AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
GIBBONS, JULIA S.	US COURT OF APPEALS SIXTH CIRCUIT	08/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE (ACTIVE)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2018 **to** 12/31/2018
7. Chambers or Office Address		
970 FEDERAL BUILDING 167 N MAIN STREET MEMPHIS, TN 38103		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	08/06/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2018	SHELBY COUNTY, TN: RETIREMENT
2.	2018	STATE OF TN: UNIVERSITY OF MEMPHIS - JANUARY THROUGH DECEMBER 2018
3.	2018	SPEAKER FEE - UNIVERSITY OF TENNESSEE
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	UNIVERSITY OF GEORGIA	04/11/2018-04/13/2018	ATHENS, GA	MOOT COURT COMPETITION	FOOD, LODGING AND TRANSPORTATION
2.	UNIVERSITY OF TENNESSEE	10/14/2018-10/19/2018	KNOXVILLE, TN	MOOT COURT COMPETITION	FOOD AND LODGING
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	U.S. BANK	CREDIT CARD	K
2.	AMERICAN EXPRESS	CREDIT CARD	K
3.			
4.			
5.			

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	08/06/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. SUNTRUST-MEMPHIS, TN	A	Interest	K	T					
2. FEDERAL BLDG. FEDERAL CREDIT UNION ACCOUNT	A	Interest	K	T					
3. STATE OF TN 401(K)									
4. -FIDELITY PURITAN FUND	A	Dividend	K	T	Buy (add'l)	12/21/18	J		
5. -NATIONWIDE BANK		None			Closed	12/14/18	J		
6. IRA ROLLOVER RETIREMENT PLAN									
7. -AMERICAN FUNDS:INVESTMENT FUND OF AMERICA	B	Dividend	K	T					
8. -FRANKLIN FDS: MUTUAL GLOBAL DISCOVERY	A	Dividend	J	T					
9. -IVY FDS: ASSET STRATEGY FUND	B	Dividend	J	T					
10. -VOYA EMERGING MARKET FUND CL A	A	Dividend	J	T					
11. -PIMCO TOTAL RETURN CLASS A	A	Dividend	J	T					
12. -PACIFIC LIFE OPTIMIZATION MODERATE AGGRESSIVE CL A	C	Dividend	K	T					
13. -ALTRIA GROUP	A	Dividend	J	T	Buy (add'l)	03/23/18	J		
14. -AIG FOCUSED DIVIDEND STRATEGY	B	Dividend	J	T					
15. -GLU MOBILE INC		None	J	T	Sold (part)	09/17/18	J	A	
16.					Sold (part)	09/18/18	J	A	
17. -MARATHON OIL CORP	A	Dividend			Sold (part)	02/15/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	02/27/18	J	A	
19.					Sold	04/26/18	J	A	
20. -EATON VANCE HIGH INCOME 2021 TARGET	A	Dividend	J	T					
21. -NUVEEN HIGH INCOME NOVEMBER 2021 TARGET	A	Dividend	J	T					
22. -STIFEL BANK	A	Interest	J	T					
23. -APPLE, INC.	A	Dividend	K	T					
24. -APPLIED MATERIALS		None			Sold (part)	01/10/18	J	A	
25.					Sold	02/16/18	J	A	
26. -BEST, INC.		None			Sold	05/08/18	J	A	
27. -TWO HARBORS	A	Dividend	J	T	Buy	04/26/18	J		
28. -ADVANCED MICRO DEVICES		None	J	T	Buy	07/16/18	J		
29.					Sold (part)	09/17/18	J	C	
30. -GRIDSUM HOLDING		None	J	T	Buy	01/29/18	J		
31. -SOUTHWEST AIRLINES		None	J	T	Buy	12/04/18	J		
32. -INPHI CORP		None			Buy	01/23/18	J		
33.					Sold	05/30/18	J	A	
34. -TWITTER		None			Buy	02/15/18	J		

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35.					Sold	06/12/18	J	A	
36. -VIVEVE MEDICAL		None			Buy	07/12/18	J		
37.					Sold	08/17/18	J	A	
38. -MEI PHARMA		None			Buy	07/27/18	J		
39.					Sold	10/02/18	J	A	
40. -CONATUS PHARMACEUTICALS		None			Buy	08/02/18	J		
41.					Sold	10/22/18	J	B	
42. AXA EQUITABLE LIFE INS CO: UNIVERSAL LIFE	B	Interest	K	T					
43.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	08/06/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JULIA S. GIBBONS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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