

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) AFRICK, LANCE M.	2. Court or Organization USDC, EASTERN DISTRICT OF LA	3. Date of Report 06/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL-TIME U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 500 POYDRAS STREET ROOM C-405 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Committee Member and Past President	Allstate Sugar Bowl
2. Director	Foundation, Inc.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	Brown Sims, PC - wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New Orleans Bar Association	April 19-20, 2013	Biloxi, MS	Bench Bar Conference	registration, hotel accommodations
2. American Conference Institute	February 27-28, 2013	Houston, TX	Annual Forum	hotel, transportation
3. Brown Sims	June 16-19, 2013	New York, NY	UJA General Insurance Annual Dinner	airfare, food
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [REDACTED] Investments, Inc.	A	Dividend	M	U					
2. [REDACTED] Investments, LP	B	Dividend	P1	T					
3. Louisiana Local Gov. Enviro (Municipal Bond)	A	Interest	J	T					
4. Krispy Kreme Donuts, Inc.		None	J	T					
5. RMA Tax Free Fund, Inc. (UBS)	A	Dividend	L	T	Sold (part)	01/02/13	J		
6.					Buy	01/02/13	J		
7.					Buy	01/03/13	K		
8.					Sold (part)	01/04/13	J		
9.					Sold (part)	01/09/13	J		
10.					Buy	01/15/13	K		
11.					Sold (part)	01/24/13	J		
12.					Sold (part)	01/25/13	J		
13.					Buy	01/25/13	J		
14.					Sold (part)	01/31/13	K		
15.					Buy	02/04/13	J		
16.					Sold (part)	02/05/13	J		
17.					Buy	02/07/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	02/12/13	J		
19.					Buy	02/19/13	J		
20.					Sold (part)	02/21/13	J		
21.					Buy	02/22/13	J		
22.					Sold (part)	02/27/13	J		
23.					Buy	03/04/13	J		
24.					Buy	03/06/13	J		
25.					Sold (part)	03/08/13	J		
26.					Sold (part)	03/08/13	M		
27.					Sold (part)	03/11/13	L		
28.					Sold (part)	03/12/13	L		
29.					Buy	03/18/13	J		
30.					Buy	03/22/13	J		
31.					Sold (part)	03/27/13	K		
32.					Buy	04/01/13	K		
33.					Buy	04/02/13	K		
34.					Buy	04/03/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	04/05/13	J		
36.					Sold (part)	04/11/13	K		
37.					Buy	04/11/13	L		
38.					Buy	04/16/13	J		
39.					Sold (part)	04/22/13	J		
40.					Sold (part)	04/22/13	L		
41.					Sold (part)	04/23/13	J		
42.					Sold (part)	04/24/13	J		
43.					Buy	04/24/13	J		
44.					Sold (part)	04/30/13	J		
45.					Buy	05/02/13	K		
46.					Sold (part)	05/03/13	K		
47.					Sold (part)	05/09/13	J		
48.					Buy	05/13/13	J		
49.					Sold (part)	05/15/13	J		
50.					Buy	05/16/13	J		
51.					Sold (part)	05/17/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy	05/24/13	J		
53.					Sold (part)	05/29/13	J		
54.					Sold (part)	05/30/13	J		
55.					Sold (part)	06/04/13	J		
56.					Buy	06/05/13	K		
57.					Sold (part)	06/17/13	J		
58.					Buy	06/18/13	J		
59.					Sold (part)	06/20/13	J		
60.					Buy	06/24/13	J		
61.					Sold (part)	06/25/13	J		
62.					Sold (part)	06/26/13	J		
63.					Sold (part)	06/27/13	J		
64.					Buy	07/02/13	J		
65.					Buy	07/10/13	K		
66.					Sold (part)	07/11/13	J		
67.					Sold (part)	07/12/13	J		
68.					Sold (part)	07/15/13	J		

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69.					Buy	07/16/13	J		
70.					Sold (part)	07/23/13	J		
71.					Buy	07/25/13	J		
72.					Buy	08/02/13	J		
73.					Buy	08/06/13	K		
74.					Sold (part)	08/16/13	J		
75.					Sold (part)	08/19/13	J		
76.					Sold (part)	08/20/13	J		
77.					Sold (part)	08/21/13	J		
78.					Sold (part)	08/22/13	J		
79.					Sold (part)	08/23/13	J		
80.					Buy	08/26/13	J		
81.					Sold (part)	08/28/13	J		
82.					Buy	09/04/13	J		
83.					Buy	09/06/13	J		
84.					Sold (part)	09/09/13	J		
85.					Sold (part)	09/17/13	J		

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86.					Sold (part)	09/20/13	J		
87.					Buy	09/24/13	J		
88.					Sold (part)	09/30/13	J		
89.					Sold (part)	09/30/13	K		
90.					Sold (part)	10/01/13	J		
91.					Sold (part)	10/01/13	L		
92.					Buy	10/02/13	L		
93.					Sold (part)	10/03/13	J		
94.					Buy	10/04/13	J		
95.					Buy	10/16/13	J		
96.					Sold (part)	10/23/13	J		
97.					Sold (part)	10/25/13	J		
98.					Buy	10/25/13	J		
99.					Sold (part)	10/29/13	J		
100.					Buy	11/04/13	J		
101.					Sold (part)	11/05/13	J		
102.					Buy	11/06/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103.					Sold (part)	11/14/13	J		
104.					Buy	11/18/13	J		
105.					Sold (part)	11/19/13	J		
106.					Sold (part)	11/20/13	J		
107.					Sold (part)	11/21/13	J		
108.					Buy	11/22/13	J		
109.					Sold (part)	11/25/13	J		
110.					Buy	11/26/13	J		
111.					Sold (part)	11/27/13	M		
112.					Buy	11/29/13	J		
113.					Sold (part)	12/02/13	J		
114.					Buy	12/03/13	J		
115.					Buy	12/06/13	J		
116.					Sold (part)	12/09/13	J		
117.					Sold (part)	12/11/13	M		
118.					Buy	12/17/13	K		
119.					Buy	12/24/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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120.					Sold (part)	12/26/13	J		
121.					Sold (part)	12/27/13	J		
122.					Buy	12/30/13	J		
123.					Buy	12/31/13	J		
124. Facebook Inc.		None	J	T					
125. Allendale, MI Public Callable Bond	B	Interest	K	T					
126. American Municipal Power OH Callable Bond	A	Interest	K	T					
127. Maryland St. Health & Higher Bond	B	Interest	K	T					
128. Alabama State Board Education Callable Bond	A	Interest			Redeemed	05/01/13	K	A	
129. Louisville Jefferson KY Callable Bond	B	Interest	J	T	Sold (part)	09/26/13	K	B	
130. Long Island Power Callable Bond	B	Interest	K	T					
131. Akron Bath Copley OH Callable Bond	B	Interest			Redeemed	12/16/13	K	A	
132. Illinois State FGIC Bond	C	Interest	L	T					
133. Atlantic BCH FL HLTH Callble Bond	A	Interest			Redeemed	04/01/13	J	A	
134. Georgia Municipal Electric Bond	B	Interest	K	T	Redeemed (part)	01/02/13	J	A	
135. Clark CTNY Nevada School Callable Bond	B	Interest	K	T					
136. Columbus OH Swr Callable Bond	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

AFRICK, LANCE M.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Columbus OH City Sch Callable Bond	B	Interest	K	T					
138. Florida St. BER Callable Bond (2)	C	Interest	L	T					
139. Connecticut St. Health & RV Callable Bond	B	Interest	K	T					
140. Connecticut St. Health & Ser Callable Bond	A	Interest	J	T					
141. Atlanta GA Water & Wastewater Rev Bond	C	Interest	K	T					
142. District Columbia Rev Callable Bond	A	Interest	J	T					
143. Houston TX Util Sys Callable Bond	C	Interest	L	T					
144. Connecticut ST Gen Rev Callable Bond SRD	A	Interest	J	T					
145. Duval Co FL Sch Callable Bond	B	Interest	K	T					
146. Clayton County & Clayton Co Callable Bond	B	Interest			Sold	09/09/13	K	A	
147. Florida St Brd Ed Lottery SR A	B	Interest	K	T					
148. Nevada St FGIC Callable Bond	B	Interest	K	T					
149. Univ Houston TX Callable Bond	B	Interest	K	T					
150. Kendall & Kane Countys Callable Bond	B	Interest	K	T					
151. Indiana Hlth FAC FING Callable Bond (2)	B	Interest	K	T					
152. Florida St. Dept Environmen. Callable Bond (3)	C	Interest	M	T					
153. University Ill Unv Callable Bond	B	Interest	J	T	Sold (part)	09/26/13	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Kentucky Infrastruct Callable Bond	C	Interest	L	T					
155. Florida St Brd Ed. Lottery SrB	B	Interest	K	T					
156. Medical Univ SC Hosp Bond	C	Interest			Sold	09/26/13	L	C	
157. Metropolitan Trans AUNY SER A (2)	C	Interest	L	T					
158. New York City Cultural Callable Bond	C	Interest	L	T					
159. New York City Indus Dev Callable Bond	B	Interest	K	T					
160. Medical Univ SC Hosp Callable Bond	C	Interest	K	T					
161. Metropolitan Trans Auth NY Bond SERIES F	B	Interest	K	T					
162. Ohio St. Ser A Callable Bond	B	Interest	L	T					
163. Pennsylvania Higher Ed Callable Bond	B	Interest	K	T					
164. San Antonio TX Elec Callable Bond	B	Interest	K	T					
165. Texas Transport Comm Gen Callable Bond	B	Interest	K	T					
166. Wisconsin St SERC Bond	C	Interest	L	T					
167. Victoria Tex Go Ult Callable Bond	B	Interest			Sold	09/26/13	K	B	
168. Amarillo Tex CTFS Callable Bond	B	Interest	K	T					
169. Connecticut St Gen Ser A Callable Bond	B	Interest	K	T					
170. Florida St Brd Ed Pub Callable Bond SRD	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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06/09/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Mass St Port Au Rev Callable Bond	B	Interest	L	T					
172. Florida St Brd Educ Pub Callable Bond	B	Interest	L	T					
173. John Hancock Variable Annuity		None	N	T					
174. Dreyfus Liquid Assets Class I (Y)									
175. Blackrock MUN Target Term Trust	C	Dividend	L	T					
176. Nuveen Inter Duration	C	Dividend	L	T					
177. Pimco Dynamic CR Income FD	C	Dividend	K	T	Buy	01/28/13	K		
178. Louisiana St Gas & Fuels Tax	C	Interest	M	T	Buy	03/05/13	M		
179. Louisiana St RFDG SER C	B	Interest	M	T	Buy	03/05/13	M		
180. Metropolitan Allstate Rapid Tran Auth GA Sales Tax Rev	B	Interest	K	T	Buy	03/06/13	L		
181. Pueblo Cnty Colo CTFS PARTN Cnty Judicial Complex	B	Interest	K	T	Buy	03/06/13	K		
182. Atlanta GA WTR & WASTE WATER FSA SR B	B	Interest	K	T	Buy	03/07/13	K		
183. Boynton Beach Fla Util Sys Rev	B	Interest	K	T	Buy	03/07/13	K		
184. Miami Dade Cnty Fla SCH BRD CTFS SER B	C	Interest	L	T	Buy	04/08/13	L		
185. Louisiana LOC GOVT Environmental Assur SR B	B	Interest	J	T	Buy	04/17/13	L		
186. Center Coast MLP & Infrastructure FD	A	Dividend	K	T	Buy	09/25/13	K		
187. New Jersey ST Trans TRFD SER AA	B	Interest	L	T	Buy	09/26/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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AFRICK, LANCE M.

Date of Report

06/09/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Midland Cnty TEX Fresh WTR Supply DIST	A	Interest	M	T	Buy	09/26/13	M		
189. University WIS Hosps & Clinics Auth Rev SER A		None	L	T	Buy	09/26/13	L		
190. Nuveen Ltd Municipal Bond Fund	A	Dividend	J	T	Buy (add'l)	11/04/13	J		
191. AT&T Inc.	A	Dividend	J	T					
192. Intel Corp DE (Y)									
193. Johnson & Johnson	A	Dividend	J	T					
194. Proctor & Gamble	A	Dividend	J	T					
195. PIMCO Total Return Fund Class A	A	Dividend			Sold	10/21/13	J	A	
196. PIMCO All Assets All Auth A	A	Dividend	J	T					
197. First Eagle Global Fund Class A	A	Dividend	J	T	Buy (add'l)	12/16/13	J		
198. Fidelity Advisor Short Intermediate Mun Inc Class A	A	Dividend			Buy (add'l)	02/26/13	J		
199.					Sold	04/24/13	J	A	
200. Pfizer, Inc.	A	Dividend	J	T					
201. Franklin/Templeton Global Bond Fund	A	Dividend	J	T	Buy (add'l)	11/04/13	J		
202. FPA Crescent Fund	A	Dividend	J	T	Buy (add'l)	02/27/13	J		
203.					Buy (add'l)	03/04/13	J		
204.					Buy (add'l)	08/29/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

AFRICK, LANCE M.

Date of Report

06/09/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	10/31/13	J		
206. Altria Group, Inc.	A	Dividend	J	T					
207. Starbucks Corp	A	Dividend	J	T					
208. New York CMNTY Bancorp	A	Dividend	J	T					
209. Mainstay Marketfield Fund Class A	A	Dividend	J	T	Buy (add'l)	08/29/13	J		
210.					Buy (add'l)	09/09/13	J		
211.					Buy (add'l)	10/31/13	J		
212. Yacktman Focused Fund	A	Dividend	J	T	Buy	02/27/13	J		
213. RMA Money Market (Y)									
214. State of Louisiana (Start Program) (Y)									
215. Lord Abbett Bond Debenture Fund	A	Dividend	J	T	Buy	11/04/13	J		
216. Guggenheim Macro Opportunities	A	Dividend	J	T	Buy	04/29/13	J		
217.					Buy (add'l)	09/03/13	J		
218. Berkshire Hathaway CL B		None	J	T	Buy	02/26/13	J		
219. Corning Inc DF	A	Dividend	J	T	Buy	03/18/13	J		
220.					Buy (add'l)	04/29/13	J		
221.					Buy (add'l)	09/03/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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AFRICK, LANCE M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Fidelity Advisor Floating Rate High Income Fund	A	Dividend	J	T	Buy	04/24/13	J		
223.					Buy (add'l)	09/03/13	J		
224.					Buy (add'l)	09/11/13	J		
225. Neuberger Berman Long Short Fund Class	A	Dividend	K	T	Buy	06/03/13	J		
226.					Buy (add'l)	09/03/13	J		
227.					Buy (add'l)	10/21/13	J		
228.					Buy (add'l)	12/16/13	J		
229. Lorillard Inc.	A	Dividend	J	T	Buy	09/03/13	J		
230. Goldman Sachs Strategic Income	A	Dividend	K	T	Buy	09/03/13	J		
231.					Buy (add'l)	11/14/13	J		
232. PIMCO Income Fund Class A	A	Dividend	J	T	Buy	10/21/13	J		
233. ING Large Cap Growth Portfolio (X)		None	J	T					
234. ING JP Morgan Mid Cap Val. Portfolio 1 (X)		None	J	T					
235. Loomis Sayles Strategic Income Fund	A	Dividend	J	T	Buy	10/24/13	J		
236.					Buy (add'l)	11/04/13	J		
237. Betty Walsey Trust (X)	B	Interest			Sold	03/31/13	L	B	
238. ING PIMCO Total Return Portfolio 1 (X)		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Pioneer Strategic Income Fund Y (X)		None	J	T					
240. American Funds AM Balanced (X)		None	J	T					
241. Pioneer Equity Income Fund Y (X)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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AFRICK, LANCE M.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts.

The following assets were sold in 2012 and the transactions/amounts were less than \$1,000.00: Intel Corp DE, RMA Money Market.

The following assets are valued at less than \$1,000.00: Dreyfus Liquid Assets Class I, State of Louisiana (Start Program).

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AFRICK, LANCE M.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ LANCE M. AFRICK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544