

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FOX, JAMES C.	2. Court or Organization U.S. DISTRICT COURT, NC	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address UNITED STATES DISTRICT COURT P.O. BOX 2143 WILMINGTON, NC 28402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TESTAMENTARY LIMITED POWER OF APPOINTMENT	TRUST # 1
2. TESTAMENTARY LIMITED POWER OF APPOINTMENT	TRUST # 2
3. TRUSTEE	FOUNDATION # 1
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA # 2	A	Dividend	J	T					
2. - AUTOMATIC DATA PROCESSING INC COMM					Sold (part)	12/15/11	J		
3. - MEDTRONIC COMM									
4. - MICROSOFT CORP COMM									
5. - PFIZER COMM									
6. - WELLS FARGO ADVISORS BANK DEPOSIT SWEEP M/M									
7. IRA # 1	B	Dividend	N	T					
8. - AMAZON COM INC COMM					Buy	01/20/11	K		
9.					Buy (add'l)	02/17/11	K		
10.					Sold	07/08/11	L	D	
11. - GENERAL ELECTRIC CAP CORP NOTE BOND					Sold	02/17/11	K		
12. - GREENHAVEN CONTINUOUS ET COMMODITY INDEX FD MF					Buy (add'l)	07/26/11	K		
13. - ISHARES COMEX GOLD TRUST MF					Sold (part)	12/05/11	J	B	
14. - 1 SHARES DOW JONES US OIL & GAS EXPL & PROD INDEX MF					Sold (part)	12/05/11	J	A	
15. - POWERSHARES DB COMMODITY INDEX TRAKING FD MF					Buy (add'l)	07/26/11	K		
16.					Sold (part)	12/05/11	J	A	
17. - POWERSHARES DB AGRICULTURE FUND MF					Sold (part)	12/05/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - POWERSHARES DB BASE METALS FUND MF					Sold (part)	12/05/11	J		
19. - SCHERING PLOUGH CORP NOTE BOND					Sold	02/17/11	J	A	
20. - UNITED STATES GASOLINE FUND LP MF					Sold (part)	12/05/11	J	A	
21. - WILLIS LEASE FINANCE CORP									
22. - WELLS FARGO ADVISORS BANK DEPOSIT SWEEP M/M									
23. BROKERAGE # 1									
24. - EATON VANCE FUND MF # 2	E	Dividend	P1	T					
25. - FEDERATED CAPITAL APPRECIATION EXCHANGE FUND MF # 2	B	Dividend	M	T					
26. - WELLS FARGO ADVISORS BANK DEPOSIT SWEEP M/M	A	Interest	J	T					
27. BROKERAGE # 2									
28. - AMAZON COM INC COMM		None	J	T	Buy	09/30/11	J		
29.					Sold	10/12/11	J	A	
30.					Buy	12/13/11	J		
31. - ARCHER DANIELS MIDLAND CO COMM	A	Dividend	J	T					
32. - CAMPBELL SOUP CO COMM	A	Dividend	J	T					
33. - CONAGRA FOODS CO COMM	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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34. - CREE, INC		None			Buy	06/09/11	J		
35.					Buy (add'l)	06/14/11	J		
36.					Sold	07/08/11	J		
37. - DEL MONTE FOODS CO COMM	A	Dividend			Sold	03/09/11	J	C	
38. - EATON VANCE FUND MF # 1	E	Dividend	P1	T					
39. - FEDERATED CAPITAL APPRECIATION EXCHANGE FUND MF # 1	B	Dividend	M	T					
40. - WELLS FARGO ADVISORS BANK DEPOSIT SWEEP M/M	A	Interest	J	T					
41. CHESTNUT STREET EXCHANGE FUND MF # 1	D	Dividend	O	T					
42. INVESCO VAN KAMPEN EXCHANGE FUND MF # 1	D	Dividend	L	T					
43. CHESTNUT STREET EXCHANGE FUND MF # 2	D	Dividend	O	T					
44. INVESCO VAN KAMPEN EXCHANGE FUND MF # 2	E	Dividend	M	T					
45. CITIENERGY LLC	A	Distribution	J	T					
46. COACH INC COMM	B	Dividend	L	T					
47. FOREXCO, INC.	B	Distribution	J	T					
48. TRUST # 1	E	Dividend	O	T					NOTE A
49. - ARTIO INTL EQUITY MF					Sold (part)	03/10/11	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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50.					Sold (part)	04/16/11	J	A	
51.					Sold (part)	05/03/11	J	B	
52. - CALAMOS MARKET NEUTRAL MF									
53. - DODGE & COX INCOME FUND MF					Buy (add'l)	05/13/11	K		
54. - DODGE & COX INTERNATIONAL MF					Sold (part)	03/10/11	J	C	
55.					Sold (part)	04/16/11	J	B	
56.					Sold (part)	05/03/11	J	C	
57. - DWS SHORT TERM DURATION PLUS FUND MF					Sold	09/06/11	K		
58. - EXXON MOBILE CORP COMM									
59. - FEDERATED TREASURY OBLIGATIONS FD M/M									
60. - ING REAL ESTATE FUND MF									
61. - HEARTLAND VALUE PLUS INST MF					Buy	05/03/11	K		
62. - LOOMIS SAYLES BOND FUND MF									
63. - MUNDER MID CAP CORE GROWTH FUND MF					Sold (part)	03/10/11	J	C	
64.					Sold (part)	04/16/11	J	B	
65.					Sold (part)	05/13/11	K	E	
66.					Sold (part)	09/06/11	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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67. - PIMCO EMERGING MARKETS BOND FUND MF					Sold (part)	09/06/11	J	B	
68. - PIMCO HIGH YIELD FUND MF									
69. - PIMCO LOW DURATION FUND MF									
70. - PRUDENTIAL SHORT TERM CORPORATE BOND FD MF					Buy	09/02/11	K		
71. - RS GLOBAL NATURAL RESOURCES FUND MF									
72. - SCHRODER US OPPORTUNITY FD MF					Buy	05/03/11	K		
73. - SSGA FUNDS EMERGING MKTS MF					Sold (part)	05/03/11	J	C	
74. - VANGUARD SHORT TERM BOND INDEX FUND MF									
75. - WELLS FARGO ADVISORS INTRINSIC VALUE FUND MF									
76. - WELLS FARGO ADVISORS STRATEGIC GROWTH FUND MF									
77. - WESTPORT FDS SELECT CAP FD MF					Sold (part)	03/10/11	J	C	
78.					Sold (part)	04/16/11	J	B	
79. TRUST # 2	E	Dividend	O	T					NOTE B
80. - ARTIO INTL ENERGY MF					Sold (part)	05/03/11	J	B	
81. - CALAMOS MARKET NEUTRAL MF									
82. - COLUMBIA INCOME FUND MF									
83. - DODGE & COX INTNL FUND MF					Sold (part)	05/03/11	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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84. - DWS SHORT TERM DURATION PLUS MF					Buy (add'l)	05/03/11	J		
85.					Sold	09/06/11	K		
86. - FEDERATED TREASURY OBLIGATIONS FD M/M									
87. - GOLDEN LG CORE VALUE FD MF									
88. - GOLDMAN SACHS LARGE CAP VALUE FUND MF									
89. - GOLDMAN SACHS GRTH OPPORTUNITIES FD MF									
90. - HEARTLAND VALUE PLUS INST MF					Buy	11/7/11	K		
91. - ING INTNL REAL ESTATE FD MF									
92. - JANUS MID CAP VALUE FD MF									
93. - NUVEEN FLAGSHIP NC MUNI BOND FD MF					Sold (part)	09/06/11	J	A	
94. - PARNASSUS EQUITY INCOME FUND MF									
95. - PIMCO EMERGING MARKETS BOND FUND MF									
96. - PIMCO LOW DURATION FUND MF					Buy (add'l)	05/03/11	J		
97. - PRUDENTIAL SHORT TERM CORPORATE BOND FD MF					Buy	09/12/11	K		
98. - ROYCE VALUE PLUS FD MF					Sold	11/07/11	K	D	
99. - RS GLOBAL NATURAL RESOURCES FUND MF									
100. - SCHRODER US OPPORTUNITY FD MF					Buy	11/07/11	K		

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101. - SSGA FDS EMERGING MKTS FUND MF					Sold (part)	05/03/11	J	C	
102. - T ROWE PRICE REAL ESTATE FD MF									
103. - VANGUARD SHORT TERM BOND INDEX FUND MF					Buy (add'l)	05/03/11	J		
104. - WELLS FARGO ADVISORS INTRINSIC VALUE FUND MF									
105. FOUNDATION # 1	E	Dividend	N	T					NOTE C
106. - AT&T INC COMM					Buy	09/22/11	K		
107. - ABBOTT LABORATORIES COMM					Buy	09/22/11	K		
108.					Buy (add'l)	12/21/11	K		
109. - BAXTER INTERNATIONAL INC COMM					Buy	12/21/11	K		
110. - BB&T CORP COMM									
111. - BP AMOCO COMM					Sold (part)	09/22/11	L	D	
112. - CHEVRON CORPORATION COMM					Buy	09/22/11	K		
113. - DUKE ENERGY CORP NEW COMM									
114. - ELI LILLY & CO COMM					Sold (part)	09/22/11	K	E	
115.					Sold	12/21/11	K	E	
116. - EXXON MOBIL CORP COMM					Buy	09/22/11	K		
117. - FAIRPOINT COMMUNICATIONS INC COMM					Closed	02/11/11			NOTE D

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
118. - FRONTIER COMMUNICATIONS CORP					Sold	09/22/11	J		
119. - JOHNSON & JOHNSON COMM					Buy	09/22/11	K		
120.					Buy (add'l)	12/21/11	J		
121. - NUVEEN MULTI-STRATEGY INCOME & GRTH FUND MF									
122. - PFIZER INC COMM									
123. - PIEDMONT NATURAL GAS COMM					Sold (part)	09/22/11	K	E	
124. - SCANNA CORP COMM					Buy	09/22/11	K		
125. - SPECTRA ENERGY CORP COMM									
126. - VERIZON COMMUNICATIONS COMM					Sold (part)	09/22/11	K	D	
127. - VERIZON MARYLAND BOND					Redeemed	11/28/11	J	A	
128. - WELLS FARGO CO COMM									
129. - WELLS FARGO ADVISORS BANK DEPOSIT SWEEP M/M									
130. - WILLIS LEASE FINANCE CORP COMM									
131.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NOTE A - Under the distributive provisions of the Tust # 1, the income of said trust, within the sole and absolute discretion of the corporate trustee thereof, may be distributed in whole or in part to members. The class of potential distributees may be increased by the birth of additional members and by future marriage of members. The corporate trustee, in its sole discretion, may distribute all or any portion of the corpus of said trust to any one or more such beneficiaries if necessary to meet the reasonable needs of such beneficiary. James C. Fox was a co-trustee until his resignation and appointment of a successor trustee on August 16, 2006. James C. Fox has a testamentary limited power of appointment with regard to the corpus of the trust.

NOTE B - Under the distributive provisions of the Tust # 2, the income of said trust, within the sole and absolute discretion of the corporate trustee thereof, may be distributed in whole or in part to members. The class of potential distributees may be increased by the birth of additional members and by future marriage of members. The corporate trustee, in its sole discretion, may distribute all or any portion of the corpus of said trust to any one or more such beneficiaries if necessary to meet the reasonable needs of such beneficiary. James C. Fox was a co-trustee until his resignation and appointment of a successor trustee on August 16, 2006. James C. Fox has a testamentary limited power of appointment with regard to the corpus of the trust.

NOTE C - James C. Fox is a co-trustee of the Foundation # 1, a trust created and operated for charitable, religious, scientific and educational purposes. The assets of the trust approximated \$490,794.3 at December 31, 2011.

NOTE D - Bankruptcy: worthless 02/11/11.

NOTE E - Abbreviations used in the descriptions of assets, Part VII include the following: "COMM" designates common stock; "PREF" designates preferred stock; "M/M" designates a cash equivalent money market account; "BOND" designates a corporate bond; "MUNI" designates a tax exempt bond; and "MF" designates a mutual fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES C. FOX

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544