

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lungstrum, John W.	2. Court or Organization USDC, District of Kansas	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active - Senior on 11/02/2010)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 517 U.S. Courthouse 500 State Avenue Kansas City, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director and officer	Westward Ho, Inc. (corporation which owns residential property for personal use)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Liquid Asset Fund (Money Mkt)	A	Dividend	K	T	Deps. & W/ds				
2. Tucson AZ Wtr Dist. (Bond)	A	Interest	K	T					
3. College Station TX School District (Bond)	B	Interest	K	T					
4. Johnson Cty, KS Water Dist	A	Interest	J	T					
5. Eaton Vance Tax MGD Growth Fund (Mutual Fund)	A	Dividend	K	T					
6. Peoples Bank (CD)	A	Interest			Redeemed	12/11/11	J	A	
7. Lawrence KS GO (Bond)	A	Interest	J	T					
8. Johnson Co Wtr Dist (Bond)	B	Interest	K	T					
9. Derby GO (Bond)	B	Interest	K	T					
10. Kansas St Dev U (Bond)	A	Interest			Sold	09/01/11	J	A	
11. Johnson Co Pk & Rec (Bond)	A	Interest			Sold	04/01/11	J	A	
12. Coffeyville Electric Utility (Bond)	A	Interest	J	T					
13. Johnson Co Wtr Dist (Bond)	B	Interest	K	T					
14. EV Kansas Municipals (Mutual fund)	C	Interest	L	T					
15. Blackrock Res & Comm Strat TR (stock)	B	Interest	J	T	Buy	09/16/11	K		
16. Gabelli Div & Incm TR (stock)	B	Interest	K	T	Buy	09/16/11	K		
17. Tortoise MLP Fund Inc. (stock)	B	Interest	K	T	Buy	09/16/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. IRA	E	Interest	O	T					
19. -MSDW Liq. Asset (Money market fund)									
20. -Select Future Fund (Mutual Fund)									
21. -MSDW Real Estate Fund (Mutual Fund)					Sold	07/20/11	J	A	
22. -MSDW Real Estate Fund (Mutual Fund)					Sold	10/24/11	J	A	
23. -MSIF Small Cap Value Instl (Mutual Fund)					Sold	09/15/11	J	A	
24. -Goldman Sachs Group, Inc. (Bond)					Buy	09/15/11	J		
25. -General Motors Acceptance Corp. (Bond)					Sold	09/15/11	J	A	
26. -May Dept. Stores Co. (Note)									
27. -Lord Abbott Bond Deb C (Mutual Fund)									
28. -Invesco Commodities Strat A (Mutual Fund)									
29. -SBC Communications Inc. (Bond)									
30. -Oppenheimer Int'l Bond FD (Mutual Fund)									
31. -MS Natural Resource (Mutual Fund)					Sold	07/20/11	J	A	
32. -MS Charter Graham (Mutual Fund)									
33. -MS Charter Aspect L (Mutual Fund)									
34. -MS Spectrum Tech (Mutual Fund)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -MS Emerging Mkts Domestic Debt (Mutual Fund)									
36. -Van KMPN Dynamic CR OPP Fund (Mutual Fund)									
37. -H&Q Healthcare Fd (Mutual Fund)									
38. -Blackrock US Opportunities (Mutual Fund)									
39. -Blackrock Equity Div (Mutual Fund)									
40. -EV Large Cap Value A (Mutual Fund)					Sold	09/15/11	K	A	
41. -Henderson Int'l Opportunities (Mutual Fund)									
42. -Ivy Large Cap Grw A (Mutual Fund)					Sold	09/15/11	K	A	
43. -Jennison Nat'l Resources A (Mutual Fund)									
44. -Lazard Emerging Markets Open (Mutual Fund)									
45. -Nuveen Tradewinds Val Opp. A (Mutual Fund)									
46. -Oppenheimer Gold & Spec Min A (Mutual Fund)					Sold (part)	01/19/11	J	A	
47. -Oppenheimer Gold & Spec Min A (Mutual Fund)					Sold (part)	04/19/11	J	A	
48. -Thornburg Int'l Value A (Mutual Fund)									
49. -Van Kampen Small Cap Growth A (Mutual Fund)					Sold	08/12/11	K	A	
50. -Mainstay Large Cap Growth A (Mutual Fund)					Sold	09/15/11	K	A	
51. -Tortoise MLP (Mutual Fund)									

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52. -Alger Small Cap Growth Inst (Mutual Fund)					Buy	08/12/11	K		
53. -Nuveen GBL VLE OPPORT (mutual fund)					Buy	09/15/11	J		
54. -Aston/Montag & Caldwell GRW N (Mutual Fund)					Buy	09/15/11	L		
55. -Blackrock Equity Div A (mutual fund)					Buy	09/15/11	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

I have treated reinvestment programs as exempt because they merely represent a change in the form of the asset.

With regard to Line 1, I have not provided dates and value codes because the transactions are numerous, usually small and are the equivalent of bank deposits and withdrawals.

With regard to the IRA (line 16), I have only shown interest in Column B(2) because the computer program would permit listing only one type. However, dividends and capital gains were also realized by the IRA.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John W. Lungstrum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544