

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pepper, Pamela	2. Court or Organization United States District Court, E.D. Wisconsin	3. Date of Report 08/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge--active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 517 East Wisconsin Avenue Room 271 Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Ex-officio director	Eastern District of Wisconsin Bar Association
2. Member, Board of Directors	American Bankruptcy Institute
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. State Bar of Wisconsin, Bankruptcy, Insolvency and Creditors Rights Section	3/5/2015 to 3/6/2015	Kohler, Wisconsin	Bankruptcy seminar (speaker)	Meals, hotel room
2. National Association of Consumer Bankruptcy Attorneys	4/23/2015	Chicago, Illinois	Bankruptcy seminar (speaker)	Meals, train fare
3. National Bankruptcy Conference	5/28/2015 to 5/29/2015	Washington, DC	Bankruptcy symposium (speaker)	Airfare, meals, ground transportation
4. American Bankruptcy Institute	6/11/2015 to 6/14/2015	Traverse City, Michigan	Bankruptcy conference (speaker)	Airfare, hotel room, meals, ground transportation

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5.	American Bankruptcy Institute	10/28/2015	Chicago, Illinois	Bankruptcy seminar (speaker)	Train fare, meals
6.	American Bankruptcy Institute	12/3/2015 to 12/5/2015	Phoenix, Arizona	Bankruptcy seminar (speaker)	Airfare, hotel room, meals, ground transportation
7.	Mississippi Bankruptcy Conference	12/9/2015 to 12/11/2015	Jackson, Mississippi	Bankruptcy seminar (speaker)	Airfare, meals (stayed with a friend, so no hotel room)

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Penn Mutual Variable Universal Life: Ssg Index 500 Fund	B	Interest	K	T					
2. Penn Mutual Variable Universal Life: T. Rowe Price Flexibly Mg'd Fund	B	Interest	K	T					
3. The Principal Adjustable Life	B	Dividend	K	T					
4. IRA #1 (Y)									
5. --Principal Mutual Funds MidCap (Y)									
6. --Principal Mutual Funds Small Cap Blend (Y)									
7. U.S. Savings Bonds, Series EE	A	Interest	J	T					
8. Trust #1 (Y)									
9. --Equitable Bank cash accounts (Y)									
10. 401k #1 (Y)									
11. --American Funds EuroPacific Growth (Y)									
12. --American Funds Fundamental Investors (Y)									
13. --Nuveen Equity Index (Y)									
14. PNC Bank cash accounts	A	Dividend	J	T					
15. IRA # 2									
16. --Edward Jones Growth Fund of America	A	Dividend	J	T					
17. --Edward Jones Principal Capital Appreciation Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Edward Jones Principal Equity Income Fund	A	Dividend	J	T					
19. --Edward Jones Pi SMALLCAP Blend Fund	A	Dividend	J	T					
20. --Edward Jones Pi Real Estate Securities Fund	A	Dividend	K	T					
21. --Edward Jones Pi Diversified International	A	Dividend	J	T					
22. --Edward Jones Principal Midcap Fund	A	Dividend	L	T					
23. --Edward Jones cash	A	Dividend			Distributed	01/20/15	J		
24. Edward Jones--American Funds High Income Muni Bond Fund	A	Dividend	J	T					
25. Voya 529 Age 11-12 Option Class C	A	Dividend			Open	09/21/15	J		
26. Voya 529 Age 11-12 Option Class C	A	Dividend			Closed	12/31/15	J		
27. Voya 529 Balanced Option Class C	A	Dividend	J	T	Open	12/31/15	J		
28.									
29.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III-B. Information is no longer reportable, pursuant to Section 102(e)(2).

VII-4-6 These were assets of my former spouse.

VII-8-13 These were assets of my former spouse.

VII-25-27 In September 2015, I opened a 529 account for a dependent. The account remained open for three months, but during that time, the administrator indicated that it did not receive the identity verification information I had provided. I provided them the information a couple of times (via fax and e-mail), but they did not receive it until I sent it by mail. At that point, they indicated that they needed to close the original account, open a new one, and transfer the balance accrued in the first account to the second one. So the first account was open only from September 21 to December 31. The new account has remained open since.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Pamela Pepper**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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