

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) RAPOPORT, ARNOLD C.	2. Court or Organization EASTERN DISTRICT OF PA	3. Date of Report 05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. COURTHOUSE 504 W. HAMILTON ST. SUITE 3401 ALLENTOWN, PA 18101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. CO-TRUSTEE	PERPETUAL CHARITABLE TRUST-WACHOVIA BANK NA
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK ACCOUNT - EMBASSY BANK	A	Interest	K	T					
2. COMMON STOCK - EMBASSY BANCORP INC	A	Dividend	L	T					
3. CORP BOND - HARLEYSVILLE GP 5 3/4 7/15/13(IRA)	B	Interest			Sold	08/15/12	K	A	
4. PREFERRED STOCK-FIRST REPUBLIC CAP CORP PTD D (IRA)		None			Sold	04/26/12	K	A	
5. PREFERRED STOCK-ING GROUP NV 6.2 SER (IRA)	B	Dividend	K	T					
6. REAL ESTATE - ALLENTOWN, LEHIGH COUNTY		None	N	W					
7. REAL ESTATE - ALLENTOWN, LEHIGH COUNTY, PA		None	M	W					
8. PERPETUAL CHARITABLE TRUST, WACHOVIA BANK		None	P1	T					
9. CORP BOND - GMAC 6.875% 10/15/12 (IRA)		None			Sold	07/19/12	K	A	
10. CORP BOND - GE CAP CORP 5.1% 12/15/12 (IRA)	C	Interest	L	T					
11. MBNA CAP SER E CU 8.1% 2/15/33 (IRA)	B	Interest	K	T					
12. COMMON STOCK-ENERPLUS RES RD TR UT NEW	C	Dividend			Sold	12/31/12	L	A	
13. CORP BOND - D R HORTON INC 6.88% 5/01/13 (IRA)	C	Interest	L	T					
14. CORP BOND - SLM CORP SER CPI 6.81% 11/21/13 (IRA)		None	K	T					
15. PREF STOCK -MS SPQS (CNX) (IRA)		None			Sold	10/24/12	K	A	
16. COMMON STK - SPDR GOLD TRUST ETF GLD(IRA)		None	L	T	Buy (add'l)	10/17/12	J		
17. CD - SALLIE MAE BK CPN 3.05%(IRA)		None			Sold	06/15/12	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. MUTUAL FUNDS - AMANA MUTUAL FDS TR GROWTH FD(IRA)		None			Sold	12/15/12	L	A	
19. MUTUAL FUNDS - MORGAN STANLEY EMERGING MARKETS DOMESTIC(IRA)	C	Dividend	L	T					
20. CASH ACCOUNT - WELLS FARGO(WACHOVIA SECURITIES)	A	Interest	L	T					
21. COMMON STK-TECK COMINCO LTD CL B	A	Dividend			Sold	12/31/12	K	A	
22. COMMON STK - CIA SANEAMENTO BASICO		None	L	T	Sold (part)	02/17/12	K	D	
23. COMMON STOCK -CPFL ENERGIA SA-ADR	B	Dividend			Sold	09/25/12	K	D	
24. CORP BOND - GMAC CPN 6.75% - (IRA)	C	Interest	K	T					
25. COMMON STK-WHITING PETRO CORP		None	K	T					
26. COMMON STK-ISHARES MORNINGSTAR MID CORE INDEX FUND JKG(IRA)	B	Dividend	L	T					
27. COMMON STK - ATLANTIC POWER CORP ATLIF	B	Dividend	K	T					
28. COMMON STK - MORNINGSTAR MID CORE INDEX FD JKG	A	Dividend	K	T					
29. COMMON STK - SPDR GOLD TRUST ETF GLD		None	K	T	Sold (part)	06/13/12	J	B	
30. WELLS FARGO MONEY MARKET(TRUST)	A	Interest	M	T					
31. WELLS FARGO CASH ACCOUNT(TRUST)		None	J	T					
32. MUTUAL FUNDS-DODGE & COX INCOME FD(TRUST)	C	Dividend	O	T					
33. MUTUAL FUNDS-PIMCO FOREIGN BOND FD US DOLLAR(TRUST)	B	Dividend	N	T					

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34. MUTUAL FUNDS-PIONEER HIGH YIELD FD CL Y(TRUST)	D	Dividend	N	T					
35. MUTUAL FUNDS-VANGUARD INFLATION-PROTECTED SEC (TRUST)	A	Dividend	N	T					
36. MUTUAL FUNDS-DREYFUS THE BOSTON CO SM CAP GRWTH (TRUST)		None	N	T					
37. MUTUAL FUNDS-GOLDMAN SACHS LARGE CAP VALUE FD-(TRUST)	D	Dividend	N	T					
38. MUTUAL FUNDS-VANGUARD INSTITUTIONAL INDEX FD(TRUST)	C	Dividend	O	T					
39. MUTUAL FUNDS-CRM MID CAP VALUE FD INSTL CL(TRUST)		None	L	T					
40. MUTUAL FUNDS-WELLS FARGO ADVANTAGE ENTERPRISE FD(TRUST)		None	M	T					
41. MUTUAL FUNDS-DELAWARE POOLED TRUST(TRUST)	D	Dividend	M	T					
42. MUTUAL FUNDS-DODGE & COX INTL STOCK FD(TRUST)	C	Dividend	M	T					
43. MUTUAL FUNDS-ARTIO INTL EQUITY II-I(TRUST)	D	Distribution	N	T					
44. MUTUAL FUNDS-SSGA EMERGING MARKETS FUND CL S(TRUST)		None	N	T					
45. MUTUAL FUNDS-ISHARES RUSSELL MIDCAP INDEX FD(TRUST)	A	Distribution	N	T					
46. MUTUAL FUNDS-EII INTERNATIONAL PROPERTY (TRUST)	C	Dividend	M	T					
47. MUTUAL FUNDS-T ROWE PRICE REAL ESTATE FUND(TRUST)	C	Dividend	O	T					
48. COMMON STOCK - BANK MONTREAL QUEBEC BMO		None			Sold	01/03/12	K	B	
49. MUTUAL FUND - TEMPLETON FUNDS INCOME TR GLB BD ADVISOR	A	Dividend	J	T					
50. MUTUAL FUND - VAN ECK GLOBAL HARD ASSETS FD A		None			Sold	12/31/12	K	A	

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51. MUTUAL FUND - BLACKROCK REAL ASSET EQUITY TR	B	Dividend	K	T					
52. MUTUAL FUND - ING CLARION GLOBAL REAL ESTATE INCOME FUND	B	Dividend			Sold	12/31/12	K	A	
53. MUTUAL FUND - ING ASIA PACIFIC HIGH DIVIDEND EQUITY INCOME F		None			Sold	12/31/12	K	A	
54. COMMON STOCK - FIFTH STREET(IRA) FINANCE CORP		None			Sold	06/15/12	K	A	
55. COMMON STOCK - PPL CORPORATION(IRA)	B	Dividend	K	T					
56. MUTUAL FUND - INVESCO DEVELOPING MARKET FUNDS CL Y(IRA)	A	Dividend	L	T	Buy (add'l)	10/17/12	K		
57. MUTUAL FUND - EATON VANCE FLOATING RATE ADVISER SHS(IRA)	C	Dividend	L	T					
58. MUTUAL FUND - FAIRHOLME FDS INC	A	Dividend			Sold	12/31/12	L	A	
59. MUTUAL FUND - MAINSTAY FD HIGH YIELD CORP BD FD CL I(IRA)	D	Dividend	L	T	Buy (add'l)	08/30/12	J		
60. MUTUAL FUND - PRUDENTIAL GLOBAL REAL ESTATE FUND CL Z (IRA)	A	Dividend	K	T	Buy (add'l)	10/17/12	J		
61. MUTUAL FUND - TEMPLETON FUNDS INCOME TR GLB BD ADVSOR(IRA)	B	Dividend	K	T					
62. MUTUAL FUND - VAN ECK GLOBAL HARD ASSETS FD A(IRA)		None			Sold	06/15/12	K	A	
63. MUTUAL FUND - BLACKROCK CORP HIGH YIELD FD V(IRA)	B	Dividend	K	T					
64. MUTUAL FUND - HARBOR CAPITAL APRCTION(TRUST)	B	Dividend	O	T					
65. MUTUAL FUND - PIMCO COMMODITY REAL RET STRAT(TRUST)	A	Dividend	N	T					
66. MUTUAL FUND - PIMCO EMERG MKTS BD (TRUST)	C	Dividend	M	T					

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67. MUTUAL FUND - VANGUARD TOTL BD MARKET (TRUST)	B	Dividend	M	T					
68. MUTUAL FUND - WELLS FARGO ADV SM CP VL FD(Trust)		None	N	T					
69. WELLS FARGO CASH ACCOUNT(IRA)	A	Interest	L	T					
70. SANDRIDGE MISSISSIPPIAN TR 1 UNIT BEN INT SDT(IRA)	D	Dividend	L	T					
71. SANDRIDGE PERMIAN TRUST PER(IRA)		None	K	T					
72. MUTUAL FUND FIRSTHAND TECHNOLOGY OPPORTUNITIES FUND TEFQX(IR		None	L	T					
73. MUTUAL FUND JOHN HANCOCK INVT TR GLOBAL OPPTYS FD CLASS 1(IR		None	K	T					
74. MUTUAL FUND PRUDENTIAL INVT PORT JENNISON EQUITY INC FUND CL	A	Dividend	K	T	Buy (add'l)	10/17/12	J		
75. PREF FIRST NIAGARA 8.625% PFD FINCL NON CUM FIX TO FIT PERP	C	Dividend	L	T					
76. CORP BONDS GOLDMAN SACHS		None	L	T					
77. MUTUAL FUND PIMCO LOW DURATION(Trust)	C	Dividend	N	T					
78. COMMON STOCK - MARKWEST ENERGY PARTNERS LP(IRA)	B	Dividend	K	T	Buy	12/31/12	K		
79. MUTUAL FUNDS - COHEN & STEERS PFD SECS & INCOME FD INC	C	Dividend	L	T	Buy	07/10/12	L		
80. MUTUAL FUNDS - FIRST EAGLE FDS INC GLOBAL(IRA)	A	Dividend	K	T	Buy	08/30/12	K		
81. MUTUAL FUNDS - NATIXIS MUT FUNDS LOOMIS SAYLES CORE PLUS(IRA	B	Dividend	K	T	Buy	10/17/12	L		
82. MUTUAL FUNDS - VIRTUS OPPORTUNITIES MULTI SECTOR(IRA)	B	Dividend	L	T	Buy	05/22/12	L		

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83. MUTUAL FUNDS - PRICE T ROWE GLOBAL TECH FD INC SHS BEN(IRA)		None	K	T	Buy	03/26/12	L		
84. MUTUAL FUNDS - PRUDENTIAL SHORT-TERM CORPORATE BD FD INC(IRA)	C	Dividend	L	T	Buy	05/22/12	L		
85. MUTUAL FUNDS - ALGER FDS II SPECTRA FD CL I(IRA)		None	L	T	Buy	04/04/12	L		
86. PREFERRED STOCK - FIRST NIAGARA 8.625% PFD(IRA)	C	Dividend	L	T	Buy	01/05/12	L		
87. PREFERRED STOCK - MORGAN STANLEY 6.45% PFD CAP TRUST VII(IRA)	B	Distribution	K	T	Buy	07/15/12	J		
88. COMMON STOCK - AT&T INC	A	Dividend	K	T	Buy	01/01/12	K		
89. COMMON STOCK - COMCAST CORP NEW CL A	A	Dividend	K	T	Buy	07/10/12	K		
90. COMMON STOCK - GLASSMITHKLINE PLC-ADR	B	Dividend	K	T	Buy	01/02/12	K		
91. COMMON STOCK - GOLAR LNG PARTNERS LP UNIT LTD PARTNERSHIP IN	A	Dividend	J	T	Buy	02/17/12	J		
92. COMMON STOCK - ISHARES TR- DOW JONES US UTILS SECTOR INDEX F	A	Dividend	K	T	Buy	01/02/12	K		
93. COMMON STOCK - PLUM CREEK TIMBER CO INC DEP UNIT	B	Dividend	K	T	Buy	07/10/12	K		
94. COMMON STOCK - VODAFONE GROUP PLC SPONS ADR NEW VOD	B	Dividend	K	T	Buy	01/02/12	K		
95. MUTUAL FUND - INVESCO DEVELOPING MARKET FUNDS CL Y	A	Dividend	K	T	Buy	06/03/12	K		
96. MUTUAL FUND - FIRST EAGLE FDS INC GLOBAL FD CL I	A	Dividend	J	T	Buy	08/30/12	J		
97. MUTUAL FUND - FIRSTHAND TECHNOLOGY OPPORTUNITIES FUND		None	K	T	Buy	12/31/12	K		
98. MUTUAL FUND - LOOMIS SAYLES INVT TR BOND CL I	C	Dividend	L	T	Buy	12/31/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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RAPOPORT, ARNOLD C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
99. MUTUAL FUND - MAINSTAY FD HIGH YIELD CORPORATE BOND FD	C	Dividend	L	T	Buy	12/31/12	K		
100. MUTUAL FUND - OPPENHEIMER MULTI-ST MUN TR PA MUN CL Y	B	Dividend	K	T	Buy	12/31/12	K		
101. MUTUAL FUND - PRICE T ROWE GLOBAL TECH FD INC SHS BEN INT PR		None	K	T	Buy	06/13/12	K		
102. MUTUAL FUND - PRUDENTIAL GLOBAL REAL ESTATE FUND CL Z	A	Dividend	J	T	Buy	08/30/12	J		
103. MUTUAL FUND - ALGER FDS II SPECTRA FD CL I		None	K	T	Buy	06/13/12	K		
104. MUTUAL FUND - CBRE CLARION GLOBAL REAL ESTATE INCOME FUND	B	Dividend	K	T	Buy	12/31/12	K		
105. MUTUAL FUND - TORTOISE ENERGY FUND INC	C	Dividend	K	T	Buy	07/26/12	K		
106. PREFERRED STOCK - BANK OF AMERICA 8.625%	B	Dividend	K	T	Buy	12/31/12	K		
107. PREFERRED STOCK - ZIONS 8% CAP TR B	B	Dividend	K	T	Buy	12/31/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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RAPOPORT, ARNOLD C.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. PART VII REAL ESTATE INTERESTS ARE ALL LOCATED IN LEHIGH COUNTY.
2. THE REPORTING PARTY HAS NO CURRENT OR FUTURE FINANCIAL INTEREST IN THE ASSETS OF THE PERPETUAL CHARITABLE TRUST LISTED IN PART I. HOWEVER, THE REPORTING PARTY DOES HAVE THE ABILITY TO CONTROL THE PURCHASE, SALE, OR OTHER DISPOSITION OF THE ASSETS OF THE TRUST.

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Name of Person Reporting

RAPOPORT, ARNOLD C.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ARNOLD C. RAPOPORT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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