

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Magnuson, Paul A.	2. Court or Organization U.S. District Court, Minnesota	3. Date of Report 04/21/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 734 Burger Federal Courts Building 316 North Robert Street Saint Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Advisor	International Council for Court Administrators - Advisory Council
2.	Director	United States Russia Foundation
3.	Director	Intercircuit Assignment Committee
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Magnuson, Paul A.

Date of Report

04/21/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	United States Russia Foundation (USRF)	01/19/2016 - 01/20/2016	San Francisco, CA	Directors Meeting	Transportation, room, meals
2.	United States Russia Foundation (USRF)	06/08/2016 - 06/09/2016	Washington, DC	Directors Meeting	Transportation, room, meals
3.	Intercircuit Assignment Committee	06/27/2016 - 06/28/2016	Washington, D.C.	Directors Meeting	Transportation, room, meals
4.	United States Russia Foundation (USRF)	09/28/2016 - 09/29/2016	New York, NY	Directors Meeting	Transportation, room, meals
5.	United States Russia Foundation (USRF)	10/24/2016 - 10/25/2016	Washington, DC	Directors Meeting	Transportation, room, meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting	Date of Report
Magnuson, Paul A.	04/21/2017

6.	United States Russia Foundation (USRF)	11/29/2016 - 11/30/2016	Washington, DC	Directors Meeting	Transportation, room, meals
----	---	----------------------------	----------------	-------------------	-----------------------------

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Magnuson, Paul A.

Date of Report

04/21/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Magnuson, Paul A.

Date of Report

04/21/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Hartford Growth Opp. Fund-A IRA (X)	D	Dividend	M	T					
2. H.B. Fuller Common Stock	A	Dividend	J	T					
3. Hartford Cap Fund A Balanced Income Fund IRA	A	Dividend	L	T					
4. Hartford Growth Opp (N.Q.) (Non-IRA) (X)	C	Dividend	K	T					
5. Hartford Balanced Income (N.Q.) (Non-IRA) (X)	B	Dividend	K	T					
6. Metropolitan Life Insurance Total Control Account		None	J	T					
7. Morgan Stanley Bank N.A.	A	Int./Div.	J	T					
8. Fidelity Adv Strat Inc I	B	Dividend	L	T					
9. Franklin Rising Dividends Adv	A	Dividend	K	T					
10. Gabelli Small Cap Growth		None	J	T					
11. American GW FD of America F2	A	Dividend			Sold	10/28/16	J	C	No More Position
12. Managers Bond Fund	A	Dividend	J	T					
13. Franklin Mutual Glb Disc Z	B	Dividend	K	T					
14. American New World F2	A	Dividend	J	T					
15. Oppenheimer Developing Mkts Y	A	Dividend	J	T					
16. American Small Cap World F2		None	K	T					
17. Templeton Emerg Mkts Sm Cp Adv	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Magnuson, Paul A.

Date of Report

04/21/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Templeton Global Bd Fd Adv	A	Dividend	K	T					
19. Thornburg Inv Inc Builder I	B	Dividend			Sold	10/28/16	K	A	Still Holds Position
20. Brooklyn Center Minn ISD No 2	B	Int./Div.	K	T					
21. AXA Equitable/Equitable Accumulator		None	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Magnuson, Paul A.

Date of Report

04/21/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Magnuson, Paul A.

Date of Report

04/21/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Paul A. Magnuson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544