

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SCHERMER, BARRY S.	2. Court or Organization US BANKRUPTCY COURT ED MO	3. Date of Report 05/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 111 South Tenth Street Fifth Floor North St. Louis, MO 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. May 2017	Washington University School of Law: compensation for teaching	\$1,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judicial Center	August 7, 2017 - August 11, 2017	San Diego, CA	Educational Program and Retirement planning	Transportation, housing, meals
2. Administrative Office of the United States Courts	August 14, 2017 - August 15, 2017	Manchester, NH	Judicial resources evaluation	Transportation, housing, meals
3.				
4.				
5.				
6.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Southern Company Common	B	Div	K	T					
2. Bank of America	A	Int	J	T					
3. Reinsurance Group, Inc.	A	Div	K	T					
4. Northern European Royalty Trust	C	Dividend	L	T					
5.									
6. Cardinal Financial purchased by United Bankshares	A	Dividend	K	T					
7. Vanguard Federal Money Market Fund	A	Dividend	M	T					
8. Capital One 360	B	Interest	M	T					
9. Synchrony Bank	A	Interest	M	T					
10. Vanguard High Yield Tax Exempt Bond	A	Interest	K	T					
11. Vanguard Inter-Term Tax Exempt Bond	A	Interest	K	T					
12. Vanguard Prime Cap Core Fund	A	Interest	J	T					
13. Bristol Meyers Squibb Common		None			Sold	05/15/17	J	A	market
14. Coca Cola Company	A	Dividend	J	T					
15. Kinder Morgan Mgmt. Common		None			Sold	08/03/17	J	A	market
16. Proctor & Gamble	A	Dividend	J	T					
17. Plum Creek Timber Company Common		None			Sold	08/03/17	J	A	market

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.									
19.									
20. Bank of America Ch Acct.	A	Interest	J	T					
21. Berkshire Hathaway		None	M	T					
22.									
23. Vanguard Federal Money Market Fund	A	Dividend	N	T					
24. General Electric Co.	A	Dividend			Sold	05/15/17	J	A	market
25. Honeywell Intl	A	Dividend	J	T					
26. Howard Hughes Corp.	A	Dividend			Sold	08/03/17	J	A	market
27. Loews Corp.		None			Sold	08/03/17	J	A	market
28. MicroSoft Corp.	A	Dividend	J	T					
29.									
30. Proctor & Gamble Co.	A	Dividend	J	T					
31. Vanguard Prime Money Market Fund	A	Dividend	M	T					
32. Vanguard High Yield Tax Exempt Fund	A	Dividend	M	T					
33. Apple Inc.	B	Dividend			Sold	08/03/17	L	E	market
34. Alibaba Group	A	Dividend			Sold	09/07/17	L	E	market

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Master Card, Inc.	A	Dividend	K	T					
36. Panera Bread	A	Dividend			Sold	09/07/17	K	D	company purchased
37. Whole Foods Market, Inc.	A	Dividend			Sold	05/15/17	K	B	market
38. Express Scripts	A	Dividend			Sold	05/15/17	K	C	market
39.									
40. Carnival Cruise Lines	A	Dividend			Sold	08/03/17	K	A	market
41. U-Haul	A	Dividend			Sold	08/03/17	K	A	market
42. Monsanto	A	Dividend	K	T					
43.									
44.									
45.									
46.									
47.									
48.									
49.									
50.									
51.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.									
53.									
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60.									
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62.									
63.									
64.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ BARRY S. SCHERMER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544