

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thorson, Becky R.	2. Court or Organization United States District Court, District of Minnesota	3. Date of Report 05/18/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge, Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 346 Warren E Burger Federal Building and US Courthouse 316 N Robert Street, Suite 346 St. Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Federal Bar Association, Minnesota Chapter
2.	Board of Trustees	Mitchell Hamline School of Law
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2015	Robins Kaplan, LLP Defined Benefit Plan, former law firm, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	
2. 2015	salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Department of State	06/27/2015-07/03/2015	Ukraine	Provide Education to Ukraine Judges	Transportation, meals, hotel
2. Administrative Office	05/06/2015-05/08/2015	Duluth, MN	Court Bench Meeting	Transportation, meals, hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express Company	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank, 3M Stock, common	C	Dividend	L	T					
2. Wells Fargo Bank, 3M Stock, common	D	Distribution	L	T	Sold (part)	04/20/15	K	D	3M Company
3. Wells Fargo Bank, Accounts	A	Interest	M	T					
4. National Financial Services LLC, 3M Stock (Fidelity)	D	Dividend	O	T					
5. National Financial Services LLC, 3M Stock Option Plan (Fidelity)	A	Distribution	O	T	Sold (part)	03/17/15	N	G	3M Stock Option
6. National Financial Services LLC, 3M US GESPP (Fidelity)	C	Dividend	K	T					
7. National Financial Services LLC, 3M Restricted Stock Unit (Fidelity)		None	L	T					
8. US Bank, Accounts	A	Interest	M	T					
9. Robins Kaplan LLP Profit Sharing & Savings Plan: Fidelity (G) (H)									
10. - TRP New Income	A	Dividend			Sold	03/20/15	L	C	
11. - TRP New Income		Distribution			Sold	03/20/15	L	C	Rollover to TSP
12. - SPTN 500 Index Inst									
13. - SPTN 500 Index Inst		Distribution			Sold	03/20/15	O	G	Rollover to TSP
14. - MSIF Mid Cap Grth I									
15. - MSIF Mid Cap Grth I		Distribution			Sold	03/20/15	L	D	Rollover to TSP
16. - GS Midcap Value Inst									
17. - GS Midcap Value Inst		Distribution			Sold	03/20/15	K	C	Rollover to TSP

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - FID Low Priced Stk K									
19. - FID Low Priced Stk K		Distribution			Sold	03/20/15	K	D	Rollover to TSP
20. - FID Asset Mgr 50%									
21. - FID Asset Mgr 50%		Distribution			Sold	03/20/15	K	D	Rollover to TSP
22. - FID Asset Mgr 20%	A	Dividend							
23. - FID Asset Mgr 20%		Distribution			Sold	03/20/15	K	C	Rollover to TSP
24. - Dodge & Cox Intl Stk									
25. - Dodge & Cox Intl Stk		Distribution			Sold	03/20/15	K	B	Rollover to TSP
26. - Thornburg Int Val RS		Dividend							
27. - Thornburg Int Val RS		Distribution			Sold	03/20/15	K	C	Rollover to TSP
28. Robins Kaplan LLP Supplemental Retirement Plan Cash Surrender Value	C	Interest	M	T					
29. Retirement Account: (H1) (H)									
30. - S&P 500 Stock Index Fund	C	Dividend	N	T					
31. - Growth Stock Fund	C	Dividend	M	T					
32. - International Stock Fund	B	Dividend	M	T					
33. - Value Stock Index Fund	B	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII: Becky Thorson's retirement holdings consist of the Robins Kaplan LLP Supplemental Retirement Plan and the Robins Kaplan LLP Defined Benefit Pension Plan (See Section II). The Supplemental Plan as of June 2, 2015 is valued at \$143,799. This plan was funded by Robins Kaplan LLP. The Becky Thorson Supplemental Retirement Plan Trust (a grantor trust) holds a Variable Universal Life Policy from the Minnesota Life Company. She has no control over this trust. The Cash Surrender Value of the policy funds the Supplemental Retirement Plan.

Section VII: The entire Robins Kaplan LLP Profit Sharing & Savings Plan (Fidelity) was rolled over into the Thrift Savings Plan in March of 2015.

Section VII: National Financial Services (Fidelity) holds [REDACTED] These shares when exercised are reportable through [REDACTED]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Becky R. Thorson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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