

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Robart, James L.	2. Court or Organization U.S. Dist Court, W.D. of WA	3. Date of Report 05/08/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Dist. Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 700 Stewart Street, 14th Floor Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	Whitman College
2. Trustee	Foundation # 1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	University of Washington Law School	March 21-26, 2011	Alicante, Spain	IP Program	Airfare and Lodging
2.	American Conference Institute	March 31-April 4, 2011	Chicago, Illinois	Trucking Litigation CLE	Airfare and Parking
3.	American Conference Institute	April 4-5, 2011	Miami, Florida	Long-Term Care Litigation CLE	Airfare, Taxi, and Parking
4.	American Conference Institute	April 14-15, 2011	San Francisco, California	ERISA CLE	Airfare, Taxi, and Parking
5.	American Conference Institute	April 27-28, 2011	Chicago, Illinois	Chemical Products Liability CLE	Airfare, Taxi, and Parking

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6.	American Conference Institute	May 23-24, 2011	New York, New York	Wage & Hour CLE	Airfare, Taxi, and Parking
7.	American Conference Institute	October 27-28, 2011	Orlando, Florida	Consumer Finance CLE	Airfare, Taxi, and Parking
8.	American Conference Institute	November 8-9, 2011	Phoenix, Arizona	Manged Care CLE	Airfare, Taxi, and Parking

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.	Bank of America	Credit Card	J
3.	Whitman College	Multi-year pledge	M
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Key Bank	A	Interest	J	T					
2. Key Bank	A	Interest	J	T					
3. Oak Harbor, WA Island Cnty Rental Property (2011 - \$264,700)	A	Rent	O	S					
4. Seattle, WA King Cnty Rental Property (2010 - \$249,000)	D	Rent	M	S					
5. Bank of Washington Common Stock		None	J	T					
6. BROKERAGE ACCOUNT #1									
7. -- Starbucks Common Stock	D	Dividend	O	T					
8. -- Schwab Money Fund	A	Interest	M	T					
9. -- Silver Standard Common Stock		None	J	T					
10. -- Gabriel Technologies Corp.		None	J	T	Sold (part)	07/05/11	J		See Note 1
11. -- MSCI Em. Mkts I-Shares	E	Dividend	O	T					
12. -- MSCI EAFE Index Fund	D	Dividend	M	T					
13. -- S&P 600 I-Shares	D	Dividend	O	T	Sold (part)	01/03/11	L	D	
14. -- Vanguard REIT Fund	D	Dividend	N	T					
15. -- S&P 500 IShares	D	Dividend	O	T					
16. -- Boston Priv. Fin. Hldg.	A	Dividend	L	T					
17. Chevron Common Stock	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Vanguard GNMA Mutual Fund	D	Dividend	M	T	Donated (part)				
19. BROKERAGE IRA #1									
20. --Gov't FHLBB Notes	C	Interest	L	T					
21. -- Resolution Trust Strips		None	L	T	Matured (part)	07/15/11	L	E	
22. -- Fed Nat Mortg. Notes	D	Interest	L	T	Matured (part)	11/15/11	M	B	
23. -- MSCI EAFE Index I-Shares	D	Dividend	O	T					
24. -- S&P 600 IShares	D	Dividend	P1	T					
25. -- SW Bank of St. Louis CD	C	Interest	L	T					
26. -- Costco Corp. Notes	C	Interest	L	T					
27. -- Nat. City Bank CD	C	Interest	L	T					
28. -- First Bank of Puerto Rico CD	C	Interest	L	T					
29. -- GE Capit. Notes	C	Interest	L	T	Matured (part)	11/21/11	L		
30. -- Banco Pop. Corp. N.A. CD	C	Interest	L	T					
31. -- GE Money Bank CD	C	Interest	L	T					
32. -- U.S. Treasury Strips		None	K	T	Matured (part)	05/15/11	J		
33. -- S&P 500 I-Shares	C	Dividend	N	T	Buy (add'l)	01/03/11	L		
34. -- Schwab Money Fund	A	Interest	N	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -- Capmark Bank CD	C	Interest	L	T					
36. -- SallieMae Bank CD	C	Interest	L	T					
37. -- U.S. TIPS		None	N	T	Buy (add'l)	04/21/11	M		
38. -- Vanguard REIT	D	Dividend	O	T					
39. -- Emrg. Mkt. I-Share	B	Dividend	M	T	Buy (add'l)	01/03/11	L		
40. -- General Mills Notes	C	Interest	L	T					
41. -- Bank of America Notes	C	Interest	L	T					
42. -- Morgan Stanley Notes	D	Interest	L	T					
43. --CISCO Systems Note	C	Interest	M	T	Buy	01/07/11	L		
44. -- Discover Bank CD	B	Interest	L	T	Buy	07/12/11	L		
45. --Goldman Sachs Notes	B	Interest	L	T	Buy	10/03/11	L		
46. BROKERAGE IRA #2									
47. -- U.S. Treasury Strips		None	K	T					
48. -- Janus Balanced Mut. Fund	A	Dividend	K	T					
49. -- T.R. Price New Asia Mut. Fund	C	Dividend	K	T					
50. -- Schwab Money Fund	A	Interest	J	T					
51. -- MSCI EAFE Index Fund	A	Dividend	J	T					

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52. -- S&P 500 I-Shares	A	Dividend	M	T					
53. -- MSCI Em. Mkts I Share	A	Dividend	L	T					
54. -- S&P 600 I Shares	A	Dividend	L	T					
55. FOUNDATION # 1									
56. -- Boeing Common Stock	B	Dividend	K	T					
57. -- Exxon Mobil Common Stock	B	Dividend	K	T					
58. -- IBM Common Stock	A	Dividend	L	T	Buy	08/08/11	K		
59. -- 3M Common Stock	B	Dividend	K	T					
60. -- RBC Prime Money Fund	A	Dividend	K	T					
61. -- PacCar Inc.	A	Dividend			Sold	11/20/11	K	D	
62. -- Eagle Mid Cap Fund	A	Dividend	M	T	Buy (add'l)	01/20/11	K		
63. -- Fidelity Lev. Co. Fund	B	Dividend	M	T	Buy (add'l)	01/20/11	L		
64. -- Keeley Sm. Cap. Fund	A	Dividend	M	T	Buy (add'l)	01/20/11	K		
65. -- Russell Emerg. Mkts FD	B	Dividend	L	T					
66. -- Thornberg Int'l Value FD	B	Dividend	M	T					
67. -- Thornberg Int'l Growth FD	A	Dividend	M	T					
68. -- Eaton Vance Income FD	D	Interest	M	T					

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69. -- Pimco Bd. Fund	C	Interest	M	T					
70. -- J.P. Morgan Income Bd. Fund	D	Interest	M	T					
71. -- Praxair	A	Dividend	L	T					
72. -- IShares Med Devices	A	Dividend	L	T					
73. -- IShares Global Materials Index	A	Dividend			Sold	08/16/11	L	D	
74. -- IShares Tech Index	A	Dividend	K	T					
75. -- IShares Consumer Serv Index	A	Dividend	K	T					
76. -- J.P. Morgan Large Cap Fund	B	Dividend	N	T					
77. -- J.P. Morgan Alerian Index	A	Dividend			Sold	03/11/11	K	D	
78. --UIT First Trust Global	D	Dividend	M	T	Buy	08/16/11	M		
79. --Pimco All Assets Fund	D	Dividend	M	T	Buy	08/16/11	M		
80. Vanguard Intl. Tax Managed Fund	E	Dividend	O	T					
81. TREASURY DIRECT ACCOUNT									
82. -- 6/30/13 Note	C	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Line 10: Energy Connect Group sold to Gabriel Technologies Corp.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James L. Robart**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544