

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ANDERSON, III, ROBERT L.	2. Court or Organization 11TH CIR. COURT OF APPEALS	3. Date of Report 05/07/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. CIRCUIT JUDGE (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 56 Forsyth Street, N.W. Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	FELLOW	AMERICAN BAR FOUNDATION
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 11/7/07	R. Lanier Anderson, III/Chas. Schwab & Co. Cust/ IRA rollover
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SunTrust Bank - checking account	A	Interest	J	T					
2. Euro Pacific Growth Fund (IRA)	A	Dividend	K	T					
3. Euro Pacific Growth Fund (IRA)	A	Dividend	K	T					
4. Sturges Mfg., Utica, NY (common)	A	Dividend			Sold	05/13/13	K	E	
5. Exxon (common)	A	Dividend	K	T					
6. SunTrust Bank (common)	A	Dividend	K	T					
7. TIAA-CREF	C	Dividend	M	T					
8. House and lot, Bibb County, GA	B	Rent	M	W					
9. SunTrust Bank (common)	A	Dividend	J	T					
10. I shares Russell 1000 Index	A	Dividend	J	T					
11. I shares Russell 2000 Value	A	Dividend	K	T					
12. I shares Russell 2000 Index	A	Dividend	K	T					
13. I shares Russell 1000 Index	A	Dividend	J	T					
14. I shares Russell 2000 Value	A	Dividend	K	T					
15. I shares Russell 2000 Index	A	Dividend	J	T					
16. I shares Russell 1000 Index (IRA)	A	Dividend	J	T					
17. I shares Russell 2000 Value (IRA)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. I shares Russell 2000 Index (IRA)	A	Dividend	K	T					
19. I shares Russell 1000 Index (IRA)	A	Dividend	J	T					
20. I shares Russell 2000 Value (IRA)	A	Dividend	K	T					
21. I shares Russell 2000 Index (IRA)	A	Dividend	K	T					
22. Genworth Life Ins. Co (whole life)	D	Dividend	M	T					
23. SunTrust Bank - money market	A	Interest	M	T					
24. H (RLA/CHARLES SCHWAB CUST IRA ROLLOVER)									See Part VIII
25. Schwab US Treas. Money Fund	A	Interest	J	T					
26. SPDR Gold Tr. (GLD)	A	Dividend			Sold	04/16/13	J	A	
27. FPA New Income CLA	A	Dividend			Sold	02/19/13	J	A	
28. FPA New Income CLA	A	Dividend			Sold	02/19/13	J	A	
29. Schwab GNMA Fund (SWGSX)	A	Dividend			Sold	02/19/13	K	A	
30. Schwab GNMA Fund (SWGSX)	A	Dividend			Sold	02/19/13	K	A	
31. Schwab GNMA Fund (SWGSX)	A	Dividend			Sold	02/19/13	K	A	
32. Doubleline Tot. Return Bd.	A	Dividend			Sold	03/18/13	K	A	
33. Doubleline Tot Return Bd	A	Dividend			Sold	12/27/13	J	A	
34. Investco Balanced Risk Fund	A	Dividend	K	T	Sold (part)	03/08/13	L	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Permanent Portfolio	A	Dividend			Sold	02/22/13	K	A	
36. PIMCO Exch Traded Fund	A	Dividend			Sold	12/31/13	K	A	
37. PIMCO Unconstrained Bond Fund	A	Dividend			Buy	03/08/13	K		
38. PIMCO Unconstrained Bond Fund	A	Dividend			Sold	11/06/13	K	A	
39. Alpha Oppor Growth Fund	A	Dividend	K	T	Buy	03/08/13	K		
40. Osterweis Strategic Inc Fund	A	Dividend	K	T	Buy	09/09/13	K		
41. Osterweis Strategic Inc. Fund	A	Dividend	K	T	Buy	11/07/13	K		
42. Goldman Sachs Satelite Shategres Port (GXSIX)	A	Dividend	K	T	Buy	03/08/13	K		
43. Goldman Sachs Strategic Inc. Fund	A	Dividend	K	T	Buy	03/08/13	K		
44. Goldman Sachs Strategic Inc. Fund	A	Dividend	K	T	Buy	09/09/13	K		
45. Goldman Sachs Strategic Inc. Fund	A	Dividend	K	T	Buy	11/07/13	K		
46. Goldman Sachs Rising Dev. Growth Fund (GXRLX)	A	Dividend	J	T	Buy	09/11/13	J		
47. iShares Core S&P Index	A	Dividend	K	T	Buy	07/10/13	K		
48. Vanguard (VEA)	A	Dividend	K	T	Buy	05/24/13	K		
49. Vanguard (VOE)	A	Dividend	K	T	Buy	07/10/13	J		
50. H (RLA SCHWAB INDIVIDUAL ACCOUNT)									See Part VIII
51. sCHWAB us tREAS mONEY FUND	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Vanguard Bond Index Fund Sht. Term Bond ETF (BSV)	A	Dividend			Sold	02/21/13	J	A	
53. PIMCO All Asset Fund	B	Dividend			Sold	03/08/13	L	A	
54. PIMCO All Asset Fund	B	Dividend			Sold	11/06/13	L	A	
55. PIMCO Exch Traded Fund	A	Dividend			Sold	12/31/13	J	A	
56. Doubleline Total Return Fund	A	Dividend			Sold	09/04/13	K	A	
57. Doubleline Total Return Fund	A	Dividend			Sold	12/27/13	K	A	
58. Permanent Portfolio Fund	A	Dividend			Sold	02/22/13	K	A	
59. Alpha Oppor Growth Fund	A	Dividend	J	T	Buy	11/07/13	J		
60. Goldman Sachs Rising Div. Fund. (GSRLX)	A	Dividend	K	T	Buy	03/08/13	K		
61. Goldman Sachs Satellite Strategies Port (GXSIX)	A	Dividend	K	T	Buy	03/08/13	K		
62. Goldman Sachs Satellite Strategies Port (GXSIX)	A	Dividend	K	T	Buy	05/09/13	K		
63. Investco Bal Risk	A	Dividend	J	T	Buy	11/07/13	J		
64. iShares Core S&P (IVV)	A	Dividend	J	T	Buy	09/13/13	J		
65. iShares Russell 2000 (IWM)	A	Dividend	J	T	Buy	03/13/13	J		
66. Vanguard ETF (VEA)	A	Dividend	J	T	Buy	09/13/13	J		
67. Vanguard Mid-Cap Growth (VOT)	A	Dividend	J	T	Buy	03/13/13	J		
68. H (ADDITIONAL STERNE AGEE ASSETS)									See Part VIII

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69. Vanguard Appreciation	B	Dividend	L	T					
70. PIMCO Funds Unconstrained Bond Fund Class A	B	Dividend	L	T					
71. Suntrust Banks, Inc. Pfd Series 5.875% (RLA)	A	Dividend	J	T					
72. Suntrust Banks, Inc. Pfd Series 5.875% ()	A	Dividend	J	T					
73.									
74.									
75.									
76.									
77.									
78.									
79.									
80.									
81.									
82.									
83.									
84.									
85.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86.									
87.									
88.									
89.									
90.									
91.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III A and B: I have not reported for myself or my [REDACTED], this year or in the past several years, the mandatory distributions after age 70 1/2 from my RLA/ Charles Schwab Cust. Rollover or from the other IRA of mine and my [REDACTED]. This is because Instructions at page 19 provides: "No income should be disclosed in this part [Part III] if it is derived from an investment asset that should be reported in Part VII.

Part VII, Line 24, is simply a heading to disclose that the following lines (lines 25 through 49) describe holdings or transactions in RLA Schwab IRA Rollover during 2011.

Part VII, line 50 is simply a heading to disclose that the following lines (lines 51 through 67) describe holdings or transactions in the RLA Schwab individual account during 2011

Part VII line 68, is simply a heading to disclose that lines 69 through 72 describe holdings that were either already in or added to RLA and [REDACTED] Sterne-Agee brokerage accounts. Other holdings in those accounts were reported on early lines of Part VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ROBERT L. ANDERSON, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544