

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HOLLAND, H. Russel	2. Court or Organization District of Alaska	3. Date of Report 05/05/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States District Court 222 West 7th Avenue - Unit 54 Anchorage, Alaska 99513		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member; Board of Directors	Federal Judges Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judges Ass'n	April 13-14, 2015	Washington, D.C.	Board Meeting	Hotel & Travel Expenses
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CMS Energy	A	Dividend	K	T					
2. Nordstrom, Inc.	B	Dividend	L	T					
3. MS Liquid Asset Fund (Individual Liquid...)	A	Dividend	K	T					
4. Chitina, Alaska, Lot		None	J	W					
5. First National Bank Alaska	A	Interest	N	T					
6. Bank of America / Portland, Oregon	A	Interest	J	T					
7. Pepsico	A	Dividend	K	T					
8. Allianz Value Mark II Variable Annuity	A	Dividend	K	T					see Note; part VIII
9. ML Bank Deposit Program	A	Dividend	K	T					see Note; Part VIII
10. Yum Brands (formerly Tri-Global Restaurant)	A	Dividend	K	T					
11. Franklin Income Fund	D	Dividend	M	T					
12. Cisco Systems, Inc.	A	Dividend			Sold	11/17/15	J	A	
13. Hewlett Packard	A	Dividend	J	T					
14. Boeing Co.	A	Dividend	J	T					
15. Northrim Bank	A	Dividend	K	T					
16. Caterpillar, Inc.	A	Dividend	J	T					
17. MetLife	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Trust #1 [Lines 19 through 39, inclusive]: (H)									
19. --MS Bank (formerly MSDW Active Assets Money Trust)	A	Interest	M	T					
20. --Coca Cola Co.	A	Dividend	K	T					
21. --Google, Inc. [Split: see Lines 22 & 23]						03/23/15			see Note; Part VIII
22. --Alphabet Inc. CLA (GOOGL)		None	K	T		03/23/15			see Note; Part VIII
23. --Alphabet Inc. CLC (GOOG)		None	K	T		03/23/15			see Note; Part VIII
24. --Intel Corp.	A	Dividend	K	T					
25. --Johnson & Johnson	B	Dividend	L	T					
26. --Microsoft Corp.	A	Dividend	K	T					
27. --3M Company	B	Dividend	L	T					
28. --Novartis AG ADR	A	Dividend	K	T					
29. --Proctor & Gamble	B	Dividend	K	T					
30. --Eaton Vance / Muni. Bond	B	Dividend	K	T					
31. --Exelon Corp.	A	Dividend	J	T					
32. --Abbott Laboratories (ABT)	A	Dividend	K	T					
33. --ABBVIG (ABBV)	A	Dividend	K	T					
34. --Apple Inc.	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Goldman Sachs (bond)	A	Interest	J	T					
36. --Franklin OR / tax free	C	Interest	L	T					
37. --Schlumberger (SLB)	A	Dividend	J	T					
38. --Kayne Anderson (KYN)	A	Dividend	J	T					
39. --CTF ProShares TR (SQQQ)		None	J	T					
40. Comcast Corp.	A	Dividend	J	T					
41. One Point, f/k/a Portland Teachers Cr.Union - savings A/C	A	Interest	J	T					
42. Met Life - whole life	A	Interest	J	T					
43. Met Life - whole life	A	Interest	J	T					
44. Americo Financial Life - whole life	A	Interest	L	T					
45. American General - whole life	A	Interest	K	T					
46. American General - whole life	A	Interest	K	T					
47. Canada Life - whole life	A	Interest	J	T					
48. MONY - whole life	A	Interest	J	T					
49. Massachusetts Mutual - flexible retirement annuity	D	Dividend	J	T					
50. Wells Fargo & Co.	A	Dividend	J	T					
51. Nationwide Annuity (formerly AIG Annuity)	C	Dividend	M	T					

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52.	ML Personal Advisor Multi-Asset Sector ETF Portfolio	C	Dividend	M	T				
53.	Alaska Communications Systems		None	J	T				
54.	General Communications		None	J	T				
55.	First National Bank Alaska	A	Dividend	J	T				
56.	Bank of America - Franklin Oregon Tax Free	C	Interest	M	T				
57.	Blackrock Global Allocation (IRA account)	A	Dividend	J	T				
58.	Northrim Bank (CDs)	A	Interest	K	T				
59.	Angel Oak Multi- Strategy (ANCGX)	B	Dividend	K	T				
60.									
61.									
62.									
63.									
64.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, ln. 8: Name change.

Part VII, ln. 9: Name change to ML Bank Deposit Program. Earlier name change resulted in an inadvertent duplicate entry as to the same account at line 11 and line 63 in 2014 report. The duplicate entry formerly at line 63 has been deleted in this report.

Part VII, lns. 21, 22 & 23: Google divided itself, and is now reflected in the entries at 22 & 23.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **H. Russel HOLLAND**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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