

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JELLEN, EDWARD D.	2. Court or Organization U.S. BANKRUPTCY COURT, N.D. CA	3. Date of Report 02/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2011 to 01/31/2012
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. BANKRUPTCY COURT 1300 CLAY ST. (2ND FL) OAKLAND, CA 94612	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

JELLEN, EDWARD D.

Date of Report

02/14/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 01-05/2011	U.C. BERKELEY LAW SCHOOL - TEACHING	\$14,476.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

JELLEN, EDWARD D.

Date of Report

02/14/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

JELLEN, EDWARD D.

Date of Report

02/14/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. A T & T COMMON STOCK	B	Dividend	K	T					
2. CLOROX CO DE	A	Dividend	K	T					
3. SANDISK CORP CALL OPTION AT 41.00 EXP. 1/22/2011		None			Sold	01/21/11	J		SEE PART VIII
4. OCCIDENTAL PETROLEUM CORP DE (OXY)	D	Dividend	O	T					
5. PROCTER & GAMBLE	A	Dividend	J	T					
6. GENERAL ELECTRIC CORP (GECC)	B	Interest	L	T					
7. FRANKLIN CA TX FR INC A	C	Int./Div.	L	T					
8. PUTNAM CA TAX-EX INC A	D	Int./Div.	L	T	Sold (part)	12/30/11	M		
9. SANDISK CORP		None			Sold	01/21/11	K	C	
10. HARTFORD PUTNAM VAR. RATE ANNUITIES		None	M	T					
11. MS ACTIVE ASSETS TAX FR TRUST	A	Int./Div.	L	T					
12. UTILITIES SEL SECT SPDR FUND (XLU)	B	Dividend	L	T					
13. SACRAMENTO CA MUNI UTIL DIST ELEC REV REF SER-U	B	Interest	K	T					
14. HEALDSBURG CA, PUB FING AUTH WASTEWATER REV	B	Interest	K	T					
15. SACRAMENTO CNTY CA. ARPT SYS REV SNR-A(25k FACE VALUE)	B	Interest	K	T					
16. APPLE INC		None	L	T					
17. UNIVERSITY CA REVS LTD PROJ-B	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

JELLEN, EDWARD D.

Date of Report

02/14/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. SACRAMENTO CNTY CA. ARPT SYS REV SNR-A (40k FACE VALUE)	C	Interest	K	T					
19. MORGAN STANLEY BANK DEPOSIT (IRA)	A	Interest	J	T					
20. ISHARES S&P GLBL TELECOMM (IRA)	A	Dividend	J	T	Buy (add'l)	01/18/12	J		
21. ISHARES IBOXX INVEST GR COR FD (IRA)	C	Dividend	L	T					
22. BANK OF AMERICA CD	A	Interest	K	T					SEE PART VIII
23. OCCIDENTAL PETROL CALL OPTION AT 90.00 EXP 1/22/11		None			Expired	01/22/11	J	D	SEE PART VIII
24. ABBOTT LABORATORIES (ABT)	B	Dividend	K	T	Buy	01/27/11	K		
25. OCCIDENTAL PETROL CALL OPTION AT \$110.00 EXP 1/21/12		None			Sold	11/16/11	J		SEE PART VIII
26. OCCIDENTAL PETROL CALL OPTION AT \$110.00 EXP 1/21/12		None			Expired	01/21/12	J	C	SEE PART VIII
27. PUTNAM TAX-EX INCOME A (PTAEX)	A	Int./Div.	M	T	Buy	12/30/11	M		
28. OCCIDENTAL PETROL CALL OPTION AT \$100.00 EXP 8/20/11		None			Sold	01/21/11	J		SEE PART VIII
29. OCCIDENTAL PETROL CALL OPTION AT \$100.00 EXP 8/20/11		None			Expired	08/20/11	J	D	SEE PART VIII
30. OCCIDENTAL PETROL CALL OPTION AT \$90.00 EXP 1/22/11		None	J	T	Buy	01/21/11	J		SEE PART VIII
31. OCCIDENTAL PETROL CALL OPTION AT \$90/00 EXP 1/22/11		None			Expired	01/22/11	J		SEE PART VIII
32. OCCIDENTAL PETROL CALL OPTION AT \$115.00 EXP 5/19/12		None			Sold	01/18/12	J		SEE PART VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

JELLEN, EDWARD D.

Date of Report

02/14/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII -line 3 - This call option was outstanding at 12/31/2010, and was exercised on 01/21/2011. The actual sale is reported on line 9.

Part VII - line 22 - This account was mistakenly reported as a Bank of America Checking Account in prior reports. This is actually a Bank of America Certificate of Deposit which was last renewed on 12/11/11 for another eighteen month period.

Part VII - line 23 - This call option was outstanding at 12/31/2010 and expired on 01/22/2011. The cost of the options the judge received is represented in column D(4).

Part VII - lines 25 and 26 - An option to buy was sold on 11/16/11 and expired on 01/21/12. The cost of the options the judge received is represented in column D(4) on line 26.

Part VII - lines 28 and 29 - An option to buy was sold on 01/21/11 and expired on 08/20/11. The cost of the options the judge received is represented in column D(4) on line 29.

Part VII - lines 30 and 31 - An option to buy was purchased on 01/21/11 and expired on 01/22/11.

Part VII - line 32 - An option to buy was sold on 01/18/12 and remained outstanding as of 01/31/12.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

JELLEN, EDWARD D.

Date of Report

02/14/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **EDWARD D. JELLEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544