

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Holmes, III, Paul K.	2. Court or Organization U.S. District Court, Western District of Arkansas	3. Date of Report 05/02/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 30 South 6th Street Fort Smith, Arkansas 72901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	PKH Properties, LLC
2. Director	BECH Oil & Gas Company
3. Trustee	Trust #1
4. Trustee	Trust #2
5. Trustee	Trust #3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Fort Smith Public School District- Substitute teaching

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ARCB Common	A	Dividend	L	T					
2. CHS Common	A	Dividend	J	T					
3. CNP Common	A	Dividend	J	T					
4. COP Common	C	Dividend	L	T					
5. EOG Common		None	K	T					
6. ESRX Common		None	L	T					
7. FDX Common	A	Dividend	M	T					
8. GE Common	B	Dividend	L	T					
9. HD Common	C	Dividend	N	T					
10. HPQ Common	B	Dividend	K	T					
11. HPE Common		None	L	T	Spinoff (from line 10)	11/01/15	L		
12. IBKC Common	B	Dividend	L	T					
13. INTC Common	A	Dividend	K	T					
14. JBHT Common	A	Dividend	M	T					
15. JNJ Common	B	Dividend	L	T					
16. JPM Common	A	Dividend	K	T					
17. MON Common	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. MSFT Common	C	Dividend	M	T					
19. MUR Common	B	Dividend	J	T					
20. MUSA Common		None	J	T					
21. NUE Common	C	Dividend	L	T					
22. PFE Common	D	Dividend	N	T					
23. PSX Common	A	Dividend	K	T					
24. RF Common	A	Dividend	J	T					
25. RIG Common	A	Dividend	J	T					
26. SLB Common	B	Dividend	M	T					
27. SO Common	C	Dividend	M	T					
28. T Common	A	Dividend	J	T					
29. WMMVY Common	A	Dividend	K	T					
30. WMT Common	D	Dividend	N	T					
31. VFH Index Fund	A	Dividend	K	T					
32. Vanguard Total Stock Index Fund	C	Dividend	M	T					
33. Vanguard Extended Market Index Fund	B	Dividend	M	T					
34. Vanguard Growth Index Fund	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard Capital Opportunity Fund	D	Dividend	N	T	Buy (add'l)	08/21/15	J		
36.					Buy (add'l)	12/23/15	J		
37. Vanguard Developed Markets Index Fund	D	Dividend	M	T					
38. Vanguard Total Int. Stock Index Fund	C	Dividend	M	T					
39. Vanguard Inter-Term Inv. Grade Fund	A	Dividend	M	T					
40. Vanguard Inflation-Protect Sec.	B	Dividend	L	T					
41. Vanguard Inter-Term Tax Exempt Inv.	B	Interest	M	T					
42. Vanguard Inter-Bond Index Fund	C	Dividend	L	T					
43. Fidelity Int. Muni Income Fund	D	Interest	N	T					
44. MFS Investors Trust-A Fund	B	Dividend	L	T					
45. T.Rowe Price Growth Stock Fund	A	Dividend	L	T					
46. T.Rowe Price Personal Strategy Growth Fund	B	Dividend	K	T					
47. T.Rowe Price New Horizons Fund	B	Dividend	K	T					
48. T.Rowe Price International Stock Fund	B	Dividend	L	T					
49. First National Bank of Fort Smith Accounts	A	Interest	J	T					
50. Schwab Cash Reserves	A	Interest	L	T					
51. T.Rowe Price Tax-Exempt Money Mkt. Fund	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Vanguard Tax-Exempt Money Mkt. Fund	A	Interest	N	T					
53. Vanguard Prime Money Mkt. Fund	A	Interest	L	T					
54. Fidelity Municipal Money Mkt. Fund	A	Interest	L	T					
55. PKH Properties, LLC		None	J	W					
56. BECH Oil & Gas Company	D	Distribution	K	W					
57. HSA Bank Money Mkt. Fund	A	Interest	J	T					
58. TD Ameritrade Money Mkt. Fund (HSA)	A	Interest	J	T					
59. TD Ameritrade SPY Index Fund (HSA)	A	Dividend	K	T					
60. T.Rowe Price- Rollover IRA Acct.	E	Dividend	P1	T					
61. -POMIX									
62. -PRGIX									
63. -TRMCX									
64. -PRTIX									
65. -PRTXX									
66. -TRRBX									
67. -RPSIX									
68. -ESRX Common									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -INTC Common									
70. -MRK Common									
71. -NUE Common									
72. -CVX Common									
73. -VIMSX									
74. -FIGRX									
75. T.Rowe Price- Ind. 401K	B	Dividend	L	T					
76. -TRRHX									
77. Vanguard Ind. Roth IRA	A	Int./Div.	J	T					
78. -NAESX									
79. -NAESX									
80. Lincoln National Whole Life		None	K	T					
81. Union Central Whole Life	A	Dividend	J	T					
82. Transamerica Whole Life	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- I. POSITIONS- I am Trustee of Trusts #1, #2, and #3, but have no beneficial ownership or contingent interest in the trusts.
- II. INVESTMENTS AND TRUSTS- I receive no income and have no beneficial interest in principal or income from Trust #1, #2, and #3 previously reported, and reporting is no longer required.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul K. Holmes, III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544