

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) King, Robert B.	2. Court or Organization U.S. Court of Appeals, 4th Circuit	3. Date of Report 05/1/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 300 Virginia Street, East Suite 7602 Charleston, WV 25301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Vice Chairman, Board of Directors	WVU College of Law Alumni Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TimeWarner Inc. (common)	B	Dividend	L	T	Sold (part)	04/21/17	K	C	
2. Proctor & Gamble Co. (common)	C	Dividend	L	T					
3. Intel Corp. (common)	C	Dividend	J	T	Sold (part)	04/21/17	K	D	
4. Morgan Stanley Co. (common)	A	Dividend	L	T	Sold (part)	08/07/17	K	C	
5. Starbucks Corp. (common)	B	Dividend	L	T	Sold (part)	01/30/17	J	C	
6. Legg Mason Clearbridge Aggressive Growth Fund	A	Dividend	L	T					
7. E.I. DuPont De Nemours & Co. (common)	A	Dividend	J	T					
8. Chemours	A	Dividend	J	T					
9. Cisco Systems	A	Dividend			Sold	05/01/17	J		
10. (H) Mineral interests, Tyler County, WV See below a - k		None							
11. - a. HG Energy, successor to East Resources, Inc.		None	J	W					
12. - b. Ergon Oil Purchasing	A	Royalty	J	W					
13. - c. CNX Gas, successor to Consol Gas Co.		None	J	W					
14. - d. Jay-Bee Production	A	Royalty	J	W					
15. - e. BD Oil Gathering Corp.		None	J	W					
16. - f. Texas Keystone, Inc.		None	J	W					
17. - g. Petroedge Energy (X)		None	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - h. Postrock Eastern Production		None	J	W					
19. - i. American Energy - Marcellus		None	J	W					
20. - j. Artero Resources Corp.	D	Royalty	J	W					
21. - k. Ascent Resources, LLC	A	Royalty	J	W					
22. - l. Diversified Oil & Gas	A	Royalty	J	W					
23. - m. EQT Production	A	Royalty	J	W					
24. Mineral Interests, Doddridge County, WV		None	J	W					
25. Mineral Interests, Wetzel County, WV		None	J	W					
26. Charter Communications	A	Dividend	K	T					
27. Time Inc. (common)		None			Sold	05/25/17	J	B	
28. Apple Inc.	A	Dividend	K	T	Sold (part)	01/30/17	J	C	
29. Pepsico	A	Dividend	K	T	Sold (part)	01/30/17	J	B	
30. American Century Equity Income Fund	A	Dividend	L	T					
31. Blackrock Global Allocation Fund		None			Sold	01/30/17	K	B	
32. Prudential Global Real Estate Fund Class 2		None			Sold	01/30/17	K	B	
33. Hess Corp.	A	Dividend	K	T					
34. Kraft Foods	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Facebook		None			Sold (part)	01/30/17	J	C	
36. Facebook		None			Sold	04/21/17	K	C	
37. Lazard Int'l Equity Fund		None			Sold	01/30/17	K	C	
38. Ishares S&P Global Health Care Sector ETF		None			Sold	02/02/17	J	A	
39. Ishares Trust SHS ETF		None			Sold	02/17/17	J	A	
40. Ishares MSCI USA ESG Select ETF		None			Sold	02/02/17	J	A	
41. Vanguard Div. Apprec. ETF		None			Sold	02/02/17	J	A	
42. Ishares S&P Global Clean Energy ETF		None			Sold	02/02/17	J	A	
43. Vanguard Index Fds	A	Dividend	J	T	Buy	02/03/17	J		
44. Vanguard Total Bond Market Fund	A	Dividend	J	T	Buy	02/03/17	J		
45. Vanguard Intl Equity Index Fds	A	Dividend	J	T	Buy	02/03/17	J		
46. Vanguard S&P 500	A	Dividend	J	T	Buy	02/03/17	J		
47. IShares Core US Aggregate	A	Dividend	J	T	Buy	06/28/17	J		
48. IShares Core S&P Mid Cap	A	Dividend	J	T	Buy	02/03/17	J		
49. Vanguard Total Bond Mkt	A	Dividend	J	T	Buy	04/10/17	J		
50. IShares USETF Trust	A	Dividend	J	T	Buy	05/19/17	J		
51. IShares Trust EAFE	A	Dividend	J	T	Buy	05/19/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. iShares Trust USA	A	Dividend	J	T	Buy	05/19/17	J		
53. iShares Select Dividend	A	Dividend	J	T	Buy	06/19/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert B. King**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544