

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Leen, Peggy A.	2. Court or Organization U.S. District Court, Nevada	3. Date of Report 10/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full Time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Lloyd D. George U.S. Courthouse 333 Las Vegas Boulevard South, #3071 Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Clark Co. Credit Union	A	Interest	K	T					
2. IRA Acct. #1 Consisting of:									
3. Prudential World Fund Int'l Equity, f.k.a. Prutential Int'l Value Fund	A	Dividend	J	T					
4. Virtus Opp. Multi Sector Bond Fund (C)	A	Interest	K	T					
5. Europacific Gr. Fd.	B	Dividend	M	T					
6. Prudential Utility Fund	C	Dividend	M	T					
7. Jennison Mid-Cap Growth Fd.		None	L	T					
8. Jennison 20/20 Focua (A)		None	K	T					
9. Prudential Global Total Return Fund	B	Dividend	K	T					
10. Money Market Fund - Wells Fargo Bank, NA	A	Dividend	K	T					
11. Fundamental INVS (A)	C	Dividend	O	T					
12. Growth Fund Amer (A)	A	Dividend	M	T					
13. Investment Co. Amer (A)	C	Dividend	M	T					
14. Prudential Financial Services Fund	A	Dividend	K	T					
15. Jennison Health Services Fund (A)		None	L	T					
16. Prudential Invest. Portfolio Balanced fka Prudent'l Asset Allocat'n Fd	B	Dividend	M	T					
17. Prudential Gov't Inc Fd fna Prudential Invest Port	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Powershares QQQ	A	Dividend	K	T					
19. SH CVX	A	Dividend	J	T					
20. SH XOM	A	Dividend	J	T					
21. Brokerage Acct. Consisting of:									
22. SH ADM	A	Dividend	K	T					
23. SH CB	A	Dividend	K	T	Sold	01/15/16	L	E	
24. SH KO	A	Dividend	K	T					
25. SH INTL	A	Dividend	J	T					
26. SH TGT	B	Dividend	L	T					
27. SH GE (See Part VIII)	B	Dividend	K	T	Buy	04/06/15	K		
28. Prudential Invest. Portfolio Balanced fka Prudent'l Asset Allocat'n Fd	A	Dividend	J	T					
29. Jennison Blend Fund A		None	M	T					
30. Prudential World Int'l Equity Fund	A	Dividend	K	T					
31. Prudential Large Cap Core Equity	C	Dividend	N	T					
32. Prudential Utility Fund	D	Dividend	N	T					
33. Jennison 20/20		None	M	T					
34. Deutsche Mkt GL Inc. Builder f.k.a. DWS Balance Fund	C	Dividend	L	T					

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35. Money Market Fund - Wells Fargo Bank, NA	A	Interest	N	T					
36. SH Prudential Financial	A	Dividend	K	T					
37. Harrison NJ REF-SERC G/O UNLTD SCH BD RES FD		None	L	T					
38. SOUTH BEND IN SEW WORKS REV	C	Interest	M	T					
39. KANSAS ST DEV FIN AUTH REV UNIV OF KS LAW	C	Interest	M	T	Redeemed	09/01/16	L	A	
40. KANKAKEE RIV MET AGY IL SEW TREATMENT FAC REV	C	Interest	M	T	Redeemed	09/30/16	L	A	
41. DALLAS, TX UNREFUNDED & PRERE FUNDED BALANCE RFDG&IMPT	C	Interest	M	T					
42. KANSAS ST DEV FIN AUTH REV UNIV OF KS LAW	C	Interest	M	T	Redeemed	09/01/16	L	A	
43. Virtus Opp Multi Sector Bond Fund (C)	D	Interest	M	T					
44. Virtus Opp Multi Sector Bond Fund (A)	D	Interest	N	T					
45. Comenity Bank CD	B	Interest	L	T					
46. Ally Bank CD	A	Interest	L	T	Buy	08/31/16	L		
47. Comenity Bank CD	A	Interest	L	T	Buy	08/31/16	L		
48. Capital One CD	A	Interest	L	T	Buy	08/31/16	L		
49. Mass Mutual Deferred Compensation Program		None	M	T					
50. Pacific Life Ins. (See Part VIII)	D	Interest	M	T					
51. Nationwide Annuity - Variable consisting of:									

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52. AMCENT VP BAL I		None	L	T					
53. FIDVIP GR INITIAL		None	M	T					
54. OPP CNSRV BAL VA NSS		None	M	T					
55. USAA Bank Account ("y") (See Part VIII)	A	Interest	J	T					
56. Nevada State Bank	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

27. These shares were acquired 04/06/15, and I inadvertently did not list on my 2015 disclosure report.

50. Life Insurance Policy with account (cash) code M. Interest income to the account in 2015 was value code D. A portion of the interest pays the annual premium.

55. Account has less than \$5,000 aggregate value and generates less than \$200 in annual income.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Peggy A. Leen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544