

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Rogers, III, Thomas E.	2. Court or Organization U.S. District Court, SC	3. Date of Report 06/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge -Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Post Office Box 2317 Florence, SC 29501		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Florence Public School District One
2. 2012	Dynamic Development, LLC
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	TD Ameritrade	Margin account	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [REDACTED] Marion C, SC (1/2011, \$60,000)		None	L	R					
2. Brokerage account # 1 (Marked as # 1)									
3. First Clearing, LLC - Cash balance		None	J	T					
4. # 1 - Citigroup	A	Dividend	J	T					
5. # 1 - Dragonwave, Inc.		None	J	T					
6. # 1 - Frontier Communications Corp	A	Dividend	J	T					
7. # 1 - Verizon Communications Com	A	Dividend	J	T					
8. # 1 - SC Jobs Econ DV Ath Stdnt 4.25% 8/01/24 "Y"	A	Int./Div.	J	T					
9. # 1 - Call Sarepta Therapeutic [REDACTED] Exp 2/16/13		None	J	T					
10. Brokerage account # 2 (Marked as # 2)									
11. # 2 - Cash balance -First Clearing, LLC	A	Int./Div.	J	T					
12. # 2 - Exxon Mobil Corp	A	Dividend	K	T					
13.					Buy (add'l)	12/10/12	J		
14. # 2 - PG&E Corp	A	Dividend			Sold (part)	08/08/12	J	A	
15.					Sold	8/8/12	J	A	
16. Brokerage account # 3 (Marked as # 3)									
17. # 3 - Ameritrade MMDA Account - Cash balance	A	Int./Div.	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. # 3 - Surepta Therapeutics formerly AVI BioPharma, Inc.		None			Sold	10/04/12	J	A	
19.					Buy (add'l)	08/02/12	J		
20.					Sold (part)	9/14/12	J	A	
21.					Sold (part)	10/2/12	J	A	
22.					Sold (part)	10/02/12	J	A	
23.					Sold	10/04/12	J	A	
24.					Buy (add'l)	10/09/12	J		
25.					Sold	10/24/12	J	A	
26.					Buy (add'l)	10/17/12	J		
27.					Sold	10/25/12	J	A	
28.					Buy (add'l)	10/19/12	J		
29.					Sold (part)	10/11/12	J	A	
30.					Sold	10/22/12	J	A	
31.		None	J	T	Buy (add'l)	05/07/12	J		
32. #3 - A123 System Inc Com		None			Buy	03/07/12	J		
33.					Sold	03/26/12	J	A	
34. # 3 - Dragon Wave, Inc.		None			Sold	12/18/12	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35.					Buy	10/04/12	J		
36.					Sold	12/18/12	J	A	
37.					Buy (add'l)	10/04/12	J		
38.					Sold	12/18/12	J	A	
39.					Buy (add'l)	10/04/12	J		
40.					Sold	12/18/12	J	A	
41.					Buy (add'l)	10/04/12	J		
42.					Sold	12/18/12	J	A	
43.					Buy (add'l)	11/05/12	J		
44.					Sold	3/6/12	J	A	
45. # 3 - First Finl Hldgs Inc Com		None			Buy	03/02/12	J		
46.					Sold	03/21/12	J	A	
47.					Buy (add'l)	03/05/12	J		
48.					Sold	03/21/12	J	A	
49.					Buy (add'l)	05/04/12	J		
50.					Sold	06/29/12	J	A	
51.					Buy (add'l)	05/04/12	J		

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52.					Sold	06/29/12	J	A	
53. # 3 - Marvell Technology Group Ltd Com		None			Sold	02/13/12	J	A	
54. # 3 - MIPS Technologies Inc. - Class A Com		None			Sold	01/25/12	J	A	
55. # 3 - PMC-Sierra Inc Com		None			Sold (part)	02/13/12	J	A	
56.		None			Sold	05/07/12	J	A	
57. # 3 - Skyworks Solutions Inc. Com		None	J	T	Buy	11/02/12	J		
58.			J	T	Buy	12/14/12	J		
59. First Federal checking		None	J	T					
60. NBSC (also called National Bank of South Carolina)	A	Interest	K	T					
61. Wells Fargo , NA	A	Interest	J	T					
62. Equitable Variable: EQ Agressive (whole life policy)		None	J	T					
63. Jefferson-Pilot Adjustable Life policy		None	J	T					
64. UGMA # A (Marked as # A)									
65. # A - Capital World Growth & Income Fund Inc Cl C	A	Dividend	J	T					
66. # A - First Clearing, LLC - Cash balance	A	Interest	J	T					
67. # A American Fds Washington Mut (Edu Svgs Acct)(no control)	A	Int./Div.	J	T					
68. UGMA # A, Trust 1 (Marked as #AT1)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. # AT1 - First Clearing, LLC - Cash balance	A	Int./Div.	J	T					
70. # AT1 - Russell Invt Co Global Infrastructure Fd Class S	A	Dividend	J	T	Sold (part)	08/07/12	J		
71. # AT1 - Russell Invt Co Commodity Strategies Fd Cl S	A	Dividend	J	T					
72.	A	Dividend	J	T	Buy	08/07/12	J		
73. # AT1 - Russell Intl Developd Mkts Fd Class S	A	Dividend	J	T	Buy (add'l)	08/07/12	J		
74. # AT1 - Russell Invt Co Global Opportunistic Cr Fd Cl S	A	Dividend	J	T	Sold (part)	08/07/12	J		
75. # AT1 - Russell Emerging Mkts Cl S	A	Dividend	J	T	Sold (part)	08/07/12	J		
76. # AT1 - Russell Invt Co Global Real Estate Secs Fd Cl S	A	Dividend	J	T	Buy (add'l)	08/10/12	J		
77.					Sold (part)	08/07/12	J		
78. #AT1 - Russell Invt Co US Strategic Equity Fd Class S	A	Dividend	J	T	Buy	08/10/12	J		
79.			J	T	Buy (add'l)	08/08/12	J		
80. # AT1 - Russell Invt Co Multi-strategy Alternative Fd Cl S		None	J	T	Buy	08/08/12	J		
81. # AT1 - Russell Gbl Eqty Class S	A	Dividend	J	T	Sold (part)	08/07/12	J		
82. # AT1 - Russell US Core Equity Class S	A	Dividend			Sold (part)	08/09/12	J		
83.					Sold (part)	8/7/12	J		
84.					Sold	8/9/12	J		
85. # AT1 - Russell US Sml Mid Cap Class S	A	Dividend	J	T	Sold (part)	08/07/12	J		

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86. # AT1 - Russell US Quant Eqty Class S	A	Dividend			Sold (part)	08/09/12	J		
87.					Sold (part)	08/07/12	J		
88.					Sold	08/09/12	J		
89. UGMA # B (Marked as # B)									
90. # B - Capital World Growth & Income Fund Inc. CI C	A	Dividend	J	T					
91. # B First Clearing, LLC - Cash balance	A	Interest	J	T					
92. # B Columbia Mgmt Futre Schol 529 Collge Svng Pl (no control	A	Int./Div.	J	T					
93. # B - BP PLC stock	A	Dividend	J	T					
94.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, page 4, line 1, Column B(1) for 1.25 acres Florence County, 72.13 acres Marion County, SC received no rental income for the year therefore, column B(2) is "None".

Part VII, lines 3, 11, 17 66, 69, and 91 are the money market account portions of the brokerage accounts which act as sweep accounts for uninvested cash in that specific brokerage account. We believe these to be a widely held accounts because they are a part of the brokerage accounts.

Part VII, lines 2, 10, 16, 64, 68 and 89 are header lines for the investments listed below the specific line.

Part VII, line 18 AVI BioPharma, Inc. is now Sarepta Therapeutics.

Part VII, line 18 Sarepta Therapeutics formerly AVI BioPharma, Inc. was sold on 10/04/12. No income was received during the year other than the proceeds from the sale as is reported in column D.

Part VII, line 67, # A American Fds Washington Mut (Edu Svgs Acct) (no control). The fund is American Funds, Washington Mutual Investors, Fund - A, which is an educational savings account. (no control)

Part VII, line 92, # B Columbia Mgmt Futre Schol 529 Collge Svg Pl (no control). This is the Columbia Management Future Scholar 529 College Savings Plan. The funds are invested in the Columbia Moderate Growth A. (no control).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas E. Rogers, III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544