

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ANDERSON, III, ROBERT L.	2. Court or Organization 11TH CIR. COURT OF APPEALS	3. Date of Report 4/30/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. CIRCUIT JUDGE (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 56 Forsyth Street, N.W. Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. FELLOW	AMERICAN BAR FOUNDATION
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 11/7/07	R. Lanier Anderson, III/Chas. Schwab & Co. Cust/ IRA rollover
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SunTrust Bank - checking account	A	Interest	J	T					
2. Euro Pacific Growth Fund (IRA)	A	Dividend	K	T					
3. Euro Pacific Growth Fund (IRA)	A	Dividend	K	T					
4. Sturges Mfg., Utica, NY (common)	A	Dividend	K	W					
5. Exxon (common)	A	Dividend	K	T					
6. SunTrust Bank (common)	A	Dividend	K	T					
7. MFS Managed Multi-St. Tax Exempt (GA) mutual fund	A	Dividend			Sold	01/24/11	K	A	
8. MFS Managed Mu. Bd. Trust (mutual fund)	A	Dividend			Sold	01/24/11	L	A	
9. TIAA-CREF	C	Dividend	L	T					
10. House and lot, Bibb County, GA	D	Rent	M	W					
11. SunTrust Bank (common)	A	Dividend	K	T					
12. I shares Russell 1000 Index	A	Dividend	J	T					
13. I shares Russell 2000 Value	A	Dividend	K	T					
14. I shares Russell 2000 Index	A	Dividend	J	T					
15. I shares Russell 1000 Index	A	Dividend	J	T					
16. I shares Russell 2000 Value	A	Dividend	J	T					
17. I shares Russell 2000 Index	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. I shares Russell 1000 Index (IRA)	A	Dividend	J	T					
19. I shares Russell 2000 Value (IRA)	A	Dividend	K	T					
20. I shares Russell 2000 Index (IRA)	A	Dividend	J	T					
21. I shares Russell 1000 Index (IRA)	A	Dividend	J	T					
22. I shares Russell 2000 Value (IRA)	A	Dividend	K	T					
23. I shares Russell 2000 Index (IRA)	A	Dividend	J	T					
24. Genworth Life Ins. Co (whole life)	D	Dividend	M	T					
25. SunTrust Bank - money market	A	Interest	L	T					
26. /Chas Schwab Cust-IRA rollover									See Part VIII
27. DFA Two Year Global	A	Dividend			Sold	07/7/11	K	A	
28. Schwab S&P Index Fund	A	Dividend			Sold	05/24/11	K	A	
29. Sch. Muni Mondy Fund	A	Interest			Sold	09/8/11	L	A	
30. Schwab US Treas. Money Fund	A	Interest	J	T	Buy	09/8/11	L		
31. PIMCO Commodity REal Fund	A	Dividend			Sold	09/22/11	J	A	
32. Vanguard Bond Index Fund (BSV)	A	Dividend	K	T	Sold (part)	07/7/11	K	A	
33. IShares Tr. MSCI EAFE Fund (EFA)	A	Dividend			Sold	05/22/11	K	A	
34. Powersha QQQTr. Ser. 1 (QQQ)	A	Dividend			Sold	05/23/11	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Powersha QQQ Tr Ser. 1 (QQQ)		None			Buy	10/24/11	K		
36. Powersha QQQ Tr Ser. 1 (QQQ)		None			Sold	11/24/11	K	A	
37. Vanguard Small Cap (VB)	A	Dividend			Sold	05/22/11	K	A	
38. Goldman Sachs Strategic & Inc Fund (GSZIX)	A	Dividend			Sold	08/17/11	J	A	
39. PIMCO Unconstrained Bond Fund	A	Dividend			Sold	12/14/11	J	A	
40. SPDR Gold Tr. (GLD)	A	Dividend	J	T					
41. iShares TR Barclays Tips (TIP)	A	Dividend			Sold	01/27/11	J	A	
42. iShares Barclays Bonder Barclays Fund (CSJ)	A	Dividend			Buy	02/25/11	J		
43. iShares Barclays Bonder Barclays Fund (CSJ)	A	Dividend			Sold	07/7/11	J	A	
44. FPA New Income CLA	A	Dividend	M	T	Buy	01/31/11	K		
45. FPA New Income CLA	A	Dividend			Buy	12/21/11	L		
46. Schwab GNMA Fund (SWG SX)	A	Dividend	L	T	Buy	06/28/11	L		
47. Vanguard Emerging Market (ETF)	A	Dividend			Buy	04/1/11	J		
48. Vanguard Emerging Market (ETF)	A	Dividend			Sold	05/23/11	J		
49. PIMCO Floating Income FD Instl CL (PFILX)	A	Dividend			Buy	05/26/11	L		
50. PIMCO Floating Income FD Instl CL (PFILX)	A	Dividend			Sold	06/24/11	L		
51. PIMCO Incm Instl CL (PIMIX)	A	Dividend			Buy	05/26/11	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. PIMCO Incm Instl CL (PIMIX)	A	Dividend			Sold (part)	07/7/11	J	A	
53. PIMCO Incm Instl CL (PIMIX)	A	Dividend			Sold	12/14/11	J	A	
54. Vanguard REIT (VNQ)	A	Dividend			Buy	07/20/11	K		
55. Vanguard REIT (VNQ)	A	Dividend			Sold	08/8/11	K	A	
56. SPDR S&P 500 ETF (SPY)	A	Dividend			Buy	10/27/11	K		
57. SPDR S&P 500 ETF (SPY)	A	Dividend			Sold	11/23/11	K	A	
58. Vanguard Value (VTV)	A	Dividend			Buy	10/27/11	J		
59. Vanguard Value (VTV)	A	Dividend			Sold	11/25/11	K	D	
60. SCHWAB ACCOUNT									See Park VIII
61. Sch Muni Money Fund (SWXXX)	A	Interest			Sold	09/8/11	L	A	
62. Schwab US Treas Money Fund	A	Interest	J	T	Buy	09/8/11	L		
63. IShares DJ Select Div. Fund (DIVY)	A	Dividend			Sold	06/8/11	K	A	
64. IShares DJ Select Div Fund (DIVY)	A	Dividend	K	T	Buy	10/27/11	K		
65. IShares TR Barclays Bond (CCJ)	A	Dividend			Buy	02/25/11	J		
66. IShares TR Barclays Bond (CCJ)	A	Dividend			Sold	07/7/11	J	A	
67. Powershs QQQ-TR Ser. 1 (QQQ)	A	Dividend			Sold	05/23/11	K	A	
68. Powershs QQQ TR Ser. 1 (QQQ)	A	Dividend			Buy	07/20/11	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. Powershs QQQ TR Ser. 1 (QQQ)	A	Dividend			Sold	08/3/11	K	A	
70. Vanguard Small Cap Value (VBR)	A	Dividend			Sold	05/23/11	K	A	
71. Vanguard Value	A	Dividend			Sold	05/23/11	K	A	
72. PIMCO Inc. Inst. CL (PIMIX)	A	Dividend			Buy	05/26/11	L		
73. PIMCO Inc. Inst. CL (PIMIX)	A	Dividend			Sold	07/7/11	L	A	
74. Vanguard Emerging Mkt. ETF (VWO)	A	Dividend			Buy	04/1/11	J		
75. Vanguard Emerging Mkt. ETF (VWO)	A	Dividend			Sold	05/23/11	J	A	
76. IShares TR S&P 500 Index (IVV)	A	Dividend			Buy	07/20/11	K		
77. IShares TR S&P 500 Index (IVV)	A	Dividend			Sold	8/3/11	K	A	
78. Vanguard Div. App. (VIG)	A	Dividend			Buy	07/22/11	J		
79. Vanguard Div. App. (VIG)	A	Dividend			Sold	08/2/11	J	A	
80. Vanguard Div. App. (VIG)	A	Dividend	K	T	Buy	10/27/11	K		
81. Schwab GNMA Fund (SWG SX)	A	Dividend	J	T	Buy	06/14/11	K		
82. Schwab GNMA Fund (SWG SX)	A	Dividend			Sold (part)	12/21/11	K	A	
83. Vanguard Bond Index Fund Sht. Term Bond ETF (BSV)	A	Dividend	L	T	Buy	12/21/11	L		
84. Vanguard Appreication	A	Dividend	L	T	Buy	2/2/11	K		
85. PIMCO Funds Unconstrained Bond Fund Class A	A	Dividend	K	T	Buy	02/2/11	K		

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103.									
104.									
105.									
106.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 26, is simply a heading to disclose that the following lines (lines 27 through 59) describe holdings or transactions in Schwab IRA Rollover during 2011.

Part VII, line 60 is simply a heading to disclose that the following lines (lines 61 through 83) describe holdings or transactions in the Schwab account during 2011.

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4/30/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ROBERT L. ANDERSON, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544