

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rossiter, Jr., Robert F.	2. Court or Organization United States District Court, Nebraska	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. District Court, Nebraska 111 S. 18th Plana, Suite 3141 Omaha, NE 68102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member - House of Delegates	Nebraska State Bar Association
2.	Advisory Board Member	Creighton University School of Law
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	12/2015	Fraser Stryker PC LLO - Deferred Compensation Agreement
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Fraser Stryker Deferred Compensation	\$66,666.72
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Fitzgerald Schorr Barnettler & Brenman, PC LLO employment compensation
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Mass Mutual	Life Insurance Loan	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SSAM Rollover IRA (H)									
2. BND - Vanguard Total Bond Market	D	Dividend	M	T					
3. BSV - Vanguard Short-Term Bond	C	Dividend	M	T					
4. DFAPX - DFA Inv't Grade	C	Dividend	M	T	Buy (add'l)	12/19/18	J		
5.					Buy (add'l)	09/28/18	J		
6.					Buy (add'l)	06/29/18	J		
7.					Buy (add'l)	03/29/18	J		
8. DFEQX - DFA US Core Equity I	D	Dividend	N	T	Buy (add'l)	12/18/18	J		
9.					Buy (add'l)	09/28/18	J		
10.					Buy (add'l)	06/29/18	J		
11.					Buy (add'l)	03/29/18	J		
12. DFGEX - DFA Global Real Estate Secs	C	Dividend	L	T	Buy (add'l)	12/19/18	J		
13. DFIEX - DFA Intl Core Equity	C	Dividend	M	T	Buy (add'l)	12/18/18	J		
14.					Buy (add'l)	09/28/18	J		
15.					Buy (add'l)	06/29/18	J		
16. DFSVX - DFA US Small Cap Value	D	Dividend	M	T	Buy (add'l)	12/19/18	J		
17.					Buy (add'l)	09/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	06/29/18	J		
19.					Buy (add'l)	03/29/18	J		
20. SCZ - iShares MSCI EAFE Small Cap Index	B	Dividend	K	T					
21. VEA - Vanguard FTSE Developed Markets	C	Dividend	L	T					
22. VOE - Vanguard MidCap Value Index	C	Dividend	M	T					
23. VWO - Vanguard FTSE Emerging Markets	B	Dividend	L	T					
24. FDIC Insured Deposit Account IDA12 (TDA Money Market)	A	Dividend	K	T					
25. SSAM Joint (H)		None							
26. FIHBX - Federated Institutional High Yield Bnd	A	Dividend			Redeemed	06/07/18	J	A	
27. PSYPX - Palmer Square Income Plus Fund	A	Dividend			Redeemed	06/07/18	J	A	
28. JDEXX - JP Morgan US Research Equity Fund I	A	Dividend			Redeemed	06/07/18	J	A	
29. LZIEIX - Lazard International Equit Portfolio	A	Dividend			Redeemed	06/07/18	J	A	
30. LFRFX - Lord Abbett Floating Rate Fund Class F	A	Dividend			Redeemed	06/07/18	J	A	
31. MEIIX - MFS Value Fund Class I	A	Dividend			Redeemed	06/07/18	J	A	
32. RAHIX - Manning & Napier Rainier International Discovery	A	Dividend			Redeemed	06/07/18	J	A	
33. ODVYX - Oppenheimer Developing Markets Fund Y	A	Dividend			Redeemed	06/07/18	J	A	
34. PSMIX - Principal Global Multi Strategy Fund N	A	Dividend			Redeemed	06/07/18	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. PURZX - Prudential Global Real Estate Fund	A	Dividend			Redeemed	06/07/18	J	A	
36. TRBCX - T. Rowe Price Blue Chip Growth Fund	A	Dividend			Redeemed	06/07/18	J	B	
37. TRMCX - T. Rowe Price Mid-Cap Value Fund	A	Dividend			Redeemed	06/07/18	J	A	
38. FOGPX - Tributary Growth Opportunities Fund	A	Dividend			Redeemed	06/07/18	J	A	
39. FOSBX - Tributary Small Company Fund Inst Plus	A	Dividend			Redeemed	06/07/18	J	A	
40. FONPX - Tributary Nebraska Tax-Free Fund Instl Plus	A	Dividend			Redeemed	06/07/18	L	A	
41. VEIRX - Vanguard Equity Income Fund Admiral Shares	A	Dividend			Redeemed	06/07/18	J	A	
42. DFGEX - DFA Global Real Estate Secs	A	Dividend	K	T	Buy	06/07/18	K		
43. DFTIX - DFA Intermediate Term Muni Bond Market	A	Dividend	K	T	Buy	06/07/18	K		
44. BND - Vanguard Total Bond Market	B	Dividend	L	T	Buy	06/07/18	L		
45. SUB - iShares Short-Term National Muni Bond ETF	A	Dividend	M	T	Buy	06/07/18	M		
46. FDIC Insured Deposit Account IDA12	A	Dividend	J	T					
47. Mary Rossiter Fitzgerald Schorr 401k (H)									
48. VTTVX - Vanguard Target Retirement 2025 Fund	B	Dividend	L	T					
49. FNB Joint Savings #2920 (H)									
50. Cash	A	Interest	L	T					
51. U.S. Bank Joint Savings #8460 (H)									

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52. Cash	A	Interest	L	T					
53. Wells Fargo BIRA (H)									
54. BLPCX - American Funds Portfolio Balanced Fund C	A	Dividend	J	T					
55. FCISX - Franklin Income Fund C	A	Dividend	J	T					
56. CUSIP 94986TGSW1 - Wells Fargo 7yr Due 06/07/18	A	Interest			Matured	06/07/18	J	A	
57. Cash and Sweep Balance	A	Interest	J	T					
58. Mass Mutual Life Insurance (H)									
59. Cash Value	B	Dividend	J	T					
60. UBS JTWROS (H)									
61. SBPLX - Clearbridge Dividend Strategy Fund	A	Dividend	K	T					
62. MSFRX - MFS Total Return Fund	A	Dividend	J	T					
63.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Line 25

On 4/5/18, I fully transferred my Joint account at Raymond James (line 16 on 2017 amended disclosure) to a newly opened Joint account at SilverStone Asset Management. The new Joint account at SSAM is custodied at TD Ameritrade Institutional. The Raymond James Joint account was closed shortly after the transfer was complete. In order to simplify the 2018 disclosure, I have aggregated all activity in the Raymond James account and SSAM Joint account under "SSAM Joint (H)."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert F. Rossiter, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544