

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Altonaga, Cecilia M.	2. Court or Organization U.S. District Court, So. FL	3. Date of Report 05/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Wilkie D. Ferguson Jr. U.S. Courthouse 400 North Miami Avenue, Room 12-2 Miami, FL 33128-1810		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2016	Miami-Dade County, Deferred Compensation Plan
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Holland & Knight, LLP-Partner/Employee

2. 2016

Mercy & Charlie, LLC-Manager

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MiamiDade County Deferred Comp., Fidelity Contrafund	C	Dividend	L	T					
2. MiamiDade County Deferred Comp., Nationwide Fixed	B	Dividend	K	T					
3. MiamiDade County Deferred Comp., Neu Ber Eq Soc Resp	A	Dividend	J	T					
4. Citifunds Interest	C	Interest	P1	T					
5. Alliance Bernstein Growth & Income Fund Class A	C	Dividend	M	T					
6. Holland & Knight Profit Sharing Plan, Oakmark Eq & Inc Fund Class I	E	Dividend	N	T	Sold (part)	10/11/16	P1		
7. Holland & Knight Profit Sharing Plan, FMMT Retire GOV II	A	Dividend			Sold	10/11/16	J		
8. Holland & Knight Profit Sharing Plan, Vang TOT BD Mkt ADM	C	Dividend	O	T	Buy	10/11/16	O		
9. Holland & Knight Profit Sharing Plan, PRU Total RTN BD Q	D	Dividend	N	T	Buy	10/11/16	N		
10. Holland & Knight Profit Sharing Plan, FID Freedom K 2010	E	Dividend	O	T	Buy	10/11/16	O		
11. (NH) UNIQUE College Investing Plan, NH Port. 2015 (Fidelity Funds)	A	Dividend			Sold	12/09/16	K	A	
12. (NH) UNIQUE College Investing Plan, NH Port. (Fidelity Funds)	A	Dividend	K	T	Buy	12/09/16	K		
13. (NH) UNIQUE College Investing Plan, NH College Port. (Fidelity Funds)	A	Dividend	K	T					
14. (NH) UNIQUE College Investing Plan, NH College Port. (Fidelity Funds)	A	Dividend	K	T					
15. (NH) UNIQUE College Investing Plan, NH Port. 2015 (Fidelity Funds)	A	Dividend			Sold	12/09/16	K	A	
16. (NH) UNIQUE College Investing Plan, NH Port. 2015 (Fidelity Funds)	A	Dividend	K	T	Buy	12/09/16	K		
17. Bank of America CD	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Holland & Knight Equity Partner Fund		None	N	T					
19. Holland & Knight Equity Partner Fund		None	N	T					
20. Bank of America Checking		None	J	T					
21. Citigold Interest	A	Interest	M	T					
22. Citi Private Bank		None	L	T					
23. Citi Private Bank		None	J	T					
24. Citi Private Bank		None	J	T					
25. Citi Private Bank		None	J	T					
26. Florida Prepaid 4-Year University		None	J	T					
27. Ameriprise Insured Money Market	A	Interest	J	T					
28. Citigroup Inc New	A	Dividend	K	T					
29. General Electric Company	B	Dividend	L	T					
30. Ameriprise Insured Money Market	A	Interest	J	T					
31. American Centruy Mid Cap Value CL A	A	Dividend	K	T	Sold (part)	04/20/16	J	A	
32.	A	Distribution			Sold (part)	07/19/16	J	A	
33. Blackrock High Yield Bond Investor CL A	A	Dividend	K	T	Buy	07/19/16	K		
34.					Sold (part)	10/19/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Blackrock Strategic Income Oppty Investor CL A	A	Dividend	K	T	Sold (part)	07/19/16	J	A	
36.	A	Distribution			Sold (part)	10/19/16	K	A	
37. Active Portfolio Multi Manager Small Cap Equity CL A	A	Dividend	K	T	Sold (part)	01/15/16	J	A	
38.	A	Distribution			Sold (part)	04/20/16	J	A	
39.					Sold (part)	07/19/16	J	A	
40.					Sold (part)	10/19/16	J	A	
41. Active Portfolio Multi Manager Growth CL A	A	Dividend	K	T	Sold (part)	01/15/16	J	A	
42.	C	Distribution			Sold (part)	04/20/16	J	A	
43.					Sold (part)	07/19/16	J	A	
44.					Sold (part)	10/19/16	J	A	
45. Active Portfolio Multi Manager Value CL A	B	Dividend	L	T					
46.	B	Distribution							
47. Active Portfolio Multi Manager Directional ALT CL A	A	Dividend	K	T	Buy	12/01/16	K		
48. JP Morgan Large Cap Growth CL A	B	Distribution	K	T	Sold (part)	10/19/16	J	A	
49. Western Asset Intermediate Term Municipal CL A	B	Dividend	L	T	Sold (part)	01/15/16	J	A	
50. MFS Intl Value CL A	A	Dividend	K	T	Sold (part)	07/19/16	J	A	
51.					Sold (part)	10/19/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. MFS Value CL A	A	Dividend	K	T	Sold (part)	01/15/16	J	A	
53.	A	Distribution			Sold (part)	04/20/16	J	A	
54.					Sold (part)	07/19/16	J	A	
55.					Sold (part)	10/19/16	J	A	
56. MFS Municipal High Income CL A	B	Dividend	K	T	Sold (part)	07/19/16	J	A	
57. Nuveen Interm Duration Mun Bond CL A	B	Dividend	L	T	Buy	01/01/16	L		
58.	A	Distribution							
59. Oppenheimer Intl Growth CL A	A	Dividend	K	T	Sold (part)	04/20/16	J	A	
60.					Sold (part)	07/19/16	J	A	
61.					Sold (part)	10/19/16	J	A	
62. Prudential Jennison Mid Cap Growth CL A	B	Distribution	K	T	Sold (part)	01/15/16	J	A	
63.					Sold (part)	04/20/16	J	A	
64.					Sold (part)	07/19/16	J	A	
65. Wells Fargo Advantage Emerging Markets Equity CL A	A	Dividend	J	T	Sold (part)	04/20/16	J	A	
66.					Sold (part)	07/19/16	J	A	
67.					Sold (part)	10/19/16	J	A	
68. Wells Fargo Advantage Short Term Municipal Bond CL A	A	Dividend	K	T	Sold (part)	01/15/16	J	A	

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69.					Sold (part)	07/19/16	J	A	
70.					Sold (part)	10/19/16	J	A	
71. Wells Fargo Advantage Municipal Bond CL A	A	Dividend			Sold	01/15/16	L	A	
72. Ameriprise Insured Money Market	A	Interest	J	T					
73. American Century Mid Cap Value CL A	A	Dividend	J	T	Sold (part)	01/20/16	J	A	
74.	A	Distribution			Sold (part)	04/25/16	J	A	
75.					Sold (part)	07/21/16	J	A	
76. Blackrock High Yield Bond Investor CL A	A	Dividend	J	T	Buy	07/21/16	J		
77.					Sold (part)	10/21/16	J	A	
78. Blackrock Strategic Income Opptys Investor CL A	A	Dividend	J	T	Sold (part)	01/20/16	J	A	
79.	A	Distribution			Sold (part)	07/21/16	J	A	
80.					Sold (part)	10/21/16	J	A	
81. Columbia Income Oppty CL A	A	Dividend	J	T	Sold (part)	10/21/16	J	A	
82. Active Portfolio Multi Manager Small Cap Equity CL A	A	Dividend	J	T	Sold (part)	04/25/16	J	A	
83.	A	Distribution			Sold (part)	07/21/16	J	A	
84. Active Portfolio Multi Manager Core Plus Bond CL A	B	Dividend	L	T	Sold (part)	10/21/16	J	A	
85. Active Portfolio Multi Manager Growth CL A	A	Dividend	J	T	Sold (part)	07/21/16	J	A	

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86.	A	Distribution			Sold (part)	10/21/16	J	A	
87. Active Portfolio Multi Manager Value CL A	A	Dividend	J	T	Sold (part)	07/21/16	J	A	
88.	A	Distribution							
89. Active Portfolio Multi Manager Directional ALT CL A	A	Dividend	J	T	Buy	12/01/16	J		
90. JP Morgan Large Cap Growth CL A	A	Distribution	J	T	Sold (part)	04/25/16	J	A	
91. Lord Abbett Inflation Focused CL A	A	Dividend			Sold	04/25/16	J	A	
92. MFS Intl Value CL A	A	Dividend	J	T	Sold (part)	04/25/16	J	A	
93.					Sold (part)	07/21/16	J	A	
94. MFS Value CL A	A	Dividend	J	T	Sold (part)	01/20/16	J	A	
95.	A	Distribution			Sold (part)	04/25/16	J	A	
96.					Sold (part)	07/21/16	J	A	
97.					Sold (part)	10/21/16	J	A	
98. Oppenheimer Intl Growth CL A	A	Dividend	J	T	Sold (part)	01/20/16	J	A	
99.					Sold (part)	04/25/16	J	A	
100.					Sold (part)	07/21/16	J	A	
101.					Sold (part)	10/21/16	J	A	
102. Ameriprise Insured Money Markey	A	Interest	K	T					

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103. American Century Mid Cap Value CL A	A	Dividend	K	T	Sold (part)	01/14/16	J	A	
104.	A	Distribution			Sold (part)	04/19/16	J	A	
105.					Sold (part)	07/19/16	J	A	
106. Blackrock High Yield Bond Investor CL A	A	Dividend	K	T	Buy	07/19/16	K		
107.					Sold (part)	10/18/16	J	A	
108. Blackrock Strategic Income Oppty Investor CL A	A	Dividend	L	T	Sold (part)	07/19/16	K	A	
109.	B	Distribution			Sold (part)	10/18/16	J	A	
110. Active Portfolio Multi Manager Small Cap Equity CL A	A	Dividend	K	T	Sold (part)	04/19/16	J	A	
111.	A	Distribution			Sold (part)	07/19/16	J	A	
112.					Sold (part)	10/18/16	J	A	
113. Active Portfolio Multi Manager Growth CL A	A	Dividend	K	T	Sold (part)	04/19/16	J	A	
114.	C	Distribution			Sold (part)	07/19/16	J	A	
115.					Sold (part)	10/18/16	J	A	
116. Active Portfolio Multi Manager Value CL A	B	Dividend	K	T	Sold (part)	07/19/16	J	A	
117.	B	Distribution							
118. Active Portfolio Multi Manager Directional ALT CL A	A	Dividend	K	T	Buy	12/01/16	K		
119. JP Morgan Large Cap Growth CL A	B	Distribution	K	T	Sold (part)	04/19/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Altonaga, Cecilia M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	10/18/16	J	A	
121. Western Asset Intermediate Term Municipal CL A	D	Dividend	M	T	Sold (part)	01/14/16	J	A	
122. MFS Intl Value CL A	A	Dividend	K	T	Sold (part)	04/19/16	J	A	
123.					Sold (part)	07/19/16	J	A	
124.					Sold (part)	10/18/16	J	A	
125. MFS Value CL A	A	Dividend	K	T	Sold (part)	01/14/16	J	A	
126.	A	Distribution			Sold (part)	04/19/16	J	A	
127.					Sold (part)	07/19/16	J	A	
128.					Sold (part)	10/18/16	J	A	
129. MFS Municipal High Income CL A	C	Dividend	L	T	Sold (part)	07/19/16	J	A	
130.					Sold (part)	10/18/16	J	A	
131. Nuveen Interim Duration Municipal Bond CL A	C	Dividend	M	T	Buy	01/01/16	M		
132. Oppenheimer Intl Growth CL A	A	Dividend	K	T	Sold (part)	01/14/16	J	A	
133.					Sold (part)	04/19/16	J	A	
134.					Sold (part)	07/19/16	J	A	
135.					Sold (part)	10/18/16	J	A	
136. Wells Fargo Advantage Short Term Municipal Bond CL A	A	Dividend	K	T	Sold (part)	01/14/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Altonaga, Cecilia M.

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VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	07/19/16	J	A	
138.					Sold (part)	10/18/16	J	A	
139. Wells Fargo Advantage Municipal Bond CL A	A	Dividend			Sold	01/14/16	L	C	
140. Columbia Money Market Fund Class A	A	Interest			Sold	12/02/16	J	A	
141. Columbia High Yield Bond Fund Class A	A	Dividend			Sold	12/02/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS and TRUSTS

-Line 6.-10. Holland & Knight Profit Sharing Plan: not self directed, there is no control and only can select level of risk.

-Line 18 & 19. Holland & Knight Equity Partner Fund: spouse's firm requires each equity partner to have an equity reserve in terms of paid in capital for each of its equity partners. These funds may not be accessed until the holder ceases to be an equity member. There is no control.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cecilia M. Altonaga**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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