

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Arcara, Richard J.	2. Court or Organization U.S. District Court W.D.N.Y.	3. Date of Report 07/07/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 2 Niagara Square Buffalo, New York 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

AAA Western and Central New York Board Member - Stipend

2. 2016

Executive Dimensions - Salary

3. 2016

Health Now Board Member - Stipend

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RBC Branch Sweep Program	A	Interest	M	T					
2. RBC Branch Sweep Program (Y)									
3. RBC Branch Sweep Program	A	Interest	N	T					
4. RBC Branch Sweep Program	A	Interest	J	T					
5. RBC Wealth Management Cash (Y)									
6. Alcoa Inc OID Callable 01/15/21	B	Interest	K	T	Buy	2/5/2016	K		
7. Allianz NFJ Dividend Val Cl. C	B	Dividend	K	T					
8. Allstate Corporation 6.25% pfd ser F	A	Dividend	J	T					
9. Allstate Corporation 6.75%, pfd stk ser C	A	Dividend	J	T					
10. Ally FINL Inc 8/15/18	A	Dividend	K	T					
11. Ally Financial Inc 4.15%	B	Interest	K	T					
12. Ally FINL Inc FR 4.15% Callable 02/15/17	A	Interest	K	T	Buy	12/8/16	K		
13. Alternative Loan Trust MTGPC Series	A	Interest	J	T					
14. Arcelormittal Sr Unsecured	A	Interest			Redeemed	5/20/16	J	A	
15. Bank of America Corp 6.5% Shs REPSTG	B	Dividend	K	T					
16. Bank of America Corp 6.5% Shs REPSTG	A	Dividend	J	T					
17. Bank of America Corp 6.625% Non Cum Prfd	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Bank of America FDG Corp Series 2007-2 Class 1A7	A	Interest	J	T					
19. Bank of American Fund Corp Ser 2007-2 CL TA1B	A	Interest	J	T					
20. BlackRock Global Allocation Fd Inc CL C	A	Dividend	J	T					
21. BlackRock Global Allocation Fd Inc Cl C	A	Dividend	K	T					
22. Blackrock Intl Growth & Income Fund	B	Dividend	K	T					
23. Blackrock Multi Sector Income	B	Dividend	K	T					
24. BlackRock NY Muni Income	A	Dividend	J	T					
25. Bristol Myers Squibb Co		None	K	T	Buy	10/24/16	K		
26. Chase Mtge Ser 2005 (formerly Chase Mtge FIN TR 20)(Y)									
27. CHL Mortgage Pass Through 2006-15	A	Interest	J	T					
28. CHL Mortgage Pass Through 2006-J4	A	Interest	J	T					
29. CHL Mortgage Pass Through 2006-16	A	Interest	J	T					
30. CHL Mortgage Pass Through 2007-3	A	Interest	J	T	Sold (part)	12/31/16	J	A	
31. Citigroup Commercial Mort Tr MTGPC Ser 2006-C5 A-J Fixed	A	Interest	K	T	Buy	9/20/16	K		
32. Citigroup Inc. 5.8% pfd ser C non cum	B	Dividend	K	T					
33. Citigroup Inc. 6.875% ser K	B	Dividend	K	T					
34. CitiMortgage Alt. Loan Trust Series 2006-A4(Y)									

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35. Citimortgage Alternative Loan Trust Series 2006-A4	A	Interest	J	T					
36. Clearbridge Value Trust Class C	A	Dividend	K	T					
37. Clearbridge Energy MLP Total Return Fund	A	Dividend	J	T					
38. Cleveland Biolabs Inc Com New (Y)									
39. Cohen & Steers MLP Income & Energy Opportunity FD Inc	B	Dividend	J	T					
40. Compton Calif Cmnty Redevel Agy		None			Redeemed	8/1/16	J		
41. Computer Task Group Inc	A	Dividend	J	T					
42. Corp Back JCPenney Ser 07-1CL A-1	B	Interest	J	T					
43. Countrywide Alternative Loan Ser. 2005 (Y)									
44. Countrywide Capital V 7%	B	Interest	K	T					
45. Countrywide Home LN Class A11	A	Interest	J	T					
46. Credit Suisse First Boston Mtg Ser 2006-1(Y)									
47. CWALT Inc Alternative Loan Trust (Y)									
48. CWALT Inc. Class 1-A-10 (Y)									
49. Doubleline Income Solutions FD	B	Dividend	K	T					
50. Doubleline Income Solutions FD	A	Dividend	J	T					
51. Duke Energy Corporation 5.125% Jr Sub Debentures	B	Interest	K	T					

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52. Eaton Vance Floating Rate Income Plus Fund	A	Dividend	J	T	Buy	8/10/16	J		
53. Eton Vance Floating Rate Income Plus Fund	A	Dividend	K	T	Buy	11/2/16	K		
54.					Buy (add'l)	11/3/16	J		
55.					Buy (add'l)	11/4/16	J		
56. Eaton Vance High Income 2021 Target Term TR Com SH	A	Dividend	K	T	Buy	5/26/16	K		
57. Eaton Vance Tax-Managed Gbl Diversified Equity Income Fd	B	Dividend	K	T					
58. Eaton Vance Mut FDS TR FLTG Rate Advantage	A	Dividend	K	T	Buy	8/10/16	K		
59. Euro Pac Growth Fund CL C	A	Dividend	K	T					
60. Euro Pacific Growth Fund Cl. A	A	Dividend	J	T					
61. Fannie Mae CMO Series 2011-142	A	Interest			Redeemed	9/26/16	J	A	
62. Federal Home Loan Mtg Corp Ser 4027 C1 YD	A	Interest			Redeemed	3/15/16	J	A	
63. Federal Home Loan Mtg Corp Ser 4604 C1 CD	A	Interest	J	T	Buy	8/26/16	K		
64. Federal National Meeting Assc 2012-70 (Y)									
65. Federal Nation Meeting Assn 2013-41	A	Interest	J	T					
66. Fidelity Adv New Insights CL C	B	Dividend	L	T					
67. Fidelity Adv New Insights Fd Cl A	B	Dividend	K	T					
68. Fidelity Adv New Insights Fd Cl A	A	Dividend	J	T	Sold (part)	11/22/16	J	A	

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69. Fidelity Adv New Insights Cl C	A	Dividend	J	T	Buy (add'l)	11/22/16	J		
70. Fidelity Adv New Insights Fd Cl A	A	Dividend	J	T					
71. KeyCorp (formerly First Niagara FINL Group)	B	Dividend	K	T					
72. First TR MLP & Energy Income Fund	B	Dividend	K	T					
73. First Trust Intermediate Duration Preferred & Income FD	B	Dividend	J	T					
74. First Trust Intermediate Duration Preferred & Income Fd	B	Dividend	J	T					
75. Gimie Mae CMO Series 2012-90 WD-Fixed RT	A	Interest			Redeemed	4/20/16	J	A	
76. GNMA Ser 2012-026 CL MH	A	Interest			Redeemed	8/22/16	J		
77. GNMA Ser 2012-038 CL UE	A	Interest			Redeemed	9/20/16	J		
78. Goldman Sachs HY Fund Cl A	B	Dividend	K	T					
79. GOV'T Nat'l Mtge Assoc 2012-038 CL UE	A	Interest			Redeemed	9/20/16	J	A	
80. GOV'T Nat'l Mtge Assoc 2012-96 CLYG	A	Interest	J	T					
81. Gov't Nat'l Mtg Assn Ser 2012-074 CL MB	A	Interest	K	T					
82. Gov't Nat'l Mtg Assn Ser 2016-160 CL UB	A	Interest	K	T	Buy	11/21/16	K		
83. Gov't Nat'l Mtg Assn Ser 13-06 CL WA	A	Interest	K	T	Buy	4/18/16	K		
84. GS MTG Secs Corp Series 2006-2F Class 2A6	A	Interest	J	T	Buy	4/29/16	J		
85. Hotchkis & Wiley FDS Mid Cap Value Fd Cl I	A	Dividend	J	T					

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86. Hotchkis & Wiley Funds Mid Cap Value FD CL C	A	Dividend	K	T					
87. HSBC Holdings PLC 8.125% Pfd	B	Dividend	J	T					
88. Icahn Enterprises LP/Corp SR Gbl NT 22 Callable 02/01/22	A	Interest	K	T	Buy	6/20/16	K		
89. Invesco Basic Value Opportunities CL C	A	Dividend	J	T					
90. Invesco Charter Fund CI A	A	Dividend	J	T					
91. Invesco Trust for Investment	A	Dividend	J	T					
92. Ivy Asset Strategy CL C		None	J	T	Buy	11/22/16	J		
93. Ivy Asset Strategy Fd CI C	A	Dividend			Sold	11/18/16	J		
94. Ivy Asset Strategy Fd CL C		None	K	T					
95. Ivy Fds Asset Strategy CI A		None	K	T					
96. IVY FDS Inc. Large Cap Growth Fd CI C	A	Dividend	J	T					
97. JC Penney Co SR NTS	B	Interest	K	T					
98. JP Morgan TrustnSeries 2007-S1	A	Interest	J	T					
99. JP Morgan US Large Cap Core Plus C	B	Dividend	K	T					
100. JP Morgan Chase & Co 6.30% Pfd Ser W	B	Dividend	K	T					
101. KeyCorp New	A	Dividend	J	T					
102. Kinetics Paradigm Fd CL C		None	K	T					

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103. Kinetics Paradigm Fund Advisor CI C		None	K	T					
104. KKR & Co LP 6.75% Series A Preferred Units callable 6/15/21	B	Dividend	K	T	Buy	3/11/16	K		
105. Legg Mason Global Asset Mgmt Tr Miller Income Opportunity CI C	B	Dividend			Sold	12/28/16	K		
106. Legg Mason Opportunity Trust CI A		None	J	T					
107. Legg Mason Opportunity Trust CI C		None	K	T					
108. Legg Mason Opportunity Trust CI C		None	J	T					
109. Legg Mason Opportunity Trust CI C		None	K	T					
110. Legg Mason Opportunity Trust CI C		None	J	T					
111. Lehman Mortgage Trust Series 2007-10	A	Interest	J	T					
112. M&T Bank Corp	B	Dividend	L	T					
113. Morgan Stanley Mortgage Loan Tr Series 2006-11 2-A-1 Fixed RT	A	Interest	K	T	Buy	8/25/16	J		
114. National Fuel Gas Co	A	Dividend	K	T					
115. New Perspective Fund IncCl. A	A	Dividend	J	T					
116. Nuveen High Income December 2018 Target Term Fund	B	Dividend	K	T					
117. Nuveen High Income December 2019 target Term Fund	A	Dividend	K	T	Buy	5/11/16	K		
118. Nuveen High Income 2020 Target Term Fund	B	Dividend	K	T					
119. Nuveen High Income November 2021 Target Term Fund Com Shs	A	Dividend	K	T	Buy	8/24/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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07/07/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Nuveen Credit Strategies Inc Fund	A	Dividend	J	T					
121. Proctor & Gamble	A	Dividend	K	T					
122. Prospect Cap Corp FR 5% Callable	A	Interest	K	T					
123. Prudential Jennison 20/20 Focus Fund Class C	A	Dividend	J	T					
124. Prudential Jennison Growth Fund CI A	B	Dividend	K	T					
125. Qwest Corporation 6.5% SR Notes due 2056	A	Interest	K	T	Buy	8/15/16	K		
126. Residential Accredit LNS Inc CL A-5	A	Interest	J	T					
127. Residential Accredit LNS Inc CL I-A-5	A	Interest	J	T					
128. RFMSI Trust MTGPC/SER 2005 (Y)									
129. Royce Fd Total Return Fd Consultant	A	Dividend	J	T					
130. Royce Fund - PA	A	Dividend	J	T					
131. Royce Fund - PAMutual Fund Consultant CI	B	Dividend	K	T					
132. Royce Fund - PAMutual Fund Consultant CL	B	Dividend	L	T					
133. San Bernardino Cnty Calif Fing Pension Obligation		None			Redeemed	8/1/16	J		
134. San Bernardino Cnty Calif Fing Pension Obligation. 1995		None			Redeemed	8/1/16	J		
135. SCE Trust I 5.625% Trust Cu, Pref Secs	B	Dividend	K	T					
136. SLM Corp endnotes 4% to 6/6 4.7%	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. SLM Corp Endnotes 4% 12/08, thereafter 6.75% callable 1/21/17	A	Interest	J	T	Buy	7/26/16	J		
138. SLM Corp Med Term Note	A	Interest	J	T					
139. SLM Corp Ednotes callable 1/21/17	A	Interest	K	T	Buy	8/30/16	K		
140. Southern Company (The) SER 2015A 6.25% JNR Subordntd	B	Int./Div.	K	T					
141. Southern Company (The) SER 2015A 6.25% JNR Subordntd	A	Interest	J	T					
142. SuperValu Inc SR NTS	A	Interest			Redeemed	1/6/16	J	A	
143. Templeton Foreign Fd CI A	A	Dividend	J	T					
144. Thornburg Intl Value Fund CI. A	A	Dividend	K	T					
145. United States Cellular Corp 7.25% Sr. Notes (x)	B	Int./Div.	K	T					
146. United Sts STL Corp New SR NT	A	Interest			Redeemed	6/9/16	J	A	
147. United STS STL Corp New SR NT	A	Interest			Redeemed	6/9/16	K		
148. Wells Fargo & Company 5.7% Dep Shs Rep 1/1000th Int	B	Dividend	K	T	Buy	1/20/16	K		
149. Wells Fargo & Company 6% Dep Shs REPSTG 1/1000th INT	B	Dividend	K	T					
150. Wells Fargo Mtg Backed Securities Ser 2006-6(Y)									
151. Wells Fargo Mtge Backed 2006-6 I-A-1 (Y)									
152. Wells Fargo Mtge Backed 2006-8 A-4	A	Interest	J	T					
153. Wells Fargo Mtge Sec 2006-11 A-13 (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Wells Fargo Mtge Sec 2006-8 A-5	A	Interest	J	T					
155. Western Asset High Yield Defined Opportunity Fd	B	Dividend	K	T					
156. Western Asset Intermediate Term Class C		None	K	T	Buy	12/28/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard J. Arcara**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544