

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FLEISSIG, AUDREY G.	2. Court or Organization EASTERN DISTRICT OF MISSOURI	3. Date of Report 05/14/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ACTIVE STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 111 S. 10TH STREET: 12S ST. LOUIS, MISSOURI 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	CO-TRUSTEE (NO FEE)	TRUST #1
2.	CO-TRUSTEE (NO FEE)	TRUST #2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

FLEISSIG, AUDREY G.

Date of Report

05/14/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

MISSOURI MACHINERY & ENGINEERING COMPANY (SALARY)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

FLEISSIG, AUDREY G.

Date of Report

05/14/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UMB BANK	MORTGAGE ON COMMERCIAL REAL ESTATE	N
2.	ST. LOUIS DEVELOPMENT CORP	MORTGAGE ON COMMERCIAL REAL ESTATE	None
3.	UMB BANK	MORTGAGE ON RENTAL PROPERTY #2, BRENTWOOD, MO (PART VII, LINE 63)	L
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

FLEISSIG, AUDREY G.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	C	Int./Div.	M	T					
2. - BERKSHIRE HATHAWAY									
3. - HARBOR FD INTL FD									
4. - ROYCE PREMIER INVESTMENT CL					Sold	01/22/14	K		
5. - PIMCO FUNDS COMMODITY/ REAL RET									
6. -PIMCO TOTAL RET FD INTL					Buy (add'l)	01/22/14	K		
7.					Sold	10/21/14	L		
8. -COHEN & STEERS REALTY SHS INSTL									
9. -AMERITRADE ACCOUNT									
10. -BAIRD CORE PLUS BD INSTL					Buy	10/22/14	L		
11. IRA #2	D	Int./Div.	M	T					
12. - HARBOR FD INTL FD					Sold (part)	01/22/14	J		
13.					Buy (add'l)	08/19/14	J		
14. - COHEN & STEERS REALTY SHS									
15. -AMERITRADE ACCOUNT									
16. -PIMCO TOTAL RET FD INSTL					Buy	01/21/14	J		
17.					Sold	10/20/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -BAIRD CORE PLUS BD INSTL					Buy	10/22/14	J		
19. BROKERAGE #1 (H)									
20. -ROYCE PREMIER INVESTMENT	A	Int./Div.			Sold	01/22/14	K	C	
21.					Buy	05/20/14	J		
22.					Buy (add'l)	05/20/14	J		
23.					Sold	08/19/14	J	B	
24. -ISHARES MIDCAP	B	Int./Div.	M	T					
25. -ISHARES RUSSELL 1000 ETF	B	Int./Div.	L	T	Buy (add'l)	05/20/14	J		
26.					Buy (add'l)	05/20/14	J		
27. -AMERICAN FUNDS CAPITAL WORLD BOND F2	A	Int./Div.			Sold	01/22/14	K	D	
28.					Buy	05/20/14	J		
29.					Buy (add'l)	06/26/14	J		
30.					Sold	08/18/14	J	A	
31. -AMERICAN FUNDS INTRMEDTE BD FD OF AMER F2	A	Int./Div.			Sold	01/22/14	K	A	
32.					Buy	05/20/14	J		
33.					Buy (add'l)	06/02/14	J		
34.					Buy (add'l)	07/01/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	08/01/14	J		
36.					Sold	08/19/14	J	A	
37. -AMERICAN FUNDS NEW PERSPECTIVE FD CL F2	A	Int./Div.			Sold	01/22/14	L	E	
38.					Buy	05/20/14	J		
39.					Sold	08/20/14	J	B	
40. -HARBOR FD INTL FD	A	Int./Div.	K	T	Buy (add'l)	01/22/14	K		
41.					Buy (add'l)	05/20/14	J		
42. -HARBOR FD CAP APPRECIATN	C	Int./Div.	L	T	Buy (add'l)	05/20/14	J		
43.					Buy (add'l)	05/20/14	J		
44. -T ROW PRICE EQUITY INCOME F SH BEN INT	B	Int./Div.	K	T	Buy (add'l)	05/20/14	J		
45. -AMG MGRS SKYLINE SPEC EQUITIES	B	Int./Div.	L	T	Buy	01/22/14	L		
46. -VANGUARD ITERM-TERM TAX- EXEMPT ADMIRAL	B	Int./Div.	L	T	Buy	01/22/14	L		
47.					Sold (part)	04/11/14	K	A	
48.					Buy (add'l)	05/20/14	J		
49.					Buy (add'l)	08/20/14	K		
50. -AMERICAN FUNDS CAPTL INCM BLDR F2	A	Int./Div.			Buy	05/20/14	J		
51.					Buy (add'l)	06/16/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold	08/20/14	J	B	
53. -HARBOR FD BD FD INSTL	A	Int./Div.			Buy	05/20/14	J		
54.					Buy (add'l)	05/20/14	J		
55.					Sold	08/20/14	K	C	
56. -MSIF US REAL ESTATE FD CL I	A	Int./Div.	J	T	Buy	05/20/14	J		
57.					Buy (add'l)	05/20/14	J		
58. -AMERITRADE ACCOUNT (X)	A	Int./Div.	J	T					
59. MISSOURI MACHINERY & ENGINEERING 50% PRIVATELY HELD	E	Int./Div.	O	U					
60. BKF LLC 50% MEMBER- PRIVATELY HELD	A	Rent	J	U					
61. U.S. SAVINGS BONDS		None	K	T					
62. RENTAL PROPERTY #1, ST. LOUIS, MO	D	Rent	M	W					
63. RENTAL PROPERTY #2, BRENTWOOD, MO	D	Rent	M	W					
64. 401(K) COMMERCE BOND FUND	C	Int./Div.	M	T					
65. BANK OF AMERICA		None	K	T					
66. TRUST #1	C	Int./Div.	J	T					
67. -TD MONEY MARKET PORTFOLIO INVESTOR CLASS									
68. -AMERICAN FUNDS CAPITAL WORLD BOND FUND - SBI CLASS A					Sold	05/20/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -AMERICAN FUNDS INTERMEDIATE BOND FUND OF AMERICA SBI CLASS A					Sold	05/20/14	J		
70. -AMERICAN FUNDS NEW PERSPECTIVE FUND INC CL A					Sold	05/20/14	J		
71. -HARBOR FD BD FD INSTL					Sold	05/20/14	J		
72. -HARBOR FD CAP APPRECIATION					Sold	05/20/14	J		
73. -HARBOR FD INTL FD					Sold	05/20/14	J		
74. -MSIF FDS INC US REAL ESTATE FD CL I					Sold	05/20/14	J		
75. -ROWE T PRICE EQUITY INCOME F SH BEN INT					Sold	05/20/14	J		
76. -ROYCE PREMIER INVESTMENT					Sold	05/20/14	J		
77. -ISHARES RUSSELL 1000 ETF					Sold	05/20/14	J		
78. TRUST #2	A	Int./Div.	J	T					
79. -HARBOR FD BD FD INSTL (X)					Sold	5/20/14	J		
80. -HARBOR FD CAP APPRECIATN (X)					Sold	05/20/14	J		
81. -ROYCE PREMIER INVESTMENT CL (X)					Sold	05/20/14	J		
82. -ISHARES RUSSELL 1000 ETF (X)					Sold	05/20/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

FLEISSIG, AUDREY G.

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05/14/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

DURING MAY 2014, SECURITIES WERE TRANSFERRED FROM TRUST #1 (PART VII, LINE 66) INTO THE BROKERAGE ACCOUNT #1 (PART VII, LINE 19).

SECURITIES WERE INHERITED AND TRANSFERRED FROM TRUST #2 (PART VII, LINE 78) INTO THE BROKERAGE ACCOUNT #1 (PART VII, LINE 19) DURING MAY 2014.

IN PART VII, LINE 66, THIS ASSET HEADING WAS FORMERLY KNOWN AS BENEFICIARY OF TRUST OF FATHER'S ESTATE.

IN PART I, LINE 1, THE NAME OF THE ORGANIZATION/ENTITY WAS FORMLY KNOWN AS LIVING TRUST CREATED UNDER AGREEMENT 8/31/1984.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

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05/14/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ AUDREY G. FLEISSIG**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544