

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harris, Patricia S.	2. Court or Organization United States District Court, Eastern District of Arkansas	3. Date of Report 06/15/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Courthouse 500 W. Capitol Avenue, Suite C163 Little Rock, AR 72201-3325		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Owner/sole proprietor	Lark Plus Art
2.	Adjunct professor	University of Arkansas at Little Rock Bowen School of Law
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	University of Arkansas at Little Rock Bowen School of Law, teaching	\$2,660.98
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	self employed writer
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo	credit card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. rental property/studio apartment located in Little Rock, Arkansas	C	Rent	K	W					
2. American Funds The Bond Fund of America Class A	A	Int./Div.	J	T					
3. American Funds Capitol Income Bldr Fund Class A	A	Int./Div.	K	T					
4. American Funds Capital World Growth and Income Fund Class A	A	Int./Div.	K	T					
5. American Funds The Growth Fund of America Class A	A	Int./Div.	K	T					
6. American Funds Small Cap World Fund Class A	A	Int./Div.	J	T					
7. American Funds American Balanced Fund-529A	A	Dividend			Closed	10/15/15	J	A	
8. American Funds Fundamental Investors-529A	A	Dividend			Closed	10/15/15	J	B	
9. American Funds The Growth Fund of America- 529A	A	Dividend			Closed	10/15/15	J	A	
10. RUSSELL STRATEGIC BOND S	A	Dividend			Closed	10/15/15	J	A	
11. RUSSELL GLOBAL OPP CRD S	A	Dividend			Closed	10/15/15	J	A	
12. RUSSELL US STRAT EQTY S	A	Dividend			Closed	10/15/15	J	A	
13. RUSSELL US S/C EQUITY S	A	Dividend			Closed	10/15/15	J	A	
14. RUSSELL INTL DEV MKTS S	A	Dividend			Closed	10/15/15	J	A	
15. RUSSELL GLOBAL EQUITY S	A	Dividend			Closed	10/15/15	J	A	
16. RUSSELL GLOBAL INFRA S	A	Dividend			Closed	10/15/15	J	A	
17. RUSSELL EMERGING MKTS S	A	Dividend			Closed	10/15/15	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. RUSSELL GLOBL REAL EST S	A	Dividend			Closed	10/15/15	J	A	
19. RUSSELL COMMODITY STRT S	A	Dividend			Closed	10/15/15	J	A	
20. RUSSELL MULTI-STRT ALT S	A	Dividend			Closed	10/15/15	J	A	
21. COLUMBIA LARGE CORE QUANTITATIVE FUND CLASS A	A	Dividend			Closed	10/20/15	J	A	
22. Blackrock Large Cap Core CL III		None	K	T					
23. Columbia Variable Portfolio Seligman Global Technology Fund CL 2		None	J	T					
24. Columbia Variable Portfolio Small Cap Value Fund Class 2		None	J	T					
25. Fidelity VIP Contrafund SRCL 2		None	K	T					
26. Fidelity VIP Midcap port SRCL 2		None	J	T					
27. Franklin Small Cap Value VIP Fund Class 2 Shares		None	J	T					
28. MFS VIT Utilities Series SC		None	J	T					
29. Oppenheimer Capital APP/VA SC		None	K	T					
30. Oppenheimer Main Street Small Cap Fund/ VA		None	J	T					
31. Pioneer Disciplined Value VCT Portfolio Class II		None	K	T					
32. Pioneer Mid Cap Value VCT CL II		None	K	T					
33. RS Large Cap Alpha VIP Series		None	K	T					
34. Alliancebern VPS Int'l Value CL B		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.	Wells Fargo Advantage VT International Equity Fund Class II		None	K	T				
36.	Franklin US Government Securities VIP Fund Class 2 Shares		None	K	T				
37.	Oppenheimer Global Strategic Income/VA SC		None	K	T				
38.	PIMCO Total Return Advisor CL		None	K	T				
39.	RS Inv Quality Bond VIP Series		None	K	T				
40.	RS Low Duration Bond VIP Series		None	K	T				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Patricia S. Harris**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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