank Financial Accounts	A	Interest	L	T					
25. Alpine Bank Financial Account - Trust #1	A	Interest	L	T					
26. Vanguard Intermediate-term Tx. Muni bonds - Inv - Trust #1	C	Interest	M	T	Buy (add'l)	01/05/17	J		
27.					Buy (add'l)	12/27/17	J		
28. Abraxas Pete, Common Stock - Trust #1		None	J	T					
29. Devon Energy Corp. Common Stock - Trust #1	A	Dividend	K	T	Buy (add'l)	06/16/17	J		
30. Ally Bank - Trust #1	C	Interest	M	T					
31. Ally Bank	C	Interest	M	T					
32. Energy Transfer Partners LP Units - Trust #1	A	Dividend	K	T	Buy (add'l)	07/24/17	J		
33.					Buy (add'l)	11/07/17	J		
34. Apple Inc., Common Stock - Trust #1	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Novartis AG Common Stock - Trust #1	A	Dividend	K	T					
36. Vanguard ST Invest. Grade Fund	B	Dividend	L	T	Sold (part)	12/21/17	K		
37. Vanguard ST Invest. Grade Fund - Trust #1	B	Dividend	L	T	Sold (part)	12/26/17	K		
38. Polaris Global Value Fund	A	Dividend	K	T					
39. T.Rowe Price Health Sciences Fund	B	Dividend	K	T					
40. Vanguard Capital Opportunity Fund	B	Dividend	L	T	Buy (add'l)	01/04/17	J		
41.					Buy (add'l)	12/26/17	J		
42. Vanguard Healthcare Fund	B	Dividend	K	T					
43. Market Vectors ETF Tr. Jr Gold Miners ETF Trust #1	A	Dividend	K	T	Buy (add'l)	04/25/17	J		
44.					Buy (add'l)	04/27/17	J		
45. Penumbra Inc., Common Stock Trust #1		None	L	T					
46. Brazil Resources Common Stock (now GoldMining Inc.) Trust #1		None	J	T	Buy (add'l)	03/02/17	J		
47.					Buy (add'l)	11/30/17	J		
48. Vanguard Federal Money Market Fund - Trust #1	A	Dividend	J	T	Redeemed (part)	01/05/17	K		
49.					Redeemed (part)	08/17/17	K		
50. Vanguard Dividend Growth Fund Investors Shares	A	Dividend	J	T					
51. Vanguard Prime Money Market Fund	A	Dividend	K	T	Redeemed (part)	07/31/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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52. (X) Penumbra Inc., Common Stock		None			Buy	07/31/17	K		
53.					Sold	10/02/17	K	B	
54. Ishares Nasdaq Biotechnology ETF	A	Dividend	K	T					
55. Energy Select Sector SPDR ETF	A	Dividend	J	T					
56. Vaneck Vectors Gold Miners ETF	A	Dividend	J	T					
57. Apple Inc., Common Stock	A	Dividend	L	T					
58. Brown-Forman Corporation Class B Common Stock	A	Dividend	J	T					
59. Facebook Inc., Class A Common Stock		None	K	T					
60. Netflix Inc., Common Stock	A	Dividend	J	T					
61. Tinka Resources Ltd., Common Stock		None	J	T					
62. AT & T Common Stock (2)	A	Dividend	J	T					
63. Facebook Inc., Class A Common Stock (2)		None	L	T					
64. General Electric Co. Common Stock (2)	A	Dividend	J	T					
65. Extended Insurance Sweep Deposit Acct. (2)	A	Dividend	K	T	Redeemed (part)	10/31/17	J		
66. (X) Apple, Inc., Common Stock (3)	A	Dividend	L	T					
67. (X) Facebook Inc., Class A Common Stock (3)		None	J	T					
68. (X) E-Trade Extended Insurance Sweep Acct. (3)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. ESTATE #1 OF FAMILY MEMBER									
70. Apple Inc., Common Stock (Y)									
71. Facebook Inc., Class A Common Stock (Y)									
72. Apline Bank Financial Acct.	A	Interest			Closed	07/20/17	M		
73. E-Trade Extended Insurance Sweep Acct. (Y)									
74. Biotime Inc.		None	J	T	Buy	06/19/17	J		
75.			J	T	Buy (add'l)	11/07/17	J		
76. Mount Tam Bio Tech.		None	J	T	Buy	03/02/17	J		
77.			J	T	Buy (add'l)	03/24/17	J		
78. Washington Prime Group New	A	Dividend	J	T	Buy	08/14/17	J		
79. CBL & Associates Properties	A	Dividend	J	T	Buy	08/14/17	J		
80. Vanguard Emerging Markets Stock Index Fund - Trust #1	A	Dividend	K	T	Buy	05/01/17	K		
81.					Buy (add'l)	06/05/17	J		
82.					Buy (add'l)	12/26/17	J		
83. Vanguard High Yield Tax Exempt Fund - Trust #1	A	Dividend	K	T	Buy	12/26/17	K		
84. Vanguard International Growth Fund - Trust #1	A	Dividend	K	T	Buy	01/04/17	J		
85.					Buy (add'l)	05/02/17	J		

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86.					Buy (add'l)	06/06/17	J		
87.					Buy (add'l)	12/27/17	J		
88. Vanguard Federal Money Market Fund	A	Dividend	J	T	Buy	08/01/17	J		
89.					Redeemed (part)	08/03/17	J		
90.					Buy (add'l)	10/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **PHILIP G. REINHARD**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544