

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Gale, Joseph H.                                                                                                                      | <b>2. Court or Organization</b><br><br>U.S. Tax Court                                                                                                                                                                                                                                               | <b>3. Date of Report</b><br><br>12/06/2018                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Judge - Active                                                                | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2017<br>to<br>12/31/2017 |
| <b>7. Chambers or Office Address</b><br><br>U.S. Tax Court<br>400 Second St., N.W.<br>Washington, D.C. 20217                                                                                              |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. | Trustee         | Trust #1                           |
| 2. |                 |                                    |
| 3. |                 |                                    |
| 4. |                 |                                    |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. E.I. DuPont de Nemours & Co. common stock                                                                                | A                                       | Dividend                                      |                                                 |                                           | Merged<br>(with line 69)                        | 09/01/17                |                                 |                                | See note Sec. VIII                                                |
| 2. Vertex Pharmaceuticals, Inc. common stock                                                                                |                                         | None                                          | M                                               | T                                         | Sold<br>(part)                                  | 11/01/17                | J                               | D                              |                                                                   |
| 3. Wells Fargo & Co. New common stock                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Intel Corp. common stock                                                                                                 | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Cisco Systems, Inc. common stock                                                                                         | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Trust #1                                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 7. - Rental Property #1, Smithfield, VA<br>\$222,300                                                                        | E                                       | Rent                                          | M                                               | S                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. - Rental Property #2, Smithfield, VA<br>\$217,200                                                                        | E                                       | Rent                                          | M                                               | S                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. - CAIBX mutual fund                                                                                                      | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. - FRDPX mutual fund                                                                                                     | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. - AMECX mutual fund                                                                                                     | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. - Rental property #3, Smithfield, VA<br>\$363,900                                                                       | E                                       | Rent                                          | N                                               | S                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Express Scripts Holding Co.                                                                                             |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. Chevron Corp. common stock                                                                                              | C                                       | Dividend                                      | M                                               | T                                         | Sold<br>(part)                                  | 04/17/17                | K                               | A                              |                                                                   |
| 15. Teradata Corp DEL common stock                                                                                          |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Invesco Van Kampen Hi Yield Mun. Fund<br>Class A mut. fund                                                              | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Achillion Pharma Inc. common stock                                                                                      |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                         |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                         | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000.001 - \$500,000.000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                         | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                              |   |          |   |   |  |  |  |  |  |
|----------------------------------------------|---|----------|---|---|--|--|--|--|--|
| 18. Agilent Technologies Inc. common stock   | A | Dividend | J | T |  |  |  |  |  |
| 19. Apple Inc. common stock                  | D | Dividend | N | T |  |  |  |  |  |
| 20. Exxon Mobil Corp. common stock           | C | Dividend | M | T |  |  |  |  |  |
| 21. McDonalds Corp. common stock             | C | Dividend | M | T |  |  |  |  |  |
| 22. Oracle Corp. common stock                | A | Dividend | L | T |  |  |  |  |  |
| 23. Pfizer Corp. common stock                | B | Dividend | K | T |  |  |  |  |  |
| 24. Inherited IRA-Charles Schwab & Co., Inc. |   |          |   |   |  |  |  |  |  |
| 25. - Achillion Pharma Inc. common stock     |   | None     | J | T |  |  |  |  |  |
| 26. - Akamai Technologies common stock       |   | None     | K | T |  |  |  |  |  |
| 27. - Alibaba Group Hldg FADR                |   | None     | K | T |  |  |  |  |  |
| 28. - Amazon Com. Inc. common stock          |   | None     | M | T |  |  |  |  |  |
| 29. - Apple Inc. common stock                | D | Dividend | N | T |  |  |  |  |  |
| 30. - Facebook Inc. Class A common stock     |   | None     | L | T |  |  |  |  |  |
| 31. - Fire Eye Inc. common stock             |   | None     | K | T |  |  |  |  |  |
| 32. - Gilead Sciences Inc. common stock      | A | Dividend | K | T |  |  |  |  |  |
| 33. - GoPro Inc. common stock                |   | None     | K | T |  |  |  |  |  |
| 34. - Vertex Pharmaceuticals common stock    |   | None     | L | T |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                 |   |          |   |   |                       |          |   |   |                    |
|-------------------------------------------------|---|----------|---|---|-----------------------|----------|---|---|--------------------|
| 35. Altria Group Inc. common stock              | A | Dividend | J | T | Sold (part)           | 11/30/17 | J | B |                    |
| 36.                                             |   |          |   |   | Sold (part)           | 12/04/17 | J | B |                    |
| 37.                                             |   |          |   |   | Sold (part)           | 12/05/17 | J | A |                    |
| 38. Amer Electric Pwr Co. Inc. common stock     | A | Dividend | J | T |                       |          |   |   |                    |
| 39. BB&T Corp. common stock                     | A | Dividend | K | T |                       |          |   |   |                    |
| 40. Uniti Group Inc. common stock               | A | Dividend |   |   | Sold                  | 05/05/17 | J | A | See note Sec. VIII |
| 41. Gilead Sciences Inc. common stock           | A | Dividend | K | T |                       |          |   |   |                    |
| 42. Imperial TOB Gp PLC ADRF common stock       | A | Dividend | J | T |                       |          |   |   |                    |
| 43. Medtronic Inc. common stock                 | A | Dividend | K | T |                       |          |   |   |                    |
| 44. Mondelez Int'l Inc. CLA common stock        | A | Dividend | J | T |                       |          |   |   |                    |
| 45. Norfolk Southern Corp. common stock         | B | Dividend | L | T |                       |          |   |   |                    |
| 46. Reynolds American Inc. common stock         | A | Dividend |   |   | Merged (with line 68) | 07/25/17 | K | D |                    |
| 47. Sprint Corp common stock                    |   | None     | J | T |                       |          |   |   |                    |
| 48. Suntrust Banks Inc. common stock            | A | Dividend | J | T |                       |          |   |   |                    |
| 49. Verizon Communications common stock         | A | Dividend | K | T |                       |          |   |   |                    |
| 50. Invesco High Yield Fund Class A mutual fund | A | Dividend | J | T |                       |          |   |   |                    |
| 51. American Fund Europacific mutual fund       | A | Dividend | K | T |                       |          |   |   |                    |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                    |   |          |   |   |      |          |   |   |                    |
|----------------------------------------------------|---|----------|---|---|------|----------|---|---|--------------------|
| 52. American Fund Income Fund mutual fund          | A | Dividend | J | T |      |          |   |   |                    |
| 53. Keysight Technologies common stock             |   | None     |   |   | Sold | 11/01/17 | J | A |                    |
| 54. Charles Schwab & Co. Roth IRA                  | A | Interest | J | T |      |          |   |   |                    |
| 55. Charles Schwab & Co. Inherited IRA             |   |          |   |   |      |          |   |   |                    |
| 56. - Citigroup Inc. subordinated notes            | A | Interest | J | T |      |          |   |   |                    |
| 57. - AT&T Inc. common stock                       | A | Dividend | J | T |      |          |   |   |                    |
| 58. - Bank of America Corp. common stock           | A | Dividend | J | T |      |          |   |   |                    |
| 59. - Facebook Inc. Class A common stock           |   | None     | K | T |      |          |   |   |                    |
| 60. - Johnson & Johnson common stock               | A | Dividend | K | T |      |          |   |   |                    |
| 61. - Medtronic Inc. common stock                  | A | Dividend | J | T |      |          |   |   |                    |
| 62. - Proctor & Gamble Co. common stock            | A | Dividend | J | T |      |          |   |   |                    |
| 63. NCR Corp.                                      |   | None     |   |   | Sold | 11/30/17 | J | A |                    |
| 64. Charles Schwab & Co. Rollover IRA              |   |          |   |   |      |          |   |   |                    |
| 65. - Whole Foods Market common stock              | A | Dividend |   |   | Sold | 08/28/17 | K | B |                    |
| 66. - Fire Eye Inc. common stock                   |   | None     | J | T |      |          |   |   |                    |
| 67. - Apple Inc. common stock                      | A | Dividend | K | T |      |          |   |   |                    |
| 68. British American Tobacco (Merger from line 48) | A | Dividend | J | T |      | 07/25/17 |   |   | See note Sec. VIII |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Dow Dupont (Merger from line 1)                                                                                         | A                                       | Dividend                                      | K                                               | T                                         |                                                 | 09/01/17                |                                 |                                | See note Sec. VIII                                                |
| 70.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 71.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                      | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                                                          | T ~Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gale, Joseph H.

Date of Report

12/06/2018

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

### Section VII. Investments and Trusts

1. Effective 9/1/17, E.I. Dupont de Nemours & Co. merged with The Dow Chemical Company to become Dow Dupont.
40. Communications Sales changed name to Uniti Group Inc. on 2/27/17.
68. Received in exchange for Reynolds American Inc. stock pursuant to British American Tobacco's acquisition of Reynolds American Inc.
69. Received in exchange for E.I. Dupont de Nemours & Co. stock pursuant to merger of the foregoing with The Dow Chemical Company.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gale, Joseph H.

Date of Report

12/06/2018

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joseph H. Gale**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544