

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jackson, Jr., Stephen B.	2. Court or Organization United States District Court, Southern District of Iowa	3. Date of Report 06/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 05/31/2015
7. Chambers or Office Address United States Courthouse 131 E. 4th Street Davenport, IA 52801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Secretary/Treasurer	Iowa Judges Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2010	Jackson & Jackson, PLC - payment for fees from former law firm
2. 2010	State of Iowa Judicial Retirement System, defined benefit pension, no control
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	State of Iowa, District Court Judge - Salary	\$124,047.00
2. 2014	State of Iowa, District Court Judge - Salary	\$127,357.00
3. 2015	State of Iowa, District Court Judge - Salary	\$16,275.78
4. 2013	Payment for fees from former law firm (gross amount)	\$970.00
5. 2014	Payment for fees from former law firm (gross amount)	\$975.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Self-employed professional organizer
2. 2014	Self-employed professional organizer
3. 2015	Self-employed professional organizer
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Bank	Mortgage on vacation/rental property, (Part VII., Line 1)	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vacation/rental property, (2013 \$217,000)	E	Rent	M	R					
2. U.S. Bank Accounts	A	Interest	K	T					
3. IRA #1 (H)									
4. - Russell Strategic Bond S	A	Dividend							
5. - Russell Investment Global Opportunistic S	A	Dividend							
6. - Russell US Strategic Equity S	A	Dividend							
7. - Russell US Small Cap Equity S	A	Dividend							
8. - Russell International Developing Markets S	A	Dividend							
9. - Russell Global Equity S	A	Dividend							
10. - Russell Investment Global Infrastructure S	A	Dividend							
11. - Russell Emerging Markets S	A	Dividend							
12. - Russell Global Real Estate S	A	Dividend							
13. - Russell Commodity Strategic S	A	Dividend							
14. - Russell Multi-Strategic S	A	Dividend							
15. IRA #2 (H)									
16. - Wells Fargo Cash Acct	A	Interest							
17. - American Balanced Fund C	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Capital World Growth & Income Fund A	A	Dividend							
19. - New Perspective Fund A	A	Dividend							
20. - Davis New York Venture Fund C	A	Dividend							
21. - Putnam International Equity Fund C	A	Dividend							
22. IRA #3 (H)									
23. - Power Shares DB Commodity Index Tracking Fund	A	Dividend	J	T					
24. - DFA Investment Grade	A	Dividend	J	T					
25. - DFA International Vector Equity	A	Dividend	J	T					
26. - DFA International Value	A	Dividend	J	T					
27. - DFA Emerging Markets Core Equity	A	Dividend	K	T					
28. - DFA Emerging Markets Value	A	Dividend	J	T					
29. - DFA Global Fixed Income	A	Dividend	J	T					
30. - DFA International Core Equity	A	Dividend	K	T					
31. - DFA Short Term Extended Quality	A	Dividend	J	T					
32. - DFA US Large Cap Growth	A	Dividend	K	T					
33. - DFA US Vector Equity	B	Dividend	K	T					
34. - DFA US Core Equity	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - DFA One-Year Fixed Income	A	Dividend	J	T					
36. - DFA Two-Year Global Fixed Income	A	Dividend	J	T					
37. - Diamond Hill Long Short	A	Dividend	J	T					
38. - Permanent Portfolio	A	Dividend	J	T					
39. - Vanguard Reit Index	A	Dividend	J	T					
40. IRA #4 (H)									
41. - Capital World Growth & Income	A	Dividend							
42. - Growth Fund America	A	Dividend							
43. - Washington Mutual	A	Dividend							
44. - Wells Fargo Cash Acct	A	Dividend							
45. IRA #5 (H)									
46. - Power Shares DB Commodity Index Tracking Fund	A	Dividend	J	T					
47. - DFA Investment Grade	A	Dividend	J	T					
48. - DFA International Vector Equity	A	Dividend	J	T					
49. - DFA International Value	A	Dividend	J	T					
50. - DFA Emerging Markets Core Equity	A	Dividend	J	T					
51. - DFA Emerging Markets Value	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - DFA Global Fixed Income	A	Dividend	J	T					
53. - DFA International Core Equity	A	Dividend	J	T					
54. - DFA Short Term Extended Quality	A	Dividend	J	T					
55. - DFA US Large Cap Growth	A	Dividend	J	T					
56. - DFA US Vector Equity	B	Dividend	K	T					
57. - DFA US Core Equity	A	Dividend	K	T					
58. - DFA One-Year Fixed Income	A	Dividend	J	T					
59. - DFA Two-Year Global Fixed Income	A	Dividend	J	T					
60. - Diamond Hill Long Short	A	Dividend	J	T					
61. - Permanent Portfolio	A	Dividend	J	T					
62. - Vanguard Reit Index	A	Dividend	J	T					
63. IRA #6 (H)									
64. - Wells Fargo Cash Acct	A	Interest							
65. - Russell Lifepoints Fund Growth Strategy	A	Dividend							
66. IRA #7 (H)									
67. - Discover Bank Cash Acct	A	Interest	J	T					
68. - Russell Lifepoints Fund Growth Strategy	A	Dividend	K	T					

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69. TIAA CREF 457(b)(H)									
70. - TIAA CREF Lifecycle 2030	A	Dividend	J	T					
71. TIAA CREF 401(a)(H)									
72. - TIAA CREF S&P 500 Index	A	Dividend	J	T					
73. 529 Plan #1 (H)									
74. - BlackRock Mod 13-16 Age Based Option	A	Dividend	J	T					
75. - BlackRock Aggressive Growth	A	Dividend	J	T					
76. 529 Plan #2 (H)									
77. - Amcap Fund	A	Dividend	J	T					
78. - American Balanced Fund	A	Dividend	J	T					
79. - American High Income Trust	A	Dividend	J	T					
80. - Capital Income Builder	A	Dividend	J	T					
81. - Capital World Growth and Income	A	Dividend	J	T					
82. - Fundamental Investor	A	Dividend	J	T					
83. - Growth Fund of America	A	Dividend	J	T					
84. 529 Plan #3 (H)									
85. - Ohio Tuition Trust Fifth Third Cash Account	A	Interest	M	T					

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86. Trust #1 (H) (Beneficiary)									
87. - American Funds Money Market A		None	J	T					
88. - American Funds Bond Fund of America A	A	Dividend	J	T					
89. - American Funds Capital World Bond Fund A	A	Dividend	J	T					
90. Lincoln Universal Life Insurance	B	Interest	K	T					
91. Transamerica Universal Life Insurance	C	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII. Investments and Trusts: DFA is abbreviation for Dimensional Fund Advisors.

Section VII. Investments and Trusts. This Second Amended Report is amended only to correct the reporting period dates; to delete assets previously listed that were not required to be listed; to correct the income and value code for the assets listed on lines 88 and 89, and to include the assets listed on lines 90 and 91.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephen B. Jackson, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544