

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) REAVLEY, THOMAS M.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 4/21/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Bob Casey Federal Courthouse 515 Rusk Street, Room 11009 Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

REAVLEY, THOMAS M.

Date of Report

4/21/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	State of Texas, Judicial Retirement	\$76,608.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Spouse Carolyn Dineen King; Norton Rose Fulbright Defined Benefit Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

REAVLEY, THOMAS M.

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4/21/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Prudential Insurance Co.	Policy Loan	K
2.	None for Spouse Carolyn Dineen King	None	
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

REAVLEY, THOMAS M.

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4/21/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Compass Bank (Houston)		None	N	T					
2. Royalty (Hendrix) Pecos County, Texas	A	Royalty	J	W					
3. Minerals (Samson) Nacogdoches County, Texas	D	Royalty	L	W					
4. Minerals (Apache) Nacogdoches County, Texas		None	J	W					
5. Chase Bank (Houston)	A	Interest	J	T					
6. Minerals (Chesapeake) Nacogdoches County, Texas		None	J	W					
7. Fee Land, Llano County, Texas		None	K	W					
8. Prudential Insurance Company - Whole Life Policy	A	Int./Div.	J	T					
9. Minerals (Indigo) Nacogdoches County, Texas	C	Royalty	M	W					
10. Vallence Operating Co Panola County, Texas	C	Royalty	M	W					
11. Minerals (Memorial Production Co AETHON) Nacogdoches County, Texas	D	Royalty	J	W					
12. Minerals (Patara & Gulf Coast) Shelby County, Texas	A	Royalty	J	W					
13. Minerals (Noble & Hyperion) Nacogdoches County, Texas	B	Royalty	K	W					
14. Minerals (XTO) Nacogdoches County, Texas	A	Royalty	J	W					
15. Minerals (Delta Petroleum) Newton County, Texas		None	J	W					
16. Texas State Bond 5% Due 10/1/22	A	Interest	M	T					
17. Greater Clark County, Colorado Bond 5% Due 1/15/16	A	Interest			Redeemed	01/15/16	K		Issuer

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Massachusetts Bond	C	Interest	L	T					
19. Texas GO Series B Bond 4% Due 4/01/24	C	Interest	M	T					
20. Apple Common Stock	B	Dividend	M	T					
21. Walt Disney Common Stock	A	Dividend	L	T					
22. Arkansas St. Bond	B	Interest	L	T					
23. Stifel Bank & Trust - cash account	A	Interest	M	T					
24. American Express Centurion Bank - cash account	A	Interest	M	T					
25. The Bank of East Asia Ltd. - cash account	A	Interest	L	T					
26. Minerals (British Petroleum) Panola County, Texas	A	Royalty	J	W					
27. Minerals (Memorial Production) Panola County, Texas	A	Royalty	J	W					
28. Carolyn D. King (Spouse) Holdings Listed Below:									
29. Compass Bank Houston, TX (Money Market)	B	Interest	N	T					
30. J.P. Morgan Chase Common Stock (formerly J.P. Morgan & Co.)	B	Dividend	L	T					
31. General Electric Co. Common Stock	C	Dividend	M	T					
32. IBM Common Stock	B	Dividend	K	T					
33. Exxon Mobil Corp. Common Stock	C	Dividend	M	T					
34. Compass Bank Checking Account		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. T. Rowe Price Small Cap Value Fund	B	Distribution	N	T					
36.		Dividend							
37. T. Rowe Price New Era Fund	B	Distribution	M	T					
38.		Dividend							
39. Northwestern Mutual Whole Life Policies - See Part VIII	E	Dividend	O	V					
40. Abbott Labs Common Stock	B	Dividend	K	T					
41. Illinois Tool Works Inc Common Stock	E	Dividend	O	T					
42. Proctor & Gamble Common Stock	C	Dividend	M	T					
43. Northwestern Mutual Variable Annuity Policy (annuitant)		None	M	T					
44. Tarrant Cnty Tex Hea B LTH FACS Dev Corp 5% Bonds-12/1/2019	C	Interest	M	T					
45. Texas Transn Commun ST Hwy FD Rev 5% Bonds Due 4/1/2019	C	Interest			Redeemed	04/01/16	L		Issuer
46. Northwestern Mutual Insurance Service Account	A	Interest	J	T					
47. Glaxosmithkline PLC	B	Dividend	K	T					
48. Texas GO 5% Bonds Due 10/1/2019	C	Interest	M	T					
49. Phoenix AZ Rev. 5% Bonds Due 7/1/2018	C	Interest	M	T					
50. Chevron Corp.	C	Dividend	L	T					
51. Royal Dutch Shell	C	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. Dallas Cnty TX Cmnty College GO 5% Bonds Due 2/15/21	C	Interest	M	T					
53. Massachusetts GO 5.25% Bonds Due 8/1/2021	D	Interest	M	T					
54. Caterpillar Common Stock	C	Dividend	L	T					
55. Abbvie Inc. Common Stock	C	Dividend	L	T					
56. Home Depot Common Stock	B	Dividend	L	T					
57. Microsoft Common Stock	C	Dividend	M	T					
58. Apple Common Stock	B	Dividend	L	T					
59. Walt Disney Common Stock	A	Dividend	K	T					
60. Wisconsin Clean Water Bond 4% Due 6/01/23	C	Interest	M	T					
61. Texas GO Series B Bond 4% Due 4/01/24	C	Interest	M	T					
62. Arkansas GO Higher ED Bond 4% Due 6/1/24	C	Interest	M	T					
63. Stifel Bank & Trust - cash account	A	Interest	M	T					
64. American Express Centurion Bank - cash account	A	Interest	M	T					
65. The Bank of East Asia Ltd. - cash account	A	Interest			Closed	03/31/16	K		
66. East West Bank - cash account	A	Interest	M	T	Open	05/31/16	J		
67. Barclays Bank Delaware - cash account	A	Interest	J	T	Open	10/31/16	J		
68. Kearny Bank - cash account	A	Interest	J	T	Open	10/31/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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69. Citibank, NA - cash account	A	Interest	J	T	Open	08/31/16	J		
70. State Bank of India - cash account	A	Interest			Open	02/29/16	J		
71.					Closed	03/31/16	J		
72. Private Bank and Trust Co. - cash account	A	Interest			Open	03/31/16	L		
73.					Closed	06/30/16	M		
74. Dreyfus Appreciation Fund (401(k))	E	Int./Div.	M	T	Sold (part)	12/07/16	K		
75. Fidelity Contra Fund (401(k))	E	Int./Div.	N	T	Sold (part)	12/07/16	K		
76. Vanguard Prime MM (401(k))	A	Int./Div.	J	T	Sold (part)	12/07/16	J		
77. Vanguard High Dividend Yield ETF	C	Dividend	M	T	Buy	01/20/16	L		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

REAVLEY, THOMAS M.

Date of Report

4/21/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I am married to Circuit Judge Carolyn Dineen King.

1. In response to Part VII C, Judge King has reported the aggregate cash surrender value for the whole life policies. In response to part VII B, Judge King has shown the aggregate amount of the dividends on those policies, which are used to pay the premiums and increase the cash surrender value.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

REAVLEY, THOMAS M.

Date of Report

4/21/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ THOMAS M. REAVLEY

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544