

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schiltz, Patrick J.	2. Court or Organization U.S. District Court, Minnesota	3. Date of Report 04/26/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 300 South Fourth Street - Suite 14E Minneapolis, MN 55415		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member, Board of Directors	Minnesota Chapter of Federal Bar Association
2.	Member, Board of Governors	University of St. Thomas School of Law
3.	Power of Attorney	
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

University of St. Thomas (salary)

2. 2017

LEG, Inc. d/b/a West Academic (royalties for casebook and payment for work on casebook supplement)

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS (H)									
2. -- Wells Fargo Bank Cash Accounts	A	Interest	L	T					
3. -- US Bank Cash Accounts	A	Interest	J	T					
4. -- Vanguard Prime Money Market Fund	A	Dividend	K	T					
5. UNIVERSITY OF ST. THOMAS 403(b) ACCOUNT #1 (H)									
6. -- CREF Stock Fund		None	N	T	Sold (part)	05/08/17	K		
7. -- CREF Growth Fund		None	M	T	Sold (part)	05/08/17	K		
8. -- CREF Bond Market Fund		None	M	T	Buy (add'l)	05/08/17	K		
9. -- CREF Inflation-Linked Bond Fund		None	L	T					
10. UNIVERSITY OF ST. THOMAS 403(b) ACCOUNT #2 (H)									
11. -- CREF Stock Fund		None	M	T					
12. -- CREF Growth Fund		None	M	T					
13. -- CREF Bond Market Fund		None	M	T					
14. -- CREF Inflation-Linked Bond Fund		None	L	T					
15. -- T. Rowe Price Retirement 2025 Fund	B	Dividend	K	T					
16. IRA #1 (H)									
17. -- Vanguard Total Stock Mkt Idx Fund	D	Dividend	N	T	Sold (part)	09/18/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Vanguard Target Retirement 2025 (X)	C	Dividend	M	T	Buy	08/07/17	J		
19.					Buy (add'l)	09/18/17	L		
20. IRA #2 (H)									
21. -- Vanguard Total Stock Mkt Idx Fund	C	Dividend	M	T	Sold (part)	09/18/17	L		
22. -- Vanguard Target Retirement 2025 (X)	C	Dividend	M	T	Buy	08/07/17	J		
23.					Buy (add'l)	09/18/17	L		
24. MUTUAL FUNDS (H)									
25. -- Fidelity New Markets Income Fund	C	Dividend	L	T					
26. -- T. Rowe Price Small-Cap Stock Fund	D	Dividend	L	T					
27. -- T. Rowe Price Tax-Free High Yield Fund	B	Dividend	L	T					
28. -- Vanguard GNMA Fund	B	Dividend	L	T					
29. -- Vanguard Intermediate-Term Tax-Exempt Fund	B	Dividend	K	T					
30. -- Vanguard Limited-Term Tax-Exempt Fund	A	Dividend	K	T					
31. -- Vanguard REIT Index Fund	C	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section I, line 3: I was given a power of attorney to sign an apartment lease on behalf of my parents.

Section VII, lines 5-14: CREF does not credit income to individual accounts, but instead reports a unit value to participants.

Section VII, lines 6, 7 & 8: This represents a transfer within a 403(b) account, from CREF Stock Fund and CREF Growth Fund to CREF Bond Market Fund.

Section VII, lines 17 & 19: This represents a transfer within an IRA, from Vanguard Total Stock Market Index Fund to Vanguard Target Retirement 2025 Fund.

Section VII, lines 21 & 23: This represents a transfer within an IRA, from Vanguard Total Stock Market Index Fund to Vanguard Target Retirement 2025 Fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Patrick J. Schiltz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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