

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lorch, Basil H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 121 W. Spring Street New Albany, IN 47150		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/14/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/14/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/14/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RJ Bank Deposit Program	A	Int./Div.	J	T					
2. TIAA Cref Teachers Retirement Fund	A	Dividend	J	T					
3. College Choice T Rowe Price Large Cap Growth	A	Dividend	J	T					
4. College Choice Black Rock Large Cap Value Class A	A	Dividend	J	T					
5. College Choice 2025 Enrollment Portfolio Class A	A	Dividend	J	T					
6. Wells Fargo Advantage Intl Value Fund	A	Dividend	J	T					
7. Prudential Jennison Midcap Growth Fund Class Z	A	Dividend			Sold	02/13/17	J	A	
8. MFS Research Fund Class A	A	Dividend	J	T					
9. Europacific Growth Fund Class F1	A	Dividend	J	T					
10. Pimco Total Return Fund Class P	A	Dividend			Sold	02/13/17	J	A	
11. AQR Managed Futures Strategy Fund Class N	A	Dividend	J	T					
12. First Eagle Global CL C (X)	A	Dividend	J	T	Sold (part)	03/29/17	K	A	
13.					Sold (part)	09/06/17	J	A	
14. Ivy Balanced CL C	A	Dividend			Sold	03/29/17	K	A	
15. Janus Balanced CL C (X)	A	Dividend			Sold	03/29/17	K	A	
16. Federated Government Cash SER (X)	A	Int./Div.	J	T					
17. MFS Global	A	Dividend	K	T	Sold (part)	04/19/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/14/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	09/06/17	J	A	
19. Baird Aggregate INSTL Class N/L	A	Dividend	J	T					
20. Metropolitan West Total Return Bond Fund Class I	A	Dividend	J	T	Sold (part)	09/11/17	J	A	
21. Carnival Corporation (CCL)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
22. Kraft Heines Company (KHC)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
23. Chevron Corporation New (CVX)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
24. JP Morgan Chase & Company (JPM)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
25. Chubb Limited (Switzerland)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
26. Pfizer Incorporated (PFE)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
27. CME Group Incorporated (CME)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
28. Union Pac Corporation (UNP)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
29. Eaton Corporation PLC (ENT)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
30. Apple Incorporated (AAPL)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
31. Microsoft Corporation (MSFT)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
32. Microchip Technology Incorporated (MCHP)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
33. Verizon Communications Incorporated (VZ)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
34. Oracle Corporation (ORCL)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/14/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Air Products & Chemical Incorporated (APD)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
36. Pepsico, Incorporated (PEP)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
37. Marathon Pete Corporation (MPC)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
38. Time Warner Incorporated Com New (TWX)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
39. Abbvie Incorporated (ABBV)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
40. Merck & Company Incorporated (MRK)	A	Dividend	J	T	Sold (part)	09/07/17	J	A	
41. Artisan International Fund Investor (ARTIX)	A	Dividend	J	T					
42. Loomis Sayles Growth Fund Class Y	A	Dividend	J	T	Sold (part)	09/08/17	J	A	
43. T.Rowe Price Small Cap Value Fund (PASVX)	A	Dividend	J	T	Sold (part)	09/08/17	J	A	
44. Western Asset Total Return Fund	A	Dividend	J	T	Sold (part)	09/08/17	J	A	
45. Champlain Mid Cap Fund Advisor	A	Dividend	J	T	Sold (part)	09/08/17	J	A	
46. American Mutual Fund Class F2	A	Dividend	J	T	Sold (part)	09/11/17	J	A	
47. Mainstay Epoch Global Equity Yield Fund Class A	A	Dividend	J	T	Sold (part)	09/11/17	J	A	
48. Federated Institutional High Yield Bond Fund	A	Dividend	J	T	Sold (part)	09/11/17	J	A	
49. Gateway Fund Class A M/F	A	Dividend	J	T	Sold (part)	09/08/17	J	A	
50. Prudential Jennison Equity Income Fund Class Z	A	Dividend			Sold	02/16/17	J	A	
51. John Hancock Global Absolute Return Strategies Fd	A	Dividend	J	T	Sold (part)	09/11/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/14/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Federated Strategic Value Dividend Fund	A	Dividend	J	T	Sold (part)	09/11/17	J	A	
53. FPA Crescent Fund	A	Dividend	J	T	Buy	03/29/17	J		
54. Westwood Income Opportunity	A	Dividend	J	T	Buy	03/30/17	J		
55. Columbia Dividend Income Fund INST	A	Dividend	J	T	Buy	02/16/17	J		
56. Metropolitan West Total Return Bond Fund Class I	A	Dividend	J	T	Buy	07/13/17	J		
57.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/14/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/14/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Basil H. Lorch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544