

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Buchwald, Naomi R.	2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 5/13/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Daniel Patrick Moynihan Courthouse 500 Pearl Street -- ROOM 2270 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. POA: Accounts 1-7	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Partner at law firm of Kelley, Drye & Warren LLP

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	B	Interest	O	T					
2. Citibank (IRA) 1		None			Closed	02/15/11	O		
3. Vanguard Voyager (IRA)	A	Dividend	K	T	Open	02/23/11	K		
4. Citibank (IRA) 2		None			Closed	02/22/11	K		
5. Schwab (IRA)	A	Interest	O	T					
6.	D	Dividend							
7. ---- Citifund Cash Reserves Class N					Redeemed	01/13/11	M		
8. --- Schwab Deposit Account	A	Interest	M	T	Open	01/13/11	J		
9. --- Analogue Devices (Common)	A	Dividend			Sold	08/04/11	L		
10.					Buy	08/10/11	L		
11.					Sold	08/17/11	L	A	
12. --- Applied Materials (Common)	B	Dividend	K	T					
13. --- Time Warner (Common)	A	Dividend	J	T					
14. --- Time Warner Cable (Common)	A	Dividend	K	T					
15. --- AOL Inc. (Common)		None	J	T					
16. --- Intel (Common)	C	Dividend			Sold	04/15/11	M		
17.					Buy	08/10/11	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold	08/17/11	M	B	
19.					Buy	08/23/11	M		
20.					Sold	08/26/11	M	C	
21.					Buy	08/31/11	M		
22.					Buy	09/14/11	M		
23.					Sold	09/19/11	N	E	
24.					Buy	09/22/11	M		
25.					Buy	10/12/11	M		
26.					Buy	10/27/11	N		
27.					Sold	12/06/11	N	C	
28. --- International Rectifier (Common)		None	K	T					
29. --- Microsoft (Common)					Sold	08/04/11	M		
30.					Buy	08/10/11	M		
31.					Sold	08/17/11	M	B	
32.					Buy	08/22/11	M		
33.					Sold	08/26/11	M	D	
34. --- Moduslink Global Solutions (Common)		None	J	T					

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35. --- Amazon (Common)		None	N	T	Buy	01/20/11	M		
36.					Buy	04/26/11	M		
37.					Sold (part)	04/27/11	M	D	
38.					Sold	06/07/11	M	C	
39.					Buy	07/15/11	N		
40.					Sold	07/15/11	N	C	
41.					Buy	07/21/11	N		
42.					Sold	07/22/11	N	C	
43.					Buy	07/27/11	M		
44.					Buy	08/02/11	M		
45.					Sold	08/04/11	N		
46.					Buy	08/15/11	M		
47.					Sold	09/02/11	M	D	
48.					Buy	09/26/11	N		
49.					Buy	10/18/11	M		
50. --- Baidu (ADR's)		None			Buy	05/11/11	M		
51.					Buy	06/02/11	L		

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52.					Sold	06/07/11	M		
53.					Buy	06/13/11	M		
54.					Sold	06/14/11	M	D	
55.					Buy	07/18/11	M		
56.					Sold	07/18/11	M	B	
57.					Buy	08/23/11	M		
58.					Sold	08/23/11	M	C	
59.					Buy	08/29/11	M		
60.					Sold	08/30/11	M	D	
61.					Buy	08/31/11	M		
62.					Sold	08/31/11	M	A	
63. --- Cisco (Common)	A	Dividend			Buy	02/11/11	K		
64.					Sold	07/22/11	K		
65. --- Apple (Common)		None			Buy	08/10/11	M		
66.					Sold	08/15/11	M	D	
67. --- Valero (Common)	A	Dividend	K	T	Buy	01/14/11	K		
68.					Buy	02/07/11	K		

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69.					Sold	02/08/11	L	D	
70.					Buy	03/03/11	L		
71. --- Rambus (Common)		None	K	T	Buy	10/14/11	K		
72. --- Research In Motion (Common)		None			Buy	09/15/11	K		
73.					Sold	12/16/11	K		
74. --- Whirlpool (Common)		None			Buy	09/14/11	M		
75.					Sold	10/12/11	M	E	
76. --- Yahoo (Common)		None	M	T	Buy	12/27/11	M		
77. JPMorgan Chase Accounts	A	Interest	K	T					
78. Schwab Account -- NY Muni Money Mkt	D	Int./Div.	N	T					
79. Merrill Lynch Cash Acc- formerly Bk of America cash reserve	C	Dividend	K	T					
80. Treasury Direct	A	Interest	N	T					
81. Time Warner Common Stock	A	Dividend	K	T					
82. Applied Materials Common Stock (IRA)	B	Dividend	K	T					
83. Blount Common Stock		None	J	T					
84. Intel Common Stock (IRA)	C	Dividend	L	T	Sold (part)	01/03/11	N		
85.					Buy	01/06/11	N		

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86.					Sold (part)	01/06/11	N	B	
87.					Buy	3/30/11	M		
88.					Buy	4/1/11	M		
89.					Sold (part)	04/04/11	N		
90.					Buy	04/04/11	K		
91.					Buy	04/14/11	N		
92.					Buy	9/28/11	M		
93.					Buy	10/4/11	L		
94.					Buy	10/6/11	K		
95.					Sold (part)	10/7/11	M		
96.					Sold (part)	10/11/11	N	E	
97.					Buy	10/12/11	M		
98.					Sold (part)	10/12/11	M	B	
99.					Sold (part)	10/18/11	M	D	
100.					Buy	10/27/11	N		
101.					Buy	11/4/11	M		
102.					Sold (part)	11/11/11	N	B	

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103.					Buy	11/16/11	M		
104.					Sold (part)	11/18/11	N		
105.					Buy	12/1/11	M		
106.					Sold (part)	12/5/11	M	C	
107.					Buy	12/8/11	M		
108.					Sold (part)	12/9/11	N	D	
109. Analogue Devices Common Stock (IRA)		None			Sold	8/17/11	L		
110. International Rectifier Common Stock (IRA)		None	K	T					
111. Microsoft Common Stock (IRA)	B	Dividend	M	T	Buy	04/27/11	M		
112.					Buy	04/28/11	M		
113.					Sold (part)	04/28/11	L	A	
114.					Sold (part)	7/22/11	J	A	
115.					Sold	8/4/11	M		
116.					Buy	11/15/11	N		
117.					Sold (part)	12/30/11	M		
118. Google Common Stock		None			Buy	01/27/11	O		
119.					Buy	2/15/11	O		

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120.					Sold (part)	02/15/11	N	C	
121.					Sold (part)	03/07/11	N		
122.					Buy	03/08/11	O		
123.					Sold (part)	03/08/11	N	B	
124.					Sold	03/15/11	P1		
125.					Buy	03/16/11	N		
126.					Buy	03/17/11	N		
127.					Sold	03/21/11	O	D	
128.					Buy	03/23/11	O		
129.					Sold	03/23/11	O	D	
130.					Buy	03/25/11	M		
131.					Buy	04/01/11	M		
132.					Sold	04/05/11	O		
133.					Buy	04/11/11	N		
134.					Sold	04/11/11	N	A	
135.					Buy	07/27/11	P1		
136.					Sold (part)	07/27/11	O	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	07/29/11	O		
138.					Buy	8/10/11	N		
139.					Buy	8/15/11	N		
140.					Sold (part)	8/26/11	N		
141.					Sold (part)	8/30/11	M		
142.					Buy	8/30/11	M		
143.					Sold	9/2/11	N		
144.					Buy	10/12/11	N		
145.					Sold	10/13/11	N	D	
146.					Buy	10/17/11	N		
147.					Sold	10/19/11	N	C	
148.					Buy	10/21/11	N		
149.					Buy	10/24/11	O		
150.					Sold (part)	10/24/11	P1	D	
151.					Buy	10/25/11	N		
152.					Sold	10/26/11	N	A	
153.					Buy	10/26/11	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	10/27/11	N	D	
155. Amazon Common Stock		None	O	T	Buy	01/07/11	M		
156.					Buy	01/27/11	L		
157.					Sold (part)	01/27/11	K		
158.					Buy	02/02/11	O		
159.					Sold (part)	02/08/11	N	D	
160.					Buy	02/09/11	N		
161.					Sold (part)	02/09/11	N	E	
162.					Sold (part)	02/11/11	N	D	
163.					Buy	02/15/11	M		
164.					Buy	03/08/11	N		
165.					Sold (part)	03/14/11	M		
166.					Sold (part)	03/15/11	M		
167.					Buy	03/15/11	J		
168.					Sold (part)	03/15/11	M		
169.					Buy	03/17/11	M		
170.					Sold	03/21/11	M	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy	03/23/11	O		
172.					Sold (part)	03/23/11	M	C	
173.					Buy	03/24/11	N		
174.					Buy	04/26/11	L		
175.					Sold (part)	04/27/11	M	E	
176.					Buy	04/28/11	N		
177.					Sold (part)	04/29/11	O		
178.					Buy	06/01/11	M		
179.					Sold	06/07/11	N		
180.					Buy	07/15/11	P1		
181.					Sold	07/15/11	P1	E	
182.					Buy	07/18/11	P1		
183.					Sold (part)	07/18/11	M	B	
184.					Buy	07/19/11	O		
185.					Sold (part)	07/19/11	P1	E	
186.					Buy	07/20/11	O		
187.					Sold (part)	07/26/11	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy	07/27/11	O		
189.					Sold (part)	07/27/11	N	B	
190.					Sold	07/29/11	O	B	
191.					Buy	08/01/11	N		
192.					Sold (part)	08/01/11	M	B	
193.					Sold	08/04/11	M		
194.					Buy	08/10/11	O		
195.					Sold (part)	08/10/11	N	C	
196.					Buy	08/23/11	M		
197.					Sold (part)	08/23/11	M	A	
198.					Buy	08/24/11	M		
199.					Buy	08/26/11	M		
200.					Sold (part)	08/26/11	N	D	
201.					Buy	09/02/11	N		
202.					Sold	09/02/11	O		
203.					Buy	09/14/11	P1		
204.					Sold (part)	09/15/11	O	E	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold	09/19/11	O	E	
206.					Buy	09/20/11	N		
207.					Sold (part)	09/21/11	K	A	
208.					Buy	10/11/11	L		
209.					Sold (part)	10/11/11	M		
210.					Buy	10/12/11	M		
211.					Sold (part)	10/14/11	N	D	
212.					Buy	10/19/11	M		
213.					Sold (part)	10/24/11	M		
214.					Sold	10/27/11	M		
215.					Buy	11/3/11	M		
216.					Sold	11/3/11	M	A	
217.					Buy	11/4/11	N		
218.					Sold (part)	11/4/11	M	C	
219.					Sold	11/7/11	M	A	
220.					Buy	11/8/11	N		
221.					Sold (part)	11/8/11	M	B	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy	11/11/11	N		
223.					Sold (part)	11/11/11	N	C	
224.					Buy	11/14/11	N		
225.					Sold (part)	11/14/11	N	D	
226.					Sold	11/15/11	M	B	
227.					Buy	11/15/11	N		
228.					Buy	11/18/11	M		
229.					Sold (part)	11/18/11	M	B	
230.					Buy	12/6/11	N		
231.					Sold (part)	12/6/11	N	A	
232.					Buy	12/8/11	N		
233.					Sold (part)	12/8/11	N	D	
234.					Sold (part)	12/9/11	N		
235.					Buy	12/12/11	M		
236.					Sold (part)	12/12/11	M	A	
237.					Buy	12/13/11	N		
238.					Sold (part)	12/28/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	12/30/11	N		
240. Yahoo Common Stock		None	O	T	Buy	10/27/11	N		
241.					Buy	10/31/11	M		
242.					Buy	11/9/11	M		
243.					Sold (part)	11/18/11	N		
244.					Buy	12/13/11	M		
245.					Sold (part)	12/30/11	O		
246. Cisco Common Stock	A	Dividend			Buy	2/9/11	K		
247.					Sold	7/27/11	K		
248. Whirlpool Common Stock	A	Dividend			Buy	08/08/11	L		
249.					Sold	08/29/11	L	C	
250.					Buy	8/30/11	L		
251.					Sold	8/30/11	L	B	
252.					Buy	10/5/11	M		
253.					Sold (part)	10/5/11	L	A	
254.					Buy	10/6/11	L		
255.					Sold	10/6/11	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy	10/12/11	M		
257.					Sold	10/27/11	M	D	
258.					Buy	11/3/11	L		
259.					Sold	11/3/11	L	A	
260.					Buy	11/4/11	L		
261.					Sold	11/4/11	L	A	
262. Apple Common Stock		None			Buy	03/16/11	N		
263.					Sold	03/21/11	N	D	
264.					Buy	03/23/11	O		
265.					Sold	03/23/11	O	B	
266.					Buy	04/06/11	N		
267.					Sold	04/29/11	N	D	
268.					Buy	04/29/11	P1		
269.					Buy	06/07/11	N		
270.					Sold	06/07/11	P1		
271.					Buy	07/14/11	P1		
272.					Sold	07/14/11	P1	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Buy	07/27/11	P1		
274.					Sold (part)	7/27/11	N	B	
275.					Sold	7/29/11	O	B	
276.					Buy	7/29/11	O		
277.					Sold	8/1/11	O	D	
278.					Buy	8/2/11	N		
279.					Sold	8/4/11	N		
280.					Buy	8/5/11	N		
281.					Buy	8/12/11	N		
282.					Sold	8/12/11	O	B	
283.					Buy	8/22/11	O		
284.					Sold	8/23/11	O	E	
285.					Buy	8/24/11	M		
286.					Sold	8/25/11	M	D	
287.					Buy	8/30/11	N		
288.					Buy	8/31/11	N		
289.					Sold	8/31/11	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy	9/1/11	N		
291.					Buy	9/2/11	N		
292.					Sold	9/2/11	O		
293.					Buy	9/14/11	P1		
294.					Sold	9/19/11	P1		
295.					Buy	10/18/11	N		
296.					Sold	10/19/11	N	D	
297.					Buy	10/21/11	N		
298.					Buy	10/24/11	N		
299.					Sold	10/24/11	O	D	
300. Baidu ADRs		None			Buy	4/28/11	N		
301.					Sold	4/29/11	N	C	
302.					Buy	5/4/11	M		
303.					Sold	5/10/11	M	B	
304.					Buy	5/11/11	M		
305.					Sold	6/7/11	M		
306.					Buy	7/14/11	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	7/14/11	N		
308.					Sold	7/15/11	M		
309.					Buy	7/21/11	M		
310.					Sold	7/22/11	M	B	
311.					Buy	08/03/11	M		
312.					Sold	8/3/11	M	A	
313.					Buy	8/9/11	M		
314.					Sold	8/12/11	M		
315.					Buy	8/24/11	N		
316.					Buy	8/26/11	O		
317.					Sold (part)	8/26/11	O	D	
318.					Buy	8/29/11	O		
319.					Buy	09/01/11	M		
320.					Buy	9/02/11	N		
321.					Sold	9/02/11	N		
322.					Buy	9/16/11	O		
323.					Buy	9/19/11	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold	9/26/11	N		
325.					Buy	10/10/11	M		
326.					Sold	10/11/11	N	C	
327.					Buy	10/12/11	M		
328.					Sold	10/13/11	M	C	
329.					Buy	11/3/11	M		
330.					Sold	11/3/11	M	B	
331.					Buy	11/4/11	N		
332.					Sold	11/4/11	M	B	
333.					Buy	11/7/11	N		
334.					Sold	11/08/11	N	C	
335.					Buy	9/19/11	J		
336.					Buy	11/9/11	M		
337.					Sold	12/9/11	M		
338. Ebay Common Stock		None			Buy	9/16/11	L		
339.					Buy	9/19/11	M		
340.					Sold (part)	10/4/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold	10/27/11	L		
342.					Buy	11/30/11	M		
343.					Sold (part)	12/2/11	K	A	
344.					Sold	12/5/11	M	C	
345. GM Common Stock		None			Buy	11/10/11	M		
346.					Sold	11/10/11	M	B	
347. Johnson & Johnson Common Stock	B	Dividend			Buy	1/4/11	M		
348.					Sold	4/29/11	M	D	
349. Netflix Common Stock		None			Buy	10/25/11	L		
350.					Sold	10/26/11	L	B	
351. Rambus Common Stock		None			Buy	03/15/11	K		
352.					Sold	03/15/11	K	A	
353.					Buy	9/20/11	K		
354.					Sold	10/10/11	K	C	
355.					Buy	10/14/11	K		
356.					Sold	10/24/11	K	B	
357.					Buy	11/10/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold	11/11/11	K	A	
359.					Buy	11/16/11	J		
360.					Sold	11/17/11	J	A	
361. Research In Motion Common Stock		None			Buy	02/09/11	N		
362.					Sold	02/10/11	N	A	
363.					Buy	03/24/11	M		
364.					Sold	9/14/11	L		
365. Valero Common Stock		None			Buy	2/9/11	M		
366.					Sold	2/10/11	M	C	
367. POA Account # 1 : Chase Accounts (X)	B	Interest	N	T					
368. POA Account# 2: Bank of America (CD)(X)	A	Interest	M	T					
369. POA Account #3: Vanguard Wellington Admiral Fund (X)	B	Dividend	L	T					
370. POA Account #4 (X)									
371. -Rochester Municipal Fund (X)	A	Dividend			Sold	02/25/11	L		
372. -Eaton Vance Floating Rate Fund(X)	B	Dividend	L	T	Buy	06/01/11	L		
373. -Oppenheimer Senior Floating Rate Fund (X)	C	Dividend	L	T					
374. POA Account #5: (X)	C	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. -CitiBank Deposit Program									
376. -Merrill Lynch Preferred									
377. -DWS High Income Fund									
378. POA Account #6: (X)	C	Int./Div.	M	T					
379. -Western Asset Money Market									
380. -CitiBank Deposit Program									
381. -Intel									
382. -Gabelli Equity Tr. PFD									
383. -Morgan Stanley Preferred									
384. -DWS High Income Fund									
385. -DWS Gov't Securities Fund									
386. POA Account #7: (X)	C	Int./Div.	M	T					
387. -CitiBank Deposit Program									
388. -Columbia Acorn Select Fund									
389. -Columbia Select Smaller Cap Fund									
390. -DWS Strategic Value Fund									
391. -Fidelity Advisor Diversified International Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. -JP Morgan Mid Cap Value Fund									
393. -Growth Fund of America									
394. -Invesco Van Kampen Senior. Loan Fund									
395. -Touchtone Mid Cap Growth Fund									
396. -Lehman Brothers Holdings Preferred Ser M									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Positions and Investments: The transactions became reportable because the POA became active in January 2011

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Naomi R. Buchwald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544