

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clarkson, Scott C.	2. Court or Organization United States Bankruptcy Court	3. Date of Report 08/30/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Ronald Reagan Federal Building and Courthouse 411 West 4th Street Santa Ana, CA 92701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	City of Beverly Hills, California, wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. California Bankruptcy Forum	May 14 - 17, 2015	Napa County, CA	Law Seminar	Transportation, meals, hotel
2. National Conf. of Bankruptcy Judges	Sept. 28 - 30, 2015	Miami, FL	Professional Association	meals, hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America cash accounts	A	Interest	K	T					
2. FolioFN Cash Account	A	Interest	K	T					
3. FolioFN Rollover IRA	A	Interest	O	T	Distributed (part)	01/05/15	K	B	
4. -ABG	A	Dividend			Sold	10/08/15	K	B	
5. -BWS	A	Dividend			Sold	10/08/15	K	B	
6. EIG	A	Dividend			Sold	10/08/15	K	B	
7. IPAR	A	Dividend			Sold	10/08/15	K	B	
8. KS	A	Dividend			Sold	10/08/15	K	B	
9. LCC - See Part VIII	A	Dividend			Merged (with line 15)		K	B	
10. MHK	A	Dividend			Sold	10/08/15	K	B	
11. MTZ	A	Dividend			Sold	10/08/15	K	B	
12. PPC	A	Dividend			Sold	10/08/15	K	B	
13. SWFT	A	Dividend			Sold	10/08/15	K	B	
14. CAL	A	Dividend			Sold	10/08/15	K	B	
15. AAL	A	Dividend			Sold	10/08/15	K	B	
16. NXRT	A	Dividend	K	T	Buy	10/08/15	K		
17. MORE	A	Dividend	K	T	Buy	10/08/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. BRG	A	Dividend	K	T	Buy	10/08/15	K		
19. IRT	A	Dividend	K	T	Buy	10/08/15	K		
20. APTS	A	Dividend	K	T	Buy	10/08/15	K		
21. CCG	A	Dividend	K	T	Buy	10/08/15	K		
22. EDR	A	Dividend	K	T	Buy	10/08/15	K		
23. ACC	A	Dividend	K	T	Buy	10/08/15	K		
24. UMH	A	Dividend	K	T	Buy	10/08/15	K		
25. UDR	A	Dividend	K	T	Buy	10/08/15	K		
26. SUI	A	Dividend	K	T	Buy	10/08/15	K		
27. PPS	A	Dividend	K	T	Buy	10/08/15	K		
28. MAA	A	Dividend	K	T	Buy	10/08/15	K		
29. ELS	A	Dividend	K	T	Buy	10/08/15	K		
30. ESS	A	Dividend	K	T	Buy	10/08/15	K		
31. EQR	A	Dividend	K	T	Buy	10/08/15	K		
32. CPT	A	Dividend	K	T	Buy	10/08/15	K		
33. AVB	A	Dividend	K	T	Buy	10/08/15	K		
34. AIV	A	Dividend	K	T	Buy	10/08/15	K		

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2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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35. MAA	A	Dividend	K	T	Buy	10/08/15	K		
36. FolioFN IRA (spouse)									
37. -DELTA AIR LINES INC DEL	A	Dividend	K	T					
38. - HOLLYSYS AUTOMATION	A	Dividend	K	T					
39. -MAKEMYTRIP LIMITED, MAURITIUS SHS	A	Dividend	K	T					
40. - MERITOR INC	A	Dividend	K	T					
41. - NAVIOS MARITIME HOLDINGS INC COM	A	Dividend	K	T					
42. - NRG ENERGY INC COM NEW	A	Dividend	K	T					
43. - PEMBINA PIPELINE CORP	A	Dividend	K	T					
44. - SYNEX CORP	A	Dividend	K	T					
45. - WHITEWAVE FOODS CO COM CL A	A	Dividend	K	T					
46. Parsons Corp. Employees Stock Ownership Plan	A	Dividend	M	T					
47. WMI (Common Stock)	A	Dividend	J	T					
48. AXA Financial (AXA) Common Stock	A	Dividend	J	T					
49. DYNEGY INC DEL	A	Dividend	K	T					
50.									
51.									

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52.									
53.									
54.									
55.									
56.									
57.									
58.									
59.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

See Section VII, line 9. On November 15, 2013, U.S. Airways (LCC) merged with American Airlines (AAL). LLC Stock was moved to AAL. I didn't even notice and this was inadvertently omitted on my report for 2013. Please consider this an amendment to my 2013 Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Scott C. Clarkson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544