

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ebel, David M.	2. Court or Organization Tenth Circuit Court of Appeals	3. Date of Report 07/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Byron White U.S. Courthouse 1823 Stout Street Room 109L Denver, CO 80257		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

Public Employees Retirement Association (PERA) -- retirement

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Federal Judicial Center
Foundation

6/13/13 - 6/18/13

Princeton, NJ

Kern-Medina Seminar for
Judges' education

Transportation and meals

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Magellan Fd.	B	Dividend	K	T	Buy	09/16/13	J		
2. Janus Contrarian Fd.	A	Dividend	K	T	Buy	06/27/13	J		
3. " " "					Buy	09/16/13	J		
4. Janus Global Life Science Fd. D	A	Dividend	L	T	Buy	05/14/13	J		
5. " " "					Sold (part)	06/13/13	K	A	
6. " " "					Buy	06/27/13	J		
7. " " "					Buy	09/16/13	K		
8. Janus Money Market Fd. D	A	Interest	J	T	Sold (part)	05/14/13	K	A	
9. " " "					Buy	06/13/13	L		
10. " " "					Sold (part)	06/27/13	K	A	
11. " " "					Buy	08/15/13	L		
12. " " "					Sold (part)	09/16/13	L	A	
13. US Bank	A	Interest	K	T					
14. Janus Global Select Fund D	A	Dividend	J	T	Sold (part)	08/15/13	J	A	
15. Fidelity Cash Reserves	A	Interest	K	T	Buy	08/21/13	L		
16. " " "					Sold (part)	09/16/13	K	A	
17. Fidelity Select Energy Service Fd.			J	T	Buy	09/16/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. EYeris Inc. Class A Common Stock	A	Dividend	J	T					
19. EYeris Inc. Class B Common Stock	A	Dividend	J	T					
20. Janus Growth & Income Fd. D	B	Dividend	L	T	Buy	05/14/13	J		
21. " " "					Buy	06/27/13	J		
22. " " "					Buy	09/16/13	J		
23. Fidelity U.S. Gov't Reserves	A	Interest	J	T					
24. Fidelity Emerging Markets Fd.	A	Dividend	J	T	Sold (part)	08/21/13	J	A	
25. Fidelity Value Fd.	A	Dividend	L	T	Buy	09/16/13	J		
26. Fidelity Diversified Int'l Fd.	A	Dividend	J	T	Sold (part)	08/21/13	J	A	
27. Dodge & Cox Stock Fd.	A	Dividend	L	T	Sold (part)	06/13/13	K	B	
28. " " "					Buy	07/08/13	K		
29. " " "					Sold (part)	08/15/13	K	A	
30. " " "					Buy	09/16/13	K		
31. " " "					Sold (part)	11/09/13	J	A	
32. Dodge & Cox Balanced Fd.	A	Dividend	L	T	Buy	07/08/13	K		
33. " " "					Buy	08/15/13	K		
34. " " "					Sold (part)	09/16/13	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Dodge & Cox Income Fd.	A	Dividend	J	T	Buy	06/13/13	K		
36. " " "					Sold (part)	07/08/13	K	B	
37. " " "					Sold (part)	08/15/13	J	A	
38. Royce Opportunity Fd.	B	Dividend	K	T					
39. Royce Total Returns Fd.	B	Dividend	K	T					
40. Janus Short Term Bond Fd. D.	A	Dividend	J	T					
41. Intech U.S. Core Fd. D	B	Dividend	L	T	Buy	05/14/13	J		
42. Perkins Mid-Cap Value Fd. D	A	Dividend	K	T	Sold (part)	06/13/13	K	A	
43. " " "					Buy	06/27/13	J		
44. Fidelity Int'l Small Cap Fd.	A	Dividend	J	T	Sold (part)	08/21/13	J	A	
45. " " "					Buy	09/16/13	J		
46. Fidelity Contra Fd.	A	Dividend	K	T	Buy	09/16/13	J		
47. Fidelity Select Wireless Fd.	A	Dividend	J	T	Sold (part)	08/21/13	J	A	
48. Dodge & Cox International Fd.	A	Dividend	J	T	Sold (part)	06/13/13	J	B	
49. " " "					Sold (part)	08/15/13	J	A	
50. Royce Pennsylvania Fd.	A	Dividend	J	T					
51. Royce Premier Fd.	C	Dividend	K	T	Sold (part)	11/19/13	J	B	

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52. Royce 100 Fd.	A	Dividend	J	T					
53. Royce Value Fd.	A	Dividend	J	T					
54. Northwestern Mutual Modified Whole Life Policies	E	Interest	M	T					
55. Mass. Mutual Whole Life Policy	C	Interest	K	T					
56. Thrivent Lutheran Brotherhood Whole Life Policy	B	Interest	K	T					
57. Janus Enterprise Fund D	A	Dividend	K	T	Buy	05/14/13	J		
58. " " "					Buy	06/27/13	J		
59. " " "					Sold (part)	08/15/13	J	B	
60. " " "					Sold (part)	09/16/13	J	B	
61. " " "					Sold (part)	11/20/13	J	B	
62. Janus Global Research Fd. D	A	Dividend	J	T	Sold (part)	06/13/13	J	A	
63. " " "					Sold (part)	08/15/13	K	B	
64. Fidelity Canada Fd.	A	Dividend	J	T					
65. Fidelity Real Estate Investments	A	Dividend	J	T	Sold (part)	08/21/13	K	C	
66. Fidelity Environmental and Alternative Energy	A	Dividend	J	T	Buy	09/16/13	J		
67. " " "					Sold (part)	11/19/13	J	B	
68. Janus Fund D	A	Dividend	J	T	Sold (part)	06/13/13	J	A	

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69. Janus Fund D (cont.)					Sold (part)	08/15/13	J	B	
70. Fidelity Select Natural Resources	A	Dividend	J	T					
71. Dodge & Cox Global Stock Fd.	A	Dividend	J	T	Sold (part)	08/15/13	J	A	
72. Janus Triton Fund D	A	Dividend	K	T	Buy	06/27/13	J		
73. " " "					Sold (part)	08/15/13	J	B	
74. " " "					Buy	09/16/13	J		
75. Fidelity Acct. Individual	A	Interest	J	T					
76. General Motors Co.		None	J	T					
77. Open Intelligence Software Group, Inc. Class A Common Stock		None	J	T					
78. Lyza Software Group, Inc. Class A Common Stock		None	J	T					
79. Exxon Mobil Corp.	A	Dividend	J	T	Buy	03/04/13	J		
80. Ford Motor Co. Del. Com.		None	J	T	Buy	12/13/13	J		
81. Pitney Bowes, Inc.		None	J	T	Buy	12/11/13	J		
82. Rite Aid Corp.		None	J	T	Buy	12/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII - Line 24: My 2012 Disclosure Report erroneously listed this investment as Fidelity Energy Markets Fund. This was a typographic mistake, corrected in this report. This investment was, in 2012, and is in 2013, Fidelity Emerging Market Fund. There is and was no conflict of interest under either name at any relevant time.

VII - Line 40: Janus Short Term Bond Fund D is the same as Janus Short Term Bond Fund listed in my 2012 Financial Disclosure. This reflects a change in the name of the same investment. No conflict occurred under either name.

VII: Line 50: This reflects a change in the name of this investment from Pennsylvania Fund to Royce Pennsylvania Fund. No conflict of interest occurred under either name at any relevant time.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David M. Ebel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544