

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOORMAN, William A.	2. Court or Organization Ct of App for Veterans Claims	3. Date of Report 07/10/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 625 Indiana Avenue, NW Suite 900 Washington, DC 20004-2950		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Trustees	Air Force Aid Society -- Nonprofit
2. Vice President, Board of Trustees	Air Force Judge Advocate School Foundation -- Nonprofit
3. Personal Representative	Estate #1 - Deceased Family Member
4. Trustee	Decedent's Trust #1 - Deceased Family Member
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Pentagon Federal Share Acct	A	Interest	J	T					
2. Pentagon Federal Money Mkt	A	Interest	K	T					
3. TR Price Capital Appreciation Mutual Fund (IRA)	A	Dividend	K	T					
4. TR Price Small Cap Value Fund (IRA)	A	Dividend	J	T					
5. Ameriprise Insured Money Mkt	A	Interest	J	T					
6. Fid Adv Small Cap-A	C	Dividend	K	T	Buy (add'l)	01/18/13	J		
7. Fid Adv New Insights-A	D	Dividend	L	T	Buy (add'l)	01/18/13	J		
8. Putnam Equity Income-A	D	Dividend	L	T	Buy (add'l)	01/18/13	J		
9. DWS Dremen S/Cap Val-A	E	Dividend	K	T	Buy (add'l)	01/18/13	J		
10. MFS Municipal Income-A	C	Dividend	L	T	Buy (add'l)	01/18/13	K		
11. W Blair Intl Growth-N	A	Dividend	K	T	Buy (add'l)	01/18/13	J		
12. MFS Intl New Disc-A	A	Dividend	K	T	Buy (add'l)	01/18/13	J		
13. MFS VA Muni Bond-A	C	Dividend	M	T	Buy (add'l)	01/18/13	K		
14. Ford Motor Co Common Stock	A	Dividend	J	T					
15. Fid Adv Strat Income-A	C	Dividend	K	T	Buy (add'l)	01/18/13	J		
16. Wells Fargo Bank -- Savings	A	Interest	M	T					
17. Wells Fargo Bank -- Checking	A	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. DWS Select Alt Alca	B	Dividend	L	T	Buy (add'l)	01/18/13	J		
19. Estate #1 - PG&E Common Stock	A	Dividend			Closed	01/15/13	J	A	
20. PG&E Common Stock (x)	A	Dividend	J	T					
21. Trust #1 - Wells-Fargo Bank Savings	A	Interest	M	T					
22. Trust #1 - Wells-Fargo Bank Checking		None	J	T					
23. Trust #1 - Bank of America - Money Market	A	Interest	J	T					
24. Trust #1 - Bank of America - Checking		None	J	T					
25. Trust #1 - TR Price Hi-Yield Fund	C	Dividend			Sold	10/21/13	L	A	
26. Trust #1 - TR Price CA Tax-Exempt Fund	B	Dividend			Sold	10/21/13	K	B	
27. Trust #1 - Vanguard Hi-Yield Fund	B	Dividend			Sold	10/22/13	K	A	
28. Trust #1 - Vanguard CA Tax-Exempt Fund	B	Dividend			Sold	10/22/13	K	A	
29. Trust #1 - Vanguard 500 Index Fund	A	Dividend			Sold	10/22/13	J	D	
30. Trust #1 - Altria Group Fund	A	Dividend			Sold	11/07/13	J	B	
31. Trust #1 - Franklin Hi-Inc Fund AA	B	Dividend			Sold	11/07/13	K	A	
32. Trust #1 - Kraft Foods Inc Common Stock	A	Dividend			Sold	11/07/13	J	A	
33. Trust #1 - Mondelez Intl Common Stock	A	Dividend			Sold	11/07/13	J	B	
34. Trust #1 - Philip Morris Intl Inc Common Stock	A	Dividend			Sold	11/07/13	K	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Trust #1 - Putnam Hi-Inc Sec Fund	B	Dividend			Sold	11/07/13	K	A	
36. Trust #1 - Putnam Hi-Yield Trust Fund	B	Dividend			Sold	11/07/13	K	B	
37. Trust #1 - Commonwealth Reit Common Stock	A	Dividend	J	T					
38. Trust #1 - Senior Housing Prop Common Stock	A	Dividend	J	T					
39. Ameriprise Trust Company WAMoorman Rollover IRA	E	Dividend	N	T					
40. - Blackrock Inflation Prot Bond					Buy	02/14/13	J		
41. - Columbia Dividend Opp					Buy	02/14/13	K		
42.					Sold (part)	05/20/13	J	A	
43. - Columbia Income Opp					Buy	02/14/13	J		
44. - Columbia Active Large Cap					Buy	02/14/13	J		
45.					Buy (add'l)	05/20/13	J		
46.					Sold (part)	08/15/13	J	A	
47. - Active Portfolio Core Plus Bond					Buy	02/14/13	L		
48.					Sold (part)	05/20/13	J	A	
49.					Sold (part)	08/15/13	J	A	
50.					Sold	12/09/13	L	A	
51. - Active Portfolio Small Cap					Buy	02/14/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Sold (part)	08/15/13	J	A	
53. - Active Portfolio Alter Strat					Buy	02/14/13	K		
54. - Goldman Sachs Mid Cap					Buy	02/14/13	J		
55.					Sold	12/09/13	J	A	
56. - Hancock Global Absolute Return					Buy	02/14/13	J		
57. - Janus CI A					Buy	02/14/13	K		
58.					Sold (part)	05/20/13	J	A	
59.					Sold	07/17/13	K	B	
60. - MFS Value CI A					Buy	02/14/13	J		
61.					Buy (add'l)	05/20/13	J		
62.					Buy (add'l)	08/15/13	J		
63. - MFS Int'l Value					Buy	02/14/13	J		
64. - MFS Emerging Markets					Buy	02/14/13	J		
65. - Oppenheimer Int'l Growth					Buy	02/14/13	J		
66.					Sold	12/09/13	J	B	
67. - Prudential Global Real Estate					Buy	02/14/13	J		
68. - Prudential Mid Cap					Buy	02/14/13	J		

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69. - Wells Fargo Emerging Mkts					Buy	02/14/13	J		
70. - Blackrock Hi Yield Bond					Buy	02/15/13	J		
71. - JP Morgan Strat Income Opp					Buy	05/20/13	J		
72. - JP Morgan Large Cap Growth					Buy	07/17/13	K		
73.					Sold (part)	08/15/13	J	A	
74. - Eaton Vance Float Rate					Buy	08/15/13	J		
75. - Invesco Int'l Growth					Buy	12/09/13	J		
76. - American Century Mid Cap					Buy	12/09/13	J		
77. - Fidelity Advisor Total Bond Cl A					Buy	12/09/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 20, inherited stock

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William A. MOORMAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544