

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Toole, George A.	2. Court or Organization U.S. District Court	3. Date of Report 12/27/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address John Joseph Moakley United States Courthouse 1 Courthouse Way, Suite 7-130 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Self-employed marketing communications consultant
2. 2016	Contract employee, Massachusetts Department of Public Health
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Law Association	4/1/2015-4/2-2015	New York, NY	Dinner in Honor of Federal Judiciary	Travel and lodging
2. James Wilson Institute	11/4/2016-11/6/2016	Washington, DC	Academic seminar	Travel and lodging
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Abbott Laboratories	A	Dividend			Sold (part)	01/19/16	J	A	
2.					Sold (part)	03/11/16	J	A	
3.					Sold	04/29/16	J	A	
4. Abbvie Inc.	A	Dividend	J	T					
5. Accenture PLC Ireland Cl A	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
6. Ace Ltd.		None			Merged (with line 75)	01/14/16	J		See Part VIII, note 2.
7. Adient Plc		None			Sold	11/01/16	J		See Part VIII note 3.
8. Adobe Systems		None	J	T					
9. ADT Corp.	A	Dividend			Sold	02/16/16	J	A	
10. Align Technology		None	J	T	Buy	03/01/16	J		
11.					Buy (add'l)	10/31/16	J		
12.					Buy (add'l)	12/09/16	J		
13. Allergan		None	J	T	Buy (add'l)	04/19/16	J		
14.					Buy (add'l)	05/04/16	J		
15.					Buy (add'l)	10/31/16	J		
16.					Buy (add'l)	12/08/16	J		
17. Alphabet Inc. Cl A		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Alphabet Inc. Cl C		None	J	T					
19. Altria Group	A	Dividend	J	T	Buy (add'l)	01/06/16	J		
20.					Buy (add'l)	03/24/16	J		
21.					Buy (add'l)	12/20/16	J		
22. AMC Networks		None	J	T	Buy (add'l)	04/19/16	J		
23.					Buy (add'l)	10/31/16	J		
24. American Cap World Gr & Inc F2	A	Dividend	J	T	Buy (add'l)	10/04/16	J		
25. American Tax Exempt Bd F2	B	Interest			Sold	12/20/16	L		
26. Anadarko Petroleum	A	Dividend	J	T	Buy (add'l)	01/11/16	J		
27.					Buy (add'l)	01/12/16	J		
28.					Sold (part)	06/01/16	J		
29. Apple common	A	Dividend	J	T	Sold (part)	11/07/16	J	A	
30. AT&T	A	Dividend	J	T	Sold (part)	02/17/16	J	A	
31.					Buy (add'l)	06/21/16	J		
32. Autodesk Inc.		None	J	T					
33. Automatic Data Processing	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
34.					Buy (add'l)	12/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	12/12/16	J		
36. Bank of America deposit accounts	A	Interest	J	T					
37. Berkshire Hathaway Cl B		None	J	T	Buy	02/03/16	J		
38.					Buy (add'l)	05/18/16	J		
39.					Buy (add'l)	12/20/16	J		
40. Biogen		None	J	T	Buy (add'l)	02/03/16	J		
41.					Buy (add'l)	04/19/16	J		
42.					Buy (add'l)	10/31/16	J		
43.					Buy (add'l)	12/08/16	J		
44. Blackrock Global Allocation	A	Dividend			Buy (add'l)	01/11/16	J		
45.					Sold	12/20/16	K		
46. Blackrock Gbl Long/Short (BDMIX)		None			Sold	05/23/16	J		
47. Blackrock High Yield Fund	A	Dividend	J	T	Buy (add'l)	04/19/16	J		
48.					Sold (part)	10/31/16	J		
49. Blackrock Low Dur Bd Inv Inst	A	Dividend	K	T					
50. Blackrock Multi-Asset	A	Dividend	J	T	Buy (add'l)	10/04/16	J		
51. Blackrock Inc.	A	Dividend	J	T	Buy (add'l)	12/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Boeing	A	Dividend			Sold	05/05/16	J		
53. Bristol Myers Squibb	A	Dividend			Sold	01/06/16	J	A	
54. Broadcom	A	Dividend	J	T	Sold (part)	06/01/16	J	A	
55. C A Inc.	A	Dividend	J	T	Buy (add'l)	12/20/16	J		
56. Calamos Market Neutral Inc I	A	Dividend	K	T					
57. Carnival CP New Paired	A	Dividend	J	T	Sold (part)	03/24/16	J	A	
58.					Buy (add'l)	09/21/16	J		
59.					Buy (add'l)	12/20/16	J		
60. Causeway Intl Value (CIVIX)		None			Buy (add'l)	04/19/16	J		
61.					Sold	10/31/16	L		
62. Celgene		None	J	T	Buy (add'l)	01/19/16	J		
63.					Buy (add'l)	05/18/16	J		
64.					Buy (add'l)	10/31/16	J		
65.					Buy (add'l)	12/23/16	J		
66. CF Industries common	A	Dividend			Sold	11/01/16	J	B	
67. Center Coast MLP Focus	B	Dividend	K	T	Buy (add'l)	04/19/16	J		
68. Chevron common	A	Dividend	J	T	Sold (part)	03/24/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	07/18/16	J		
70.					Buy (add'l)	09/22/16	J		
71.					Buy (add'l)	10/31/16	J		
72.					Sold (part)	12/06/16	J	A	
73.					Sold (part)	12/16/16	J	A	
74.					Buy (add'l)	12/20/16	J		
75. Chubb Ltd		None	J	T	Sold (part)	03/22/16	J	A	See Part VIII, note 2.
76.					Buy (add'l)	10/31/16	J		
77.					Buy (add'l)	12/06/16	J		
78. Cincinnati Financial Ohio	A	Dividend	J	T	Buy (add'l)	12/20/16	J		
79. Cisco Systems	A	Dividend	J	T	Buy (add'l)	12/16/16	J		
80.					Buy (add'l)	12/20/16	J		
81. Citrix Systems Inc.		None	J	T	Sold (part)	06/01/16	J	A	
82.					Buy (add'l)	10/31/16	J		
83. Clearbridge Aggressive Growth (SAGYX)	A	Dividend	J	T					
84. Coca Cola Co.	A	Dividend	J	T	Buy (add'l)	03/24/16	J		
85.					Buy (add'l)	12/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Cogate Palmolive	A	Dividend	J	T	Sold (part)	01/07/16	J		
87.					Buy (add'l)	10/31/16	J		
88. Columbia Acorn Z (ACRNZ)		None			Buy (add'l)	04/19/16	J		
89.					Sold	06/01/16	L		
90. Comcasst (New) CI A	A	Dividend	J	T	Sold (part)	06/01/16	J	A	
91.					Buy (add'l)	10/31/16	J		
92. Communications Sales & Leasing		None			Sold	02/01/16	J		
93. Conoco Phillips		None			Sold	02/09/16	J		
94. Cree Research		None	J	T	Sold (part)	06/01/16	J		
95.					Buy (add'l)	10/31/16	J		
96. Cullen Frost Bankers		None			Sold (part)	01/05/16	J		
97.					Sold	01/06/16	J		
98. CVS Health Corp	A	Dividend	J	T	Sold (part)	12/20/16	J	B	
99. Delaware Value CL Inst	A	Dividend	J	T	Buy (add'l)	02/02/16	J		
100. Dell Technologies		None			Redeemed	09/22/16	J	A	See Part VIII, note 4.
101. Deutsche X-Trqackers MSCI EAF	A	Dividend			Buy (add'l)	04/19/16	J		
102.					Sold	10/31/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Diageo ADR	A	Dividend	J	T	Buy	06/24/16	J		
104.					Buy (add'l)	06/27/16	J		
105.					Buy (add'l)	06/28/16	J		
106.					Buy (add'l)	12/20/16	J		
107. Discover Financial	A	Dividend	J	T	Buy (add'l)	07/01/16	J		
108.					Buy (add'l)	10/31/16	J		
109.					Sold (part)	11/16/16	J	A	
110. Discovery Communications Ser.A		None	J	T	Buy (add'l)	10/31/16	J		
111. Dolby Cl A	A	Dividend	J	T	Sold (part)	06/01/16	J	A	
112.					Buy (add'l)	10/31/16	J		
113. Dodge & Cox Intl Stock Fund	A	Dividend	J	T					
114. Dollar General Corp.	A	Dividend	J	T	Buy	07/27/16	J		
115.					Buy (add'l)	10/10/16	J		
116.					Buy (add'l)	10/31/16	J		
117. Dominion Resources	A	Dividend	J	T	Buy (add'l)	12/20/16	J		
118. DoubleLine Total Return Bond Fund	A	Dividend	J	T	Buy (add'l)	02/12/16	J		
119. Driehaus Emrg Mkts									See Part VIII, note 5.

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Duke Energy	A	Dividend	J	T	Buy (add'l)	12/20/16	J		
121. Eaton Vance Floating Rate (EIBLX)	A	Dividend			Sold	03/03/16	J		
122. Eli Lilly	A	Dividend	J	T	Buy (add'l)	12/20/16	J		
123. Enterprise Bank & Trust Co. common	C	Dividend	M	T					
124. EMC Corp. common	A	Dividend			Redeemed	09/22/16	J	A	See Part VIII, note 4.
125. Extra Space Storage	A	Dividend	J	T	Buy	07/14/16	J		
126.					Buy (add'l)	09/09/16	J		
127.					Buy (add'l)	10/31/16	J		
128. Exxon Mobile common	A	Dividend	K	T					
129. Facebook Cl A		None	J	T	Buy (add'l)	05/03/16	J		
130.					Buy (add'l)	10/31/16	J		
131. Fastenal	A	Dividend			Sold (part)	07/26/16	J	A	
132.					Sold (part)	07/27/16	J	A	
133.					Sold	09/14/16	J		
134. Fidelity National Information Services	A	Dividend	J	T	Buy (add'l)	03/10/16	J		
135.					Sold (part)	06/01/16	J	A	
136.					Buy (add'l)	10/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	11/18/16	J		
138. Fluor Corp	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
139. Freeport-McMoran		None	J	T	Buy (add'l)	01/11/16	J		
140.					Buy (add'l)	01/12/16	J		
141.					Sold (part)	06/01/16	J		
142.					Buy (add'l)	09/12/16	J		
143. Gartner Inc.		None	J	T					
144. General Dynamics	A	Dividend	J	T	Buy (add'l)	12/20/16	J		
145. General Electric	A	Dividend	J	T	Buy (add'l)	12/20/16	J		
146. General Mills	A	Dividend	J	T	Buy	03/24/16	J		
147.					Buy (add'l)	03/28/16	J		
148.					Buy (add'l)	12/20/16	J		
149. General Motors	A	Dividend	J	T	Buy (add'l)	07/01/16	J		
150.					Buy (add'l)	10/31/16	J		
151. H&R Block	A	Dividend	J	T	Buy (add'l)	01/06/16	J		
152.					Buy (add'l)	12/20/16	J		
153. Harding Loevner Intl Equity	B	Dividend	M	T	Buy (add'l)	04/19/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	06/29/16	J		
155.					Buy (add'l)	10/31/16	M		
156. Hasbro, Inc.	A	Dividend	J	T	Sold (part)	06/24/16	J	A	
157.					Sold (part)	06/27/16	J	A	
158.					Sold (part)	06/28/16	J	A	
159.					Buy (add'l)	12/20/16	J		
160. Honeywell	A	Dividend	J	T	Sold (part)	01/12/16	J		
161.					Buy (add'l)	06/10/16	J		
162.					Buy (add'l)	10/31/16	J		
163. Immunogen		None	J	T	Buy (add'l)	06/01/16	J		
164.					Buy (add'l)	10/31/16	J		
165. Ingersoll-Rand	A	Dividend	J	T	Buy	09/27/16	J		
166.					Buy (add'l)	10/31/16	J		
167. Intel	A	Dividend	J	T	Sold (part)	03/31/16	J	A	
168.					Buy (add'l)	12/20/16	J		
169. Invesco Premier Inst	A	Dividend	J	T					
170. Ionis Pharmaceuticals		None	J	T	Buy (add'l)	02/24/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	02/25/16	J		
172.					Buy (add'l)	06/01/16	J		
173.					Buy (add'l)	11/04/16	J		
174. IQ Hedge Multi-Strat Tracker		None	K	T					
175. iShares Core US Aggregate (AGG)	A	Dividend	J	T	Buy	03/04/16	J		
176. iShares 1-3 Treasury (SHY)	A	Dividend	J	T	Buy	03/07/16	J		
177. Johnson & Johnson common	A	Dividend	J	T	Sold (part)	07/01/16	J	A	
178. Johnson Controls		None	J	T	Buy	10/31/16	J		
179. JP Morgan Chase	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
180.					Sold (part)	12/16/16	J	A	
181. Kinder Morgan	A	Dividend	J	T	Sold (part)	04/20/16	J	A	
182.					Buy (add'l)	12/20/16	J		
183. L-3 Communications Holding	A	Dividend	J	T	Sold (part)	06/01/16	J	A	
184.					Buy (add'l)	10/31/16	J		
185. Liberty Broadband S-A		None	J	T					
186. Liberty Broadband S-C		None	J	T					
187. Liberty Interactive		None	J	T	Buy (add'l)	06/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	10/31/16	J		
189. Liberty Interactive QVC A		None	J	T					
190. Liberty Media Ccorp A		None	J	T	Sold (part)	04/18/16	J		
191.					Buy (add'l)	06/01/16	J		
192. Liberty Media Corp C		None	J	T	Sold (part)	06/14/16	J	A	
193.					Buy (add'l)	10/31/16	J		
194. Lockheed Martin	A	Dividend	J	T	Buy	05/06/16	J		
195.					Buy (add'l)	06/28/16	J		
196.					Buy (add'l)	10/31/16	J		
197. Loomis Sayles Core Plus	A	Dividend			Sold (part)	02/12/16	J		
198.					Sold	03/02/16	J		
199. Lord Abbett Sht Duration Inc (LDLFX)	A	Dividend	K	T	Sold (part)	10/31/16	J		
200. Lowes Companies	A	Distribution	J	T	Buy (add'l)	02/17/16	J		
201.					Sold (part)	07/18/16	J	A	
202.					Sold (part)	07/19/16	J	A	
203.					Buy (add'l)	10/31/16	J		
204.					Buy (add'l)	12/20/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Macy's Inc.	A	Dividend			Sold	07/08/16	J		
206. Manulife Financial common	A	Dividend	K	T					
207. Marsh & McLennan	A	Dividend	J	T					
208. Mastercaard , Inc. C1 A	A	Dividend	J	T					
209. Merger Fund	A	Dividend	J	T					See Part VIII, note 5.
210. Medtronic	A	Dividend	J	T					
211. Merck & Co.	A	Dividend	J	T	Buy	01/07/16	J		
212.					Buy (add'l)	12/20/16	J		
213. Metropolitan West Tot Ret Bd I (MWTIX)	B	Dividend	L	T	Buy (add'l)	10/31/16	J		See Part VIII, note 6.
214. Microsoft common	A	Dividend	J	T	Buy (add'l)	06/28/16	J		
215.					Buy (add'l)	10/31/16	J		
216.					Buy (add'l)	12/20/16	J		
217. Mondelez Intl Inc.	A	Dividend	J	T	Buy (add'l)	01/07/16	J		
218.					Buy (add'l)	10/31/16	J		
219.					Buy (add'l)	11/18/16	J		
220. Monsanto New	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
221. Morgan Stanley Bank cash/MM account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. National Oilwell Varco	A	Dividend	J	T	Buy (add'l)	01/11/16	J		
223.					Buy (add'l)	04/19/16	J		
224. Nestle Spon ADR	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
225. Newmarket Corp (Holding)	A	Dividend	J	T					
226. Nextera Energy	A	Dividend	J	T					
227. Nielsen Holdings PLC	A	Dividend	J	T	Buy (add'l)	01/22/16	J		
228.					Sold (part)	03/10/16	J	A	
229.					Buy (add'l)	10/31/16	J		
230. Nike Inc. B	A	Dividend	J	T					
231. Norfolk Southern	A	Dividend	J	T	Sold (part)	07/18/16	J	A	
232.					Buy (add'l)	12/20/16	J		
233. Novo-Nordisk ADR	A	Dividend	J	T	Sold (part)	01/12/16	J	A	
234.					Buy (add'l)	02/17/16	J		
235.					Buy (add'l)	09/13/16	J		
236.					Buy (add'l)	10/31/16	J		
237. Now Inc.		None	J	T	Buy (add'l)	01/11/16	J		
238.					Buy (add'l)	04/19/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	06/01/16	J		
240. Nuance Communications Inc.		None	J	T	Sold (part)	06/01/16	J	A	
241.					Buy (add'l)	10/31/16	J		
242. Nucor Corp	A	Dividend	J	T	Sold (part)	06/01/16	J		
243.					Buy (add'l)	10/31/16	J		
244. Nuveen Santa Barbara Div Gr 1	A	Dividend	J	T	Buy (add'l)	10/04/16	J		
245. Oracle Corp	A	Dividend	J	T					
246. O'Reilly Automotive New		None	J	T					
247. Packaging Corp	A	Dividend	J	T	Sold (part)	06/10/16	J		
248.					Buy (add'l)	10/31/16	J		
249.					Sold (part)	12/19/16	J	A	
250. Paychex, Inc.	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
251. Parnassus Mid Cap	A	Dividend	J	T					
252. Pentair	A	Dividend	J	T	Buy (add'l)	01/11/16	J		
253.					Buy (add'l)	04/19/16	J		
254.					Buy (add'l)	10/31/16	J		
255. Pepsico common	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Pfizer Inc.	A	Dividend	J	T	Buy (add'l)	03/21/16	J		
257.					Sold (part)	08/03/16	J	A	
258.					Buy (add'l)	10/31/16	J		
259.					Buy (add'l)	11/09/16	J		
260.					Buy (add'l)	12/20/16	J		
261. Phillips 66	A	Dividend	J	T	Buy (add'l)	05/16/16	J		
262.					Buy (add'l)	08/03/16	J		
263.					Buy (add'l)	10/31/16	J		
264. Praxair, Inc. common	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
265. Priceline Grp New		None	J	T					
266. Principal Mid Cap (PMCPX)	B	Dividend	L	T	Buy	06/01/16	L		
267. Procter & Gamble common	A	Dividend	J	T					
268. Prudential Jennison Nat Res (PNRZX)	A	Dividend			Buy (add'l)	04/19/16	J		
269.					Sold	10/31/16	K		
270. Prudential Short Term Corp Bond Z	A	Dividend	J	T					
271. Regeneron Pharmaceuticals		None	J	T	Buy (add'l)	12/23/16	J		
272.					Buy (add'l)	12/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Reynolds American	A	Dividend	J	T	Sold (part)	03/24/16	J	A	
274.					Sold (part)	03/28/16	J	A	
275.					Sold (part)	07/18/16	J	A	
276.					Buy (add'l)	12/20/16	J		
277. Sandisk Corp.	A	Dividend			Redeemed	05/13/16	J	A	
278. Schwab Advisor Cash Reserve Fund	A	Interest	J	T					
279. Schlumberger Ltd	A	Dividend	J	T	Buy	04/20/16	J		
280.					Buy (add'l)	10/31/16	J		
281. Seagate TechnologyPLC	A	Dividend	J	T	Buy (add'l)	04/19/16	J		
282.					Buy (add'l)	06/01/16	J		
283. Starbucks Corp	A	Dividend	J	T					
284. Starz Ser. A		None			Redeemed	12/12/16	J	A	
285. Stericycle,Inc. common		None	J	T					
286. TE Connectivity	A	Dividend	J	T	Buy (add'l)	01/05/16	J		
287.					Buy (add'l)	01/06/16	J		
288.					Buy (add'l)	01/13/16	J		
289.					Buy (add'l)	06/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	10/31/16	J		
291. The Mosaic Co (Holding Co)	A	Dividend	J	T	Buy (add'l)	12/20/16	J		
292. Thornburg Intl Value Fund	A	Dividend			Sold (part)	01/11/16	J		
293.					Sold	04/19/16	K		
294. TJX Cos New	A	Dividend	J	T					
295. Twitter Inc.		None	J	T	Buy (add'l)	01/11/16	J		
296.					Buy (add'l)	01/13/16	J		
297.					Buy (add'l)	01/14/16	J		
298.					Buy (add'l)	01/15/16	J		
299.					Buy (add'l)	04/19/16	J		
300.					Buy (add'l)	05/05/16	J		
301. Tyco Intl PLC	A	Dividend			Buy (add'l)	02/24/16	J		
302.					Buy (add'l)	04/19/16	J		
303.					Sold	06/01/16	J	A	
304. Union Pacific common	A	Dividend	J	T	Buy (add'l)	08/03/16	J		
305.					Buy (add'l)	10/31/16	J		
306.					Sold (part)	12/16/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. UnitedHealth Group	A	Dividend	J	T	Sold (part)	06/01/16	J	A	
308.					Buy (add'l)	10/31/16	J		
309.					Sold (part)	11/18/16	J	A	
310. Van Eck Emrg Mkts	A	Dividend			Sold	06/28/16	J		
311. Vanguard Growth Index Fund	A	Dividend	J	T	Buy (add'l)	02/08/16	J		
312. Vanguard Short Term Bond ETF	A	Dividend	J	T	Sold (part)	06/09/16	J		
313. Verizon Communications common	A	Dividend	J	T	Buy (add'l)	03/24/16	J		
314.					Buy (add'l)	07/18/16	J		
315.					Buy (add'l)	12/20/16	J		
316. Vertex Pharmaceuticals		None	J	T	Buy (add'l)	01/11/16	J		
317.					Buy (add'l)	04/19/16	J		
318.					Buy (add'l)	10/31/16	J		
319.					Buy (add'l)	11/02/16	J		
320.					Buy (add'l)	11/03/16	J		
321. V F Corp.	A	Dividend	J	T	Sold (part)	08/03/16	J		See Part VIII, note 7.
322.					Buy (add'l)	10/31/16	J		
323.					Buy (add'l)	12/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. Virtus Insight Emrg Mkts (HIEMX)	A	Dividend	K	T	Buy (add'l)	04/19/16	J		
325. Visa Inc. Cl A	A	Dividend	J	T					
326. Voya Global RE (IGLIX)	A	Dividend	K	T					
327. Walt Disney Co Holding Co		None	J	T	Buy (add'l)	01/08/16	J		
328.					Buy (add'l)	06/28/16	J		
329.					Buy (add'l)	10/31/16	J		
330. WCM Focused Intl Growth		None	J	T					
331. Weatherford Intl		None	J	T	Buy (add'l)	01/11/16	J		
332.					Buy (add'l)	04/19/16	J		
333.					Buy (add'l)	06/01/16	J		
334.					Buy (add'l)	09/13/16	J		
335. WEC Energy Group Inc.	A	Dividend	J	T	Sold (part)	07/01/16	J	A	
336.					Buy (add'l)	11/18/16	J		
337. Wells Fargo & Co New	A	Dividend	J	T	Sold (part)	01/08/16	J		
338.					Buy (add'l)	07/18/16	J		
339.					Buy (add'l)	08/03/16	J		
340.					Buy (add'l)	10/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Buy (add'l)	10/31/16	J		
342.					Sold (part)	12/16/16	J		
343.					Buy (add'l)	12/20/16	J		
344. Wells Fargo & Co 6% Series V	A	Dividend	M	T	Sold (part)	10/17/16	J	A	
345.					Buy (add'l)	12/20/16	M		
346. Western Digital Corp	A	Dividend	J	T	Buy (add'l)	01/11/16	J		
347.					Buy (add'l)	04/19/16	J		
348.					Buy (add'l)	05/04/16	J		
349.					Buy (add'l)	05/18/16	J		
350.					Sold (part)	06/01/16	J		
351. Whirlpool Corp	A	Dividend	J	T	Buy (add'l)	01/22/16	J		
352.					Buy (add'l)	10/31/16	J		
353.					Sold (part)	12/16/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The trust identified in Part I is a family trust. All the assets held in the trust are reported individually in Part VII but are not specifically identified in that Part to the trust.
2. Ace Ltd. merged with Chubb. See line 75. Holdings and transactions are reported under Chubb.
3. Adient Plc was a spin-off from Johnson Controls. The asset was disposed of as reported at line 7.
4. EMC Corp. was acquired by Dell Technologies, a privately held company, and the stock was redeemed. The redemption value was J, with a gain of A.
5. See notes 2 and 6 to Amended Report for 2015 filed October 25, 2016. In the transfer of management of assets between advisers that occurred at the end of 2014 and the beginning of 2015, some assets were simply transferred, some were sold by the prior adviser and cash was transferred, which the successor adviser then invested in new assets. Driehaus Emerging Markets (this report line 119) was carried in last year's report as if transferred, but on further investigation, from available records it appears that asset was liquidated by the prior adviser and the cash proceeds were transferred. It appears that the sale occurred in early January 2015, similar to the assets reported in note 6 to the 2015 Report. From a comparison of the respective per share/unit purchase prices for this asset and the share/unit price in early January 2015, it appears that the market value of the sale transaction was J. Based on the same comparison, there was no gain. In my original report for 2016 filed in May, I identified shares in Merger Fund (MERIX) as having likely been disposed of in a similar way. I have since discovered that those shares were not disposed of and are currently, and have been continuously held.
6. The entry for this asset in the Report for 2015 at line 353, column D.I should have indicated "sold in part," rather than "sold."
7. V F Corp., reported beginning at line 320, was acquired in 2015 but inadvertently omitted from the Report for that year. It was bought 05/01/2015 at a market value of J. It paid dividends in 2015 valued at A.

FINANCIAL DISCLOSURE REPORT

Page 26 of 26

Name of Person Reporting

O'Toole, George A.

Date of Report

12/27/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **George A. O'Toole**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544