

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rucker, Shelley D.	2. Court or Organization U.S. Bankruptcy Court - E.D.Tenn.	3. Date of Report 08/29/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Historic United States Courthouse 31 E. 11th Street Chattanooga Tennessee 37402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Girls Preparatory School- annual salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	September 27-30, 2015	Miami, Florida	Attendance at conference and attendance at newsletter committee meeting	Hotel, Meals and Airfare
2. Mid South Commercial Law Institute	Decmeber 3-4, 2015	Nashville, Tennessee	Attendance at conference	Waiver of conference fee, Meals, Hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Accounts	A	Interest	K	T					
2. Regions Bank Account		None	J	T					
3. United States Savings Bonds	B	Int./Div.			Redeemed	12/28/15	J	D	
4. IRA (Header)									
5. - Fidelity Freedom 2010 Fund	B	Dividend	L	T					
6. - Fidelity Core money market	A	Int./Div.	M	T	Distributed (part)	07/27/15	K		
7.					Spinoff (from line 16)	08/27/15	L		
8.					Distributed (part)	08/27/15	K		
9.					Spinoff (from line 19)	11/04/15	K		
10.					Spinoff (from line 21)	12/02/15	J		
11.					Distributed (part)	12/03/15	K		
12. - Fidelity Freedom 2030	C	Dividend	M	T					
13. - Fidelity Select Biotechnology		None	M	T					
14.									
15.									
16. - Fidelity Select Multimedia	A	Dividend			Sold	08/25/15	K		
17. - Apple Inc. Common Stock	B	Dividend	M	T	Buy (add'l)	07/27/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	08/27/15	K		
19.					Sold (part)	11/04/15	K	D	
20. -Go Pro Inc. Class A		None	J	T	Buy	07/27/15	J		
21.					Sold (part)	12/02/15	J	A	
22. - Walt Disney Co. Common Stock		None	K	T	Buy	12/03/15	K		
23. - Taser International Stock		None	J	T					
24. Northwest Mutual Insurance Co. Whole Life Policy	B	Dividend	L	T					
25. Massachusetts Mutual Whole Life Insurance Policy	A	Int./Div.	J	T					
26. Girls Preparatory School 401(a) Plan TIAA CREF (Header)									
27. -TIAA Traditional	A	Interest	J	T					
28. -CREF Growth R1		None	L	T	Sold (part)	06/17/15	L		
29. -CFREF Stock R1		None	L	T	Buy	06/17/15	L		
30. Girls Preparatory School 403(b) Plan TIAA CREF (Header)									
31. -TIAA Traditional	A	Interest	J	T					
32. -CREF Stock R1		None	L		Buy	06/17/15	L		
33. -CREF Growth R1		None	J	T	Sold (part)	06/17/15	L		
34. X Wells Fargo Health Savings Account	A	Int./Div.	J	T					

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35. X AXA Universal Life Insurance Policy	A	Int./Div.	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Notes

Line 3 On December 28, 2015, remaining series EE savings bonds jointly held with child were redeemed and funds were spent on education.

Line 6 Funds from the Core Account were used to purchase additional shares in Apple and shares in Go Pro.

Line 8 Funds from Core Account were used to purchase additional shares in Apple.

Line 11 Funds from Core Account were used to purchase shares in Walt Disney Company.

Lines 4-23 Fidelity statements regarding the 2010 and 2030 Funds and the Biotech and Multimedia Funds indicated that short term and long term gains were earned on the accounts and were reinvested in units of those funds respectively. These gains are included in year end values. These transactions were part of the management of the fund and I have no further detail on what particular transactions within the funds produced the gains. The dividend income from these funds which was reflected in my statements is reflected.

Lines 26 and 27 Statements for Girls Preparatory School 401(A) DC Plan and 403(B) DC Plan do not reflect interest and dividends earned by the investments composed of CREF Stock, and CREF Growth. Increase in value level is the result of asset appreciation. TIAA Traditional reflects only quarterly interest amounts.

Line 28 Health Savings Account from prior employer which I did not realize needed to be reported. Value of account at time of initial financial report was category J..

Line 29 Universal Life Insurance Policy previously thought to be term insurance. I discovered upon reviewing the policy during preparation of this year's report the annual statement reflected a cash surrender value in excess of \$5000.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Shelley D. Rucker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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