

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Gross, Kevin	2. Court or Organization U.S. Bankruptcy Court, DE	3. Date of Report 06/21/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address 824 N. Market St, 6th Floor Wilmington, DE 19801		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	National Judicial Institute	02/02 - 02/04/11	Toronto, ON	insolvency seminar	transportation & lodging
2.	Federal Bar Association	03/11/11	Wilmington, DE	bankruptcy litigation advocacy program	meal
3.	New York Institute of Credit, ABF Journal and Tournaround Managment Association	04/07/11	Villanova, PA	networking conference	meal
4.	ABI	08/04 - 08/06/11	Hershey, PA	mid-Atlantic workshop	lodging
5.	Association of Insolvency Restructuring Advisors	11/14/11	New York, NY	conference	transportation

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6.	ABI	11/21/11	Wilmington, DE	conference	meal
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. State of Israel 7th Dev Reg Savings Amended Bond	A	Interest	J	T					
2. State of Israel 8th Infr & Absp Issue Bond	A	Interest	J	T					
3. State of Israel 8th Infr & Absp Issue Bond	A	Interest	J	T					
4. State of Israel 8th Infr & Absp Issue Bond	A	Interest	J	T					
5. Newberger Berman Brokerage Account #1									
6. -State Str Instl Invstr Tr US Govt Money Mkt FD Invstr C1	A	Interest			Closed	06/22/11	J		
7. -Dreyfus Treas Prime Cash Mgmt Inv Shs money market fund		None	J	T	Open	06/24/11	J		
8. -Schlumberger Ltd common stock	A	Dividend	J	T	Buy (add'l)	07/21/11	J		
9. -Neuberger Berman Genesis FD Investor Class mutual fund		None			Merged (with line 10)	02/08/11	K		See Part VIII
10. -Neuberger Berman Genesis FD Institutional Class mutual fund	A	Dividend	K	T	Sold (part)	12/16/11	J	A	See Part VIII
11.					Buy (add'l)	12/19/11	J		
12. -Neuberger Berman Intl Fund Investor Class mutual fund		None			Sold	08/29/11	J		
13. -Goldcorp Inc New common stock	A	Dividend	J	T					
14. -Sociedad Quimica Minera De Chile SA Apon ADR Ser B com stk	A	Dividend	J	T					
15. -SPDR Gold Tr Gold Shs common stock		None	J	T					
16. -Range Resources Corp common stock	A	Dividend	J	T	Buy (add'l)	01/18/11	J		
17. -EMC Corp - Mass common stock		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Philip Morris International Inc common stock	A	Dividend	J	T	Sold (part)	05/27/11	J	A	
19. -Ishares TR FTSE XINHUA China 25 Index Fund	A	Dividend			Sold	07/05/11	J	B	
20. -Potash Corp of Saskatchewan Inc CA Listed common stock	A	Dividend	J	T					
21. -Google Inc Cl A common stock		None	J	T	Sold (part)	01/24/11	J	A	
22.					Buy (add'l)	02/15/11	J		
23. -Cypress Semiconductor Corp common stock		None	J	T	Sold (part)	02/14/11	J	B	
24. -Kubota Corporation ADR common stock	A	Dividend	J	T	Buy (add'l)	06/27/11	J		
25. -Wynn Resorts Ltd common stock	A	Dividend			Sold	06/22/11	J	B	
26. -Nintendo Co Ltd ADR new common stock		None			Sold	01/04/11	J	A	
27. -Cenovus Energy Inc common stock	A	Dividend	J	T					
28. -Fosters Group LTD common stock	A	Dividend			Sold (part)	08/19/11	J	A	
29.					Sold	08/30/11	J	A	
30. -Yaskawa Electric Corp common stock	A	Dividend			Buy (add'l)	08/23/11	J		
31.					Sold	10/04/11	J		
32. -Alpha Natural Resources common stock		None			Sold	11/10/11	J		
33. -CNOOC Ltd Spon ADR common stock		None			Sold	04/20/11	J	B	
34. -Sanofi Aventis Spon ADR common stock	A	Dividend	J	T	Sold (part)	01/25/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. -Valmont Industries Inc common stock	A	Dividend	J	T	Sold (part)	02/18/11	J	A	
36. -Crescent Point Energy Corp common stock	A	Dividend	J	T					
37. -Peabody Energy Corp common stock	A	Dividend			Sold	10/05/11	J		
38. -Occidental Pete Corp common stock	A	Dividend	K	T					
39. -Treasury Wine Estates common stock	A	Dividend	J	T	Spinoff (from line 28)	05/23/11	J		
40.					Buy (add'l)	07/14/11	J		
41. -Alpha Natural Resources Inc common stock		None	J	T	Buy	10/05/11	J		
42. -FMC Technologies Inc common stock		None	J	T	Buy	04/28/11	J		
43. -Boeing Co common stock	A	Dividend	J	T	Buy	08/31/11	J		
44. -Honeywell Intl Inc common stock	A	Dividend	J	T	Buy	08/25/11	J		
45. -United Parcel Svc Inc common stock	A	Dividend	J	T	Buy	01/24/11	J		
46. -Linear Technology Corp common stock	A	Dividend	J	T	Buy	05/27/11	J		
47. -Silver Wheaton Corp common stock		None			Buy	07/12/11	J		
48.					Sold	10/20/11	J		
49. -Neuberger Berman Eq FDS GBL Thematic Opp FD Instl mutual fd	A	Dividend	K	T	Buy	08/31/11	J		
50. M&T Bank checking account	A	Interest	J	T					See Part VII
51. IRA #1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. -Guardian Fund Investor Class mutual fund	B	Dividend	K	T					
53. IRA #2									
54. -Guardian Fund Investor Class mutual fund	B	Interest	K	T					
55. MetLife-whole life	B	Dividend	J	T					
56. MetLife-whole life	D	Dividend	K	T					
57. MetLife-whole life	B	Dividend	J	T					
58. MetLife-whole life	B	Dividend	J	T					
59. 1/3 interest in Trisis-oil & gas royalty interest & land in									
60. Scurry, Tyler & Wood Counties, TX	E	Royalty	O	W					
61. IRA Rollover Acct #1									
62. -State St Instl Liquid Resvs Fd Shs Ben Int money mkt fd	A	Interest			Closed	06/22/11	N		
63. -Dreyfus Treas Prime Cash Mgmt Inv shs money mkt fd		None	N	T	Open	06/24/11	N		
64. -Sociedad Quimica Minera de Chile SA Spon ADR Ser B common	A	Dividend	K	T	Sold (part)	01/10/11	K	E	
65. -Ishares Tr FTSE Xinhua HK 25 Index common stock	B	Dividend			Sold	07/05/11	L	E	
66. -Neuberger Berman Genesis FD Investor Class mutual fund	A	Dividend	K	T					
67. -Neuberger Berman Intl Fund Investor C1 mutual fund		None			Sold	02/18/11	K		
68. -Philip Morris International common stock	B	Dividend	L	T	Sold (part)	05/12/11	K	D	

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69. -Ishares TR NASDAQ Biotechnology Index Fd common stock	A	Dividend	L	T					
70. -EMC Corp-Mass common stock		None	K	T	Buy (add'l)	07/19/11	K		
71. -SPDR Gold Tr Gold Shs common stock		None	L	T					
72. -Schlumberger Ltd common stock	A	Dividend	K	T	Buy (add'l)	04/21/11	K		
73. -Southwestern Energy Co common stock		None	K	T					
74. -Norfolk Southern common stock	B	Dividend			Sold (part)	05/04/11	J	C	
75.					Sold (part)	10/18/11	K	C	
76.					Sold	12/01/11	K	D	
77. -Range Resources Corp common stock	A	Dividend	L	T					
78. -CISCO Systems Inc common stock	A	Dividend			Sold	04/19/11	K		
79. -Potash Corp of Saskatchewan Inc CA Listed common stock	A	Dividend	K	T	Buy (add'l)	11/02/11	K		
80. -Google Inc common stock		None	L	T	Buy (add'l)	04/21/11	J		
81. -Unilever N V New York Shs New ADR common stock	B	Dividend	L	T					
82. -Ishares Inc MSCI Japan Index Fd	A	Dividend	K	T					
83. -Wisdomtree Tr India Earnings FD ETF		None			Sold	01/24/11	J		
84. -Iconix Brand Group Inc common stock		None			Sold	05/20/11	K	D	
85. -United Parcel Svc Inc Cl B common stock	B	Dividend	L	T					

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86. -Cypress Semiconductor Corp common stock	A	Dividend	K	T	Sold (part)	01/26/11	K	D	
87. -Kubota Corporation common stock	B	Dividend	L	T					
88. -Wynn Resorts common stock	A	Dividend			Sold (part)	06/13/11	J	D	
89.					Sold	06/23/11	K	E	
90. -Goldcorp Inc common stock	A	Dividend	K	T					
91. -CNOOC Ltd Spon ADR common stock		None			Sold	04/19/11	K	D	
92. -Nintendo Co LTD ADR New common stock		None			Sold	01/04/11	K	A	
93. -Calfrac Well Services LTD common stock	A	Dividend			Sold (part)	01/13/11	K	D	
94.					Sold	05/18/11	K	D	
95. -Fosters Group Ltd common stock	C	Dividend			Sold	10/10/11	K	E	
96. -Cenovus Energy Inc common stock	B	Dividend	L	T					
97. -Yaskawa Electric Corp common stock	A	Dividend			Sold	10/06/11	K		
98. -Alpha Natural Resources Inc common stock		None	K	T	Buy (add'l)	08/23/11	J		
99.					Buy (add'l)	10/05/11	K		
100.					Sold (part)	11/11/11	K		
101. -Sanofi Aventis Spon ADR common stock	C	Dividend	L	T					
102. -Peabody Energy Corp common stock	A	Dividend			Sold	10/05/11	K		

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104. -Crescent Point Energy Corp common stock	B	Dividend	K	T					
105. -FMC Technologies Inc common stock		None	L	T	Buy	01/07/11	K		
106.					Buy (add'l)	01/27/11	J		
107.					Buy (add'l)	02/15/11	J		
108.					Buy (add'l)	05/15/11	K		
109. -Sino Forest Corp common stock		None			Buy	02/02/11	K		
110.					Buy (add'l)	03/18/11	K		
111.					Sold (part)	06/03/11	J		
112.					Sold (part)	06/06/11	J		
113.					Sold	06/07/11	J		
114. -Neuberger Berman Intl Fund Inv C1 mutual fund		None			Buy	02/18/11	K		
115.					Sold	07/25/11	K	A	
116. -Halliburton Co common stock	A	Dividend			Buy	05/18/11	K		
117.					Buy (add'l)	07/01/11	J		
118.					Sold	10/03/11	K		
119. -Linear Technology Corp common stock	A	Dividend	L	T	Buy	05/24/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Centurylink Inc common stock	B	Dividend	K	T	Buy	06/08/11	K		
121. -Silver Wheaton Corp common stock		None	K	T	Buy	07/12/11	K		
122. -Microchip Technology Inc common stock	A	Dividend	K	T	Buy	07/12/11	K		
123.					Buy (add'l)	07/25/11	J		
124. -Agnico Eagle Mines Ltd common stock	A	Dividend			Buy	07/12/11	K		
125.					Sold	10/28/11	K		
126. -Neuberger Berman Eq FDS GBL Thematic Opp Fd Inst mutual fun	A	Dividend	K	T	Buy	07/25/11	K		
127.					Buy (add'l)	12/19/11	J		
128. -Treasury Wine Estates US listed common stock	A	Dividend	K	T	Spinoff (from line 95)	05/23/11	K		
129.					Buy (add'l)	07/13/11	J		
130.					Buy (add'l)	07/14/11	J		
131.					Buy (add'l)	07/28/11	J		
132. -Honeywell Intl Inc common stock	A	Dividend	K	T	Buy	08/18/11	K		
133. -Boeing Co common stock	A	Dividend	L	T	Buy	08/31/11	K		
134.					Buy (add'l)	09/15/11	J		
135.					Buy (add'l)	10/26/11	K		
136. -Novartis AG American depository shares		None	K	T	Buy	10/27/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. IRA #3-Wells Fargo Bank N.A.	A	Interest	J	T					
138. IRA #4-Wells Fargo Bank N.A.	A	Interest	J	T					
139. Morgan Stanley Smith Barney brokerage account #1									
140. -Blackrock Global Allocation B mutual fund	A	Dividend			Sold	09/12/11	K	C	
141. -Davis NY Venture FD B mutual fund		None				08/01/11	J		See Part VIII
142. -Munder Index 500 mutual fund	A	Dividend			Sold	09/12/11	K		
143. -Davis NY Venture A		None				08/01/11	J		See Part VIII
144.					Sold	09/12/11	K	D	
145. -Morgan Stanley Bank NA	A	Interest	J	T					
146. -Citizens Utilities Co bond	A	Interest			Buy	09/12/11	K		
147.					Sold	11/21/11	J		
148. -Metlife Inc bond	A	Interest			Buy	09/12/11	K		
149.					Sold	11/21/11	J		
150. -Allstate Corp bond	A	Interest			Buy	09/12/11	K		
151.					Sold	11/21/11	J		
152. -Lincoln Natl Corp bond	A	Interest			Buy	09/12/11	K		
153.					Sold	11/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Stancorp Finl Group bond		None			Buy	09/12/11	J		
155.					Sold	11/21/11	J		
156. -Nationwide Finl Svcs bond		None			Buy	11/21/11	K		
157.					Sold	12/29/11	K		
158. -Centurytel Inc bond		None	J	T	Buy	11/21/11	K		
159. -Goldman Sachs Group Inc bond	A	Interest			Buy	11/21/11	K		
160.					Sold	12/29/11	K		
161. -Donnelley R R & Sons Co bond	A	Interest			Buy	11/21/11	K		
162.					Sold	12/29/11	K		
163. -Lincoln Natl Corp bond		None	K	T	Buy	12/29/11	K		
164. -Bank America Corp bond		None	K	T	Buy	12/29/11	K		
165. -Citizens Util Co bond		None	J	T	Buy	12/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$25,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$5,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 9 - On 2/8/2011 Neuberger Berman Genesis FD Investor Class share class exchange/merger to Neuberger Berman Genesis FD Institutional Class.

Part VII, Line 10 - On 2/8/2011 Neuberger Berman Genesis FD Institutional Class share class exchange/merger from Neuberger Berman Genesis FD Investor Class.

Part VII, Line 50 - During 2011 Wilmington Trust was purchased by M&T Bank and the account transferred to M&T Bank.

Part VII, Line 141 - On 08/01/11 [REDACTED] shares of Davis New York Venture B were exchanged out for [REDACTED] shares of Davis New York Venture A.

Part VII, Line 143 - On 08/01/11 [REDACTED] shares of Davis New York Venture A were exchanged in for [REDACTED] shares of Davis New York Venture B.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin Gross**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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