

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Otazo-Reyes, Alicia M.	2. Court or Organization U.S. District Court, Southern District of Florida	3. Date of Report 06/04/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 301 N. Miami Avenue, 10th Floor Miami, Florida 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Registered Agent (Replaced effective 5/9/2012)	Select Housing, LLC
2. Secretary (Resigned effective 3/1/2012 before assuming Mag. Judge position)	Alumni Association of the Apostolate of Cuba (in Exile) Inc.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2005	Former law firm Legon Ponce & Fodiman P.A. 401(K) Profit Sharing Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Legon, Ponce & Fodiman, P.A. - salary	\$36,600.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citibank	Co-signer on Revolving Credit Line - Paid off in October, 2012	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	A	Interest	M	T					
2. Ing Direct Account	B	Interest	M	T					
3. Merrill Lynch IRA	D	Int./Div.	N	T					
4. - Allianz NFJ Int'l MF									
5. - American Fundamental MF									
6. - Intech Risk Managed MF									
7. - Loomis Sayles Investment MF									
8. - Loomis Sayles Strategic MF									
9. - American Century MF					Buy (add'l)	03/23/12	J		
10.					Sold (part)	6/6/12	J	A	
11.					Buy (add'l)	10/09/12	J		
12. - Dreyfus Appreciation FD MF					Sold (part)	3/23/12	J	A	
13. - Eaton Vance Floating MF					Sold	6/6/12	J		
14. - Federated Strategic MF					Sold (part)	3/23/12	J	A	
15.					Buy (add'l)	10/09/12	J		
16. - Ivy Asset Strategy MF									
17. - Mainstay Large Cap MF					Buy (add'l)	3/23/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	6/6/12	J		
19.					Sold (part)	10/09/12	J	A	
20. - Permanent Portfolio Fund MF					Buy	3/23/12	J		
21. - PIMCO Long Term U.S. MF					Buy	3/23/12	K		
22.					Sold (part)	6/6/12	J	B	
23.					Sold	8/17/12	J	A	
24. - Pioneer Strategic MF					Buy (add'l)	3/23/12	J		
25.					Buy (add'l)	6/6/12	J		
26. - Prudential Jennison MF					Sold (part)	3/23/12	J	B	
27.					Sold	10/09/12	J	B	
28. - Prudential Short Term MF									
29. - The Oakmark Select Fund MF					Buy	03/23/12	J		
30.					Buy (add'l)	10/09/12	J		
31. - Wellsfargo Adv Small Cap MF									
32. - TCW Total Return MF					Buy	06/06/12	K		
33.					Buy (add'l)	08/17/12	J		
34.					Buy (add'l)	10/09/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - First Eagle Gold Fund MF					Sold	3/23/12	J		
36. - PIMCO Total Return Fund MF					Sold	3/23/12	K	A	
37. - Templeton Global Bond Fund MF					Sold	3/23/12	J		
38. John Hancock 401K		None	M	T					
39. - John Hancock Stable Val. MF									
40. - PIMCO Total Return MF									
41. - T. Rowe Price Spectrum Inc. MF									
42. - American Balanced Fund MF									
43. - Washington Mutual Investors MF									
44. - Davis New York Venture MF									
45. - The Growth Fund of America MF									

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Investments and Trusts

Line 38 - John Hancock 401K

Column B - Income During Reporting Period and

Column: D - Transactions During Reporting Period

This information is not tracked by the Plan.

Part VII - Investments and Trusts

Line 35 - First Eagle Gold Fund MF

Line 36 - PIMCO Total Return Fund MF

Line 37 - Templeton Global Bond Fund MF

These assets were inadvertently omitted from the Initial Report because they were sold on 3/23/12 as shown on Column D; and the sale date was prior to the end of the reporting period for the Initial Report which did not require reporting of transactions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alicia M. Otazo-Reyes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544