

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ellis-Monro, Barbara J.	2. Court or Organization U.S. Bankruptcy Court, Northern District of Georgia	3. Date of Report 10/14/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 75 Ted Turner Drive., SW, Rm. 1430 Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. June, 2012	Partner/employees of Ogier, Rothschild, Rosenfeld & Ellis-Monro, PC; collection of fees generated prior to departure, full payout to filer by 12/31/15*
2. May, 2010	Ellenberg, Ogier, Rothschild & Rosenfeld, PC PS Retirement Plan; 401k with former firm, no control
3. Jan. 2003	Smith, Gambrell & Russell, LLP Partner-Staff 401(k) PS Plan; 401k with former firm, no control
4. 1984	Sears Holding Pension Plan fka Kmart Pension Plan

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Ogier, Rothschild, Rosenfeld & Ellis-Monro, PC n/k/a Ogier, Rothschild & Rosenfeld, PC; accounts receivable collection;	\$50,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Turner Services, Inc. salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		school tuition for child	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Associated Credit Union Accounts	A	Int./Div.	M	T					
2. Northwestern Mutual Whole Life	C	Dividend	M	T					
3. Path 2 College 529 Plan - TIAA CREF Balanced Option	B	Dividend	K	T					
4. Brokerage Account #1 - Northwestern Mutual account (H)									
5. American Funds Inc Fnd of Amr A	A	Dividend	K	T					
6. Brokerage Money Market		None	J	T					
7. New Perspective Fund - A	A	Dividend	K	T					
8. The Bond Fund of Amercia - A	A	Interest	J	T					
9. The Growth Fund of America - A	A	Dividend	K	T					
10. The Investment Company of America - A	A	Dividend	K	T					
11. Washington Mutual Investors Fund - A	A	Dividend	K	T					
12. IRA #1 - Northwestern Mutual (H)									
13. Brokerage Money Market		None	J	T					
14. New Perspective Fund - A	A	Dividend	J	T					
15. The Growth Fund of America - A	A	Dividend	J	T					
16. The Investment Company of Amercia - A	A	Dividend	J	T					
17. Washington Mutual Investors Fund - A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #2 Northwestern Mutual IRA (H)									
19. American Funds Inc Fnd of Amr A	A	Dividend	J	T					
20. Brokerage Money Market		None	J	T					
21. New Perspective Fund -A	A	Dividend	J	T					
22. The Bond Fund of America - A	A	Interest	J	T					
23. The Investment Co. of America - A	A	Dividend	J	T					
24. Washington Mutual Investors Fund - A	A	Dividend	K	T					
25. The Growth Fund of America - A	A	Dividend	J	T					
26. Smith Gambrell & Russell LLP Partner Staff 401(k) (H)*	B	Dividend	N	T					
27. -DFA US Large Cap Value III	A	Dividend	L	T					
28. -Vanguard Growth Index Instl	A	Dividend	L	T					
29. -DFA US Targeted Value I	A	Dividend	L	T					
30. -Vanguard Mid-Cap Growth Index Inv	A	Dividend	K	T					
31. -Vanguard Small Cap Growth Index Inv	A	Dividend	K	T					
32. -DFA Emerging Markets Core Equity	A	Dividend	K	T					
33. -DFA Int'l Small Company I	A	Dividend	K	T					
34. -DFA Large Cap Int'l	A	Dividend	K	T					

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35. -DFA Int'l Real Estate Sec I	A	Dividend	K	T					
36. -DFA Real Estate Securities I	A	Dividend	K	T					
37. -DFA Five Year Global Fixed Income	A	Dividend	K	T					
38. -DFA Inflation - Protection Securities	A	Dividend	K	T					
39. -DFA One Year Fixed Income I	A	Dividend	K	T					
40. Time Warner Savings 401(k) (H)	A	Dividend	N	T					
41. -Manning & Napier Overseas Fund	A	Dividend	K	T					
42. -T. Rowe Price Large Cap Core Growth		None	L	T	Buy (add'l)	04/21/15	K		
43. -DFA Emrg Mkt Core Equity	A	Dividend	K	T					
44. -Fidelity Large Cap Value Fund		None	L	T	Sold (part)	04/21/15	J		
45. -Fidelity Bond Index		None	M	T	Sold (part)	04/21/15	K		
46. -Fidelity Int'l Index		None	K	T					
47. -Fidelity S&P 500 Index		None	K	T	Buy (add'l)	04/21/15	J		
48. -Fidelity Small Cap Index		None	K	T					
49. Ogier Rothschild Rosenfeld, PC 401k (H)*	D	Dividend	M						
50. -Vanguard Capital Oppty Fnd Admiral Shares	A	Dividend	N	T	Sold (part)	08/10/15	N		
51. -Vanguard Extended Market Index Fnd Admiral Shares	C	Dividend	M	T	Sold (part)	08/10/15	M		

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52.					Buy (add'l)	09/30/15	J		
53.					Buy (add'l)	12/29/15	J		
54. -Vanguard FTSE All-Wld ex-US Sm-Cap Index Investors Shares Fnd*	A	Dividend	L	T	Open	05/06/14	J		
55.					Buy (add'l)	06/09/14	J		
56.					Buy (add'l)	06/16/14	J		
57.					Buy (add'l)	09/04/14	J		
58.					Buy (add'l)	09/26/14	J		
59.					Buy (add'l)	02/23/15	J		
60.					Buy (add'l)	09/30/15	J		
61.					Buy (add'l)	12/29/15	J		
62. -Vanguard Global Equity Fnd	C	Dividend	M	T	Sold (part)	08/10/15	L		
63.					Buy (add'l)	12/29/15	J		
64. -Vanguard High-Yield Corp Fnd Admiral	D	Dividend	M	T	Sold (part)	08/10/15	L		
65.					Buy (add'l)	09/30/15	J		
66.					Buy (add'l)	12/29/15	J		
67. -Vanguard Int'l Growth Fnd Admiral Shares	C	Dividend	M	T	Sold (part)	08/10/15	L		
68.					Buy (add'l)	09/30/15	J		

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69.					Buy (add'l)	12/29/15	J		
70. -Vanguard Prime Money Market Fnd*	A	Dividend	M	T	Sold (part)	02/23/15	J		
71.					Sold (part)	09/14/15	K		
72.					Buy (add'l)	09/22/15	K		
73.					Sold (part)	09/28/15	J		
74.					Sold (part)	09/30/15	J		
75.					Buy (add'l)	10/23/15	J		
76.					Buy (add'l)	10/26/15	J		
77.					Buy (add'l)	11/06/15	M		
78.					Sold (part)	12/29/15	K		
79. -Vanguard REIT Index Fnd Admiral Shares	D	Dividend	N	T	Buy (add'l)	02/23/15	J		
80.					Buy	12/29/15	J		
81. -Vanguard Selected Value Fnd	C	Dividend	M	T	Sold (part)	08/10/15	M		
82.					Buy (add'l)	12/29/15	J		
83. -Vanguard US Growth Fnd Admiral Shares	B	Dividend	N	T	Sold (part)	08/10/15	M		
84.					Buy (add'l)	12/29/15	J		
85. -T. Rowe Price Dividend Growth Fund	D	Dividend	N	T					

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86. -T. Rowe Price New Horizons Fnd		None	O	T					
87. -T. Rowe Price Int'l Stock Fnd	B	Dividend	M	T					
88. Accounts Receivable Ogier, Rothschild & Rosenfeld, PC*					Distributed	10/15/15	K		
89. US Treasury Bonds	A	Interest	J	T					
90. Guardian Life Ins. Co. of America whole life	D	Dividend	L	T					
91. Sears Holding Pension Plan		None	J	W					
92. Vanguard SEP IRA (H)									
93. -Vanguard 500 Index Fund Admiral Shares	A	Dividend	J	T					
94. -Vanguard Total Int'l Stock Index Fund Investors Shares	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

II. Agreements:

Line 1: This agreement was fully paid in the fourth quarter of 2015 and has expired by its terms.

VII. Investments and Trusts:

Line 26: No funds have been put into this plan since 2008. Account statements for this account do not distinguish between dividends and gains so that it is not possible to identify the income portion of earnings versus the gain, thus I have disclosed all amounts classified as Dividend/Capital Gain.

Line 49: In my annual report filed June 13, 2106 I estimated my vested balance in the plan and stated that I would amend the report when I received the actual vested balance information from the plan administrator. I have now received the year end report from the administrator and the information provided is now actual as opposed to estimated. This is the only change to the June 13, 2016 report.

Line 54: The fund initially purchased this position on May 6, 2014. The purchase was inadvertently not included in my 2014 report. All transactions since purchase are included on this report.

Line 70: Plan participants contribute to the plan bi-month and high income participants maximize their contributions at the end of the year. These contributions are initially put into the money market fund. I have not included employee contributions into the money market fund on Part VII.

Line 88: All remaining amounts owed were paid on October 20, 2015. See also, Agreements line 1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara J. Ellis-Monro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544