

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Ruth	2. Court or Organization District Court, U.S. Virgin Islands	3. Date of Report 07/17/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Ron de Lugo Federal Building 5500 Veterans Drive, 3rd Floor St. Thomas, USVI 00802-0802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Self-employed boat captain
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bar Association	June 1-4, 2017	St. Louis, Mo.	Client Protection Standing Committee - meeting and forum	Partial transportation, lodging
2. Club de Vela la Pen - US Sailing	August 13-19, 2017	Valle de Bravo, Mexico	Women's International Keelboat Championships Jury	Transportation, lodging, some meals
3. Storm Trysail Club	June 17-24, 2017	Block Island, Rhode Island	Block Island Race Week Jury	Transportation, some meals, lodging
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Third Bank	Mortgage on property in Chicago, IL	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Property, Chicago, IL	C	Rent	N	W					
2. Genworth Financial flex premium adjustable life policy		None			Distributed	11/09/17	J		
3. Banco Popular de Puerto Rico account		None	L	T					
4. Charles Schwab brokerage account (H)									
5. -T common	A	Dividend							
6. -AAPL common	A	Dividend							
7. -ADM common	A	Dividend							
8. -BYD common		None							
9. -BMJ common	A	Dividend							
10. -DUK common	A	Dividend							
11. -XOM common	A	Dividend							
12. -FTR common		None							
13. -GE common	B	Dividend							
14. -MON common	A	Dividend							
15. -NWL common	A	Dividend							
16. -PFE common	A	Dividend							
17. -VZ common	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Fairpoint Commun Inc XXX (Y)									
19. -Schwab cash reserves	A	Interest							
20. -American High Income Trust Class A	A	Dividend							
21. -Capital Income Builder Fund Class A		None							
22. -Capital World Growth & Income Fund Class A		None							
23. -The Growth Fund of America Class A		None							
24. -Virtus Global Prem Alphasector(SM) Fund Class A		None							
25. -Virtus Foreign Opportunities Fund ClassA		None							
26. LPL Financial Inherited IRA account (H)									
27. -VZ common	A	Dividend	J	T					
28. -LPL Financial cash account	A	Interest	K	T					
29. -MO common	A	Dividend	J	T					
30. -AEP common	A	Dividend	J	T					
31. -AAPL common	A	Dividend	K	T					
32. -BRK/B common		None	K	T					
33. -KO common	A	Dividend	J	T					
34. -EMR common	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -ESRX common		None			Sold	04/12/17	J		
36. -FAST common	A	Dividend			Sold	04/12/17	J	A	
37. -GE common	A	Dividend			Sold	12/07/17	J		
38. -GIS common	A	Dividend	J	T					
39. -JNJ common	A	Dividend	J	T					
40. -PAYX common	A	Dividend			Sold	04/12/17	J	B	
41. -PM common	A	Dividend	J	T					
42. -PG common	A	Dividend	J	T					
43. -PEG common	A	Dividend			Sold	10/04/17	J	A	
44. -O common	A	Dividend	J	T					
45. -SO common	A	Dividend	J	T					
46. -SE common	A	Dividend			Merged (with line 60)	02/28/17	J		
47. -UPS common	A	Dividend	J	T					
48. -WFC common	A	Dividend	J	T					
49. -HCN common	A	Dividend	J	T					
50. -Doubleline Total Return Bond Cl N	A	Dividend	K	T					
51. -JP Morgan Core Bond Cl A	A	Dividend	J	T					

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52. -Amerigas Partners Ltd					Sold	02/02/17	J	B	
53. -Magellan Midstream Partners Ltd	A	Distribution			Sold	02/02/17	K	C	
54. -Mainstay High Yield Corp Bond C1 A	A	Dividend			Sold	08/25/17	K	B	
55. -HSBC common	A	Dividend	J	T					
56. -PRU common	A	Dividend			Sold	04/12/17	J	C	
57. -LNT common	A	Dividend	K	T	Buy	02/02/17	K		
58. -PFE common	A	Dividend	J	T	Buy	02/02/17	J		
59. -PPL common	A	Dividend	J	T	Buy	02/02/17	J		
60. -ENB common						02/28/17	J		
61.		None			Sold	03/02/17	J	C	
62.	A	Dividend	J	T	Buy	04/12/17	J		
63. -CMP common	A	Dividend	J	T	Buy	04/12/17	J		
64. -D common	A	Dividend	J	T	Buy	04/12/17	J		
65.					Buy (add'l)	10/04/17	J		
66. -DUK common	A	Dividend	J	T	Buy	04/12/17	J		
67. -iShares MSCI Emerging Markets ETF	A	Dividend	K	T	Buy	08/31/17	J		
68.					Buy (add'l)	10/09/17	J		

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69. Mainstay Short Duration High Yield CI A	A	Dividend	K	T	Buy	10/04/17	J		
70.					Buy (add'l)	10/09/17	K		
71. LPL Financial Investment account (H)									
72. -AAPL common	A	Dividend	K	T	Buy (add'l)	04/12/17	J		
73. -ADM common		None			Sold	04/12/17	K	C	
74. -T common	B	Dividend	K	T					
75. -BYD common		None			Sold	04/12/17	J	A	
76. -BMY common	A	Dividend			Sold	04/12/17	K	B	
77. -DUK common	A	Dividend	J	T	Sold (part)	04/12/17	K	D	
78. -XOM common		None			Sold	04/12/17	L		
79. -FTR common	A	Dividend			Sold	04/12/17	J		
80. -GE common	B	Dividend			Sold	12/07/17	K		
81. -MON common	A	Dividend			Sold	04/12/17	J	A	
82. -NWL common		None			Sold	04/12/17	K	D	
83. -PFE common	A	Dividend	J	T	Sold (part)	04/12/17	K	C	
84. -VZ common	A	Dividend	J	T	Sold (part)	04/12/17	K	A	
85. -American High Income Trust Class A	A	Dividend			Sold	04/12/17	J		

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86. -Capital Income Builder Fund Class A	A	Dividend			Sold	04/12/17	K	C	
87. -Capital World Growth & Income Fund Class A	A	Dividend			Sold	04/12/17	J	A	
88. -The Growth Fund of America Class A		None			Sold	04/12/17	K	C	
89. -Virtus Global Prem Alphasector(SM) Fund Class A		None			Sold	04/12/17	K		
90. -Virtus Foreign Opportunities Fund ClassA		None			Sold	04/12/17	K	C	
91. -LPL Financial Insured Cash Account	A	Interest	L	T					
92. -LNT common	A	Dividend	K	T	Buy	04/12/17	K		
93. -MO common	A	Dividend	J	T	Buy	04/12/17	J		
94. -AEP common	A	Dividend	J	T	Buy	04/12/17	J		
95. -BRK/B common		None	K	T	Buy	04/12/17	K		
96. -KO common	A	Dividend	J	T	Buy	04/12/17	J		
97. -CMP common	A	Dividend	J	T	Buy	04/12/17	J		
98. -D common	A	Dividend	K	T	Buy	04/12/17	J		
99.					Buy (add'l)	10/04/17	J		
100. -EMR common	A	Dividend	J	T	Buy	04/12/17	J		
101. -ENB common	A	Dividend	J	T	Buy	04/12/17	J		
102. -GIS common	A	Dividend	J	T	Buy	04/12/17	J		

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103. -HSBC common	A	Dividend	J	T	Buy	04/12/17	J		
104. -JNJ common	A	Dividend	J	T	Buy	04/12/17	J		
105. -PM common	A	Dividend	K	T	Buy	04/12/17	K		
106. -PPL common	A	Dividend	J	T	Buy	04/12/17	J		
107. -PG common	A	Dividend	J	T	Buy	04/12/17	J		
108. -PEG common	A	Dividend			Buy	04/12/17	J		
109.					Sold	10/04/17	J	A	
110. -O common	A	Dividend	K	T	Buy	04/12/17	K		
111. -SO common	A	Dividend	J	T	Buy	04/12/17	J		
112. -UPS	A	Dividend	J	T	Buy	04/12/17	J		
113. -WFC common	A	Dividend	J	T	Buy	04/12/17	J		
114. -HCN common	A	Dividend	J	T	Buy	04/12/17	J		
115. -Doubleline Total Return Bond Cl N	A	Dividend	K	T	Buy	04/12/17	K		
116. -JP Morgan Core Bond Cl A	A	Dividend	K	T	Buy	04/12/17	K		
117. -Mainstay High Yield Corp Bond Cl A	A	Dividend			Buy	04/12/17	K		
118.					Sold	08/25/17	K	A	
119. -iShares MSCI Emerging Markets ETF	A	Dividend	K	T	Buy	08/31/17	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120.					Buy (add'l)	10/09/17	K		
121. -Mainstay Short Duration High Yield Cl A	A	Dividend	K	T	Buy	10/04/17	J		
122.					Buy (add'l)	10/09/17	K		
123.									
124.									
125.									
126.									
127.									
128.									
129.									
130.									
131.									
132.									
133.									
134.									
135.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Miller, Ruth

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Genworth life insurance policy was surrendered for its cash value (less than \$1000)

The Northern Trust account previously reported has a value below the reporting threshold for bank accounts and no income.

The assets in the Charles Schwab brokerage account reported in lines 5-25 were transferred to the LPL brokerage account and appear on at lines 72-122.

Fairpoint Communications shares (line 18) were deemed worthless after bankruptcy and were not transferred to the new brokerage account.

SE (line 46) merged into ECB (line 60). I tried to use the "spinoff" option from the dropdown menu for D(1), but the software would not print anything at all in the space.

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Name of Person Reporting

Miller, Ruth

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ruth Miller**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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