

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Greenberg, William S.	2. Court or Organization U.S. Court of Appeals for Veterans Claims	3. Date of Report 5/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 625 Indiana Avenue, NW Suite 900 Washington, DC 20004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Chairman, Board of Trustees	Mary Sachs Charitable Trust (private foundation)
2. Adjunct Professor	Georgetown University Law Center
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2002	Public Employee Retirement System Pension Fund, State of New Jersey, pension upon retirement age 60, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	New Jersey Division of Pensions and Benefits, pension	\$5,945.00
2. 2016	Georgetown University Law Center, teaching	\$5,000.00
3. 2016	Charles Schwab & Co. Inc., retirement plan distribution	\$86,161.66
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Self-employed pharmaceutical consultant
2. 2016	Charles Schwab & Co., Inc., retirement plan distribution
3. 2016	Northwestern Mutual Life Insurance Co., insurance contract distribution
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Checking	A	Interest	M	T					
2. Brokerage Account #1 (H)									
3. -Schwab Government Money Fund	A	Interest	L	T					
4. -MN State HFA Fin. Rev. Muni. Bond	C	Interest	L	T	Sold (part)	07/01/16	J		
5.					Sold (part)	09/01/16	J		
6. -SPDR S & P Mid-Cap 400 ETF Trust	C	Int./Div.	M	T	Sold (part)	10/03/16	M	F	
7. -Gateway Fund Y Shares	A	Int./Div.	K	T					
8. -Merger Fund	A	Int./Div.	K	T					
9. -AMEX Energy Select Sector SPDR	A	Int./Div.			Sold	10/03/16	K	D	
10. -Powershares DB Commodity Index		None			Sold	04/04/16	M		
11. -NV St GO Muni. Bond	D	Interest	M	T					
12. -NY NYC GO Muni. Bond	C	Interest	L	T					
13. -FL St Brd Ed Lottery Muni. Bond	C	Interest	L	T					
14. -MI St Fin Auth Rev Muni. Bond	C	Interest	M	T					
15. -TX St Transn Commn Hwy Fund Muni. Bond	B	Interest	K	T					
16. -WI St Gen Fd Annual Approp Rev Muni. Bond	B	Interest	K	T					
17. -WA St GO Muni. Bond	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -TX Fort Bend ISD GO Muni. Bond	C	Interest	L	T					
19. -NY NYC Trans Fin Auth Future Tax Rev Muni. Bond	C	Interest	L	T					
20. -CA St GO Muni. Bond	C	Interest	L	T					
21. -Vanguard Short-Term Tax-Exempt Fund-Admn	A	Int./Div.	L	T	Sold (part)	12/19/16	K		
22. -PIMCO Commodity RR Strat-Ins Fund	B	Int./Div.			Buy	03/31/16	M		
23.					Sold (part)	09/29/16	L	D	
24.					Sold (part)	10/17/16	L	D	
25. -OH St Cap Facs Lease Approp Rev Muni. Bond	C	Interest	L	T					
26. -UT St GO Muni. Bond	C	Interest	M	T					
27. -IL DuPage & Will Cntys Muni. Bond	C	Interest	M	T					
28. -MN St COPs NC Muni. Bond	C	Interest	M	T					
29. -TX St GO Muni. Bond	C	Interest	M	T					
30. -Vanguard Energy Fund - Admiral		None			Sold	09/29/16	K	B	
31. -Vanguard FTSE Developed Markets ETF	B	Int./Div.	K	T					
32. -Brown Advisory - Somerset Emerging Markets	A	Int./Div.	J	T					
33. -Seafarer Overseas Growth & Income Fund	A	Int./Div.	J	T					
34. -Touchstone Sands Capital Emerging Growth-Y		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -MD St GO Muni. Bond		None	M	T	Buy	11/17/16	M		
36. -MI St GO NC Muni. Bond		None	L	T	Buy	11/21/16	L		
37. -NY Port Auth NY & NJ Rev Muni. Bond		None	M	T	Buy	11/08/16	M		
38. -CT St GO Muni. Bond		None	L	T	Buy	11/28/16	L		
39. Brokerage Account #2 (H)									
40. -Schwab U.S. Treasury Money Fund	A	Int./Div.	J	T					
41. Brokerage Account #3 (H)									
42. Brokerage Account #4 (H)									
43. -Schwab Advisor Cash Reserves - Premier	A	Interest	K	T					
44. -ASML Holding NV-NY Reg Shares	A	Dividend	K	T	Sold (part)	10/13/16	J	B	
45. -Adobe Systems Inc. Stock		None	L	T	Sold (part)	03/22/16	J	D	
46. -Apple Inc. Stock	A	Dividend	K	T	Sold (part)	03/22/16	J	C	
47.					Sold (part)	05/17/16	J	B	
48.					Sold (part)	10/13/16	J	B	
49. -Automatic Data Processing Inc. Stock	B	Dividend	L	T	Sold (part)	10/13/16	J	D	
50. -Celgene Corp. Stock		None	K	T	Sold (part)	10/13/16	J	D	
51. -Cognizant Tech Solutions Corp. Stock		None	K	T	Sold (part)	01/08/16	J	D	

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52.					Sold (part)	09/13/16	J	D	
53. -General Electric Stock	B	Dividend	K	T	Sold (part)	10/13/16	J	C	
54. -EMC Corp/Mass Stock	A	Dividend			Sold (part)	04/07/16	K	B	
55.					Sold	04/14/16	K	D	
56. -Alphabet Inc. Stock (Class A)		None	K	T	Sold (part)	10/13/16	J	C	
57. -Medtronic Stock	B	Dividend	K	T	Sold (part)	03/22/16	K	A	
58.					Sold (part)	10/13/16	K	B	
59. -Monsanto Co. Stock	B	Dividend	K	T	Sold (part)	09/13/16	J		
60. -Marsh & McLennan Companies Inc. Stock	B	Dividend	K	T	Sold (part)	10/13/16	K	D	
61. -Microsoft Corp. Stock	B	Dividend	K	T	Sold (part)	10/13/16	K	D	
62. -Johnson & Johnson Company Stock	B	Dividend	K	T	Sold (part)	10/13/16	K	D	
63. -Teradata Corp. Stock		None			Sold (part)	10/13/16	J	A	
64.					Sold	10/19/16	K	A	
65. -Cisco Systems Inc. Stock	B	Dividend	K	T	Sold (part)	10/13/16	K	D	
66. -Varian Medical Systems Inc. Stock		None	K	T	Sold (part)	10/13/16	J	C	
67. -Edwards Lifesciences Corp. Stock		None	K	T	Sold (part)	10/13/16	K	E	
68. -Quest Diagnostics Inc. Stock	B	Dividend	K	T	Sold (part)	10/13/16	K	D	

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69. -Stanley Black & Decker Inc. Stock	A	Dividend	K	T					
70. -Cerner Corp. Stock		None	K	T	Sold (part)	10/13/16	J	B	
71. -F5 Networks Inc. Stock		None	L	T	Sold (part)	10/13/16	J	B	
72. -Flir Systems Inc. Stock	A	Dividend	K	T	Sold (part)	10/13/16	J		
73. -Liberty Property Trust	B	Int./Div.	K	T					
74. -Schlumberger Ltd. Stock	A	Dividend	K	T	Buy (add'l)	05/10/16	J		
75.					Sold (part)	10/13/16	J	A	
76. -EOG Resources Stock	A	Dividend	K	T					
77. -Diageo PLC - Spons ADR Stock	B	Dividend	K	T					
78. -Qiagen N. V. Stock		None	K	T	Sold (part)	10/13/16	J	C	
79. -SABMiller PLC - Spons ADR Stock		None			Sold	03/22/16	L	F	
80. -Enterprise Products Partners L.P. interest	C	Int./Div.	K	T					
81. -Energy Transfer Partners L.P. interest	B	Int./Div.	J	T					
82. -Alphabet Inc. Class C Stock		None			Sold (part)	03/22/16	K	D	
83.					Sold	10/13/16	K	D	
84. -Dick's Sporting Goods Inc. Stock	A	Dividend	K	T	Buy	07/06/16	K		
85. -MasterCard Inc. Stock	A	Dividend	K	T					

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86. -FMC Corp. Stock	A	Dividend	K	T					
87. -Nielsen Holdings PLC Stock	A	Dividend	K	T	Buy (add'l)	01/08/16	J		
88.					Sold (part)	10/13/16	J	A	
89. -Albemarle Corp. Stock	A	Dividend	K	T	Buy	04/07/16	J		
90.					Buy (add'l)	09/13/16	J		
91. -NXP Semiconductors NV Stock		None	K	T	Buy	04/04/16	J		
92.					Buy (add'l)	05/17/16	J		
93.					Sold (part)	10/13/16	J	B	
94. IRA #1 (H)									
95. -Schwab Government Money Fund	A	Interest	L	T					
96. -U.S. Treasury Inflation Indexed Bonds	D	Interest	M	T	Sold (part)	03/31/16	J		
97.					Buy (add'l)	06/30/16	J		
98.					Buy (add'l)	09/30/16	J		
99.					Buy (add'l)	12/31/16	J		
100. -IN State Bd Bk Muni. Bond	A	Interest	K	T					
101. -IL Peoria Cnty Cmnty Unit SD Muni. Bond	B	Interest	L	T					
102. -MI Jackson Pub Schs GO NC Muni. Bond	A	Interest	K	T					

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103. -CO Colorado Springs Util Rev Muni. Bond	B	Interest	L	T					
104. -OH Hamilton Cnty Sewer Sys Muni. Bond	C	Interest	L	T					
105. -PA Commonwealth Fing Auth Muni. Bond	B	Interest	K	T					
106. -KY Asset/Liability Commn Muni. Bond	B	Interest	L	T					
107. -PA Montgomery Cnty Muni. Bond	B	Interest	K	T					
108. -CA Univ of Cal Rev Muni. Bond	B	Interest	L	T					
109. -WA State Muni. Bond	B	Interest	L	T					
110. -UPS Corporate Bond	C	Interest	L	T					
111. -IBM Corporate Bond	B	Interest	K	T					
112. -Walt Disney Co. Corporate Bond	B	Interest	K	T					
113. -Wells Fargo & Co. Corporate Bond	A	Interest	K	T					
114. -Target Corporate Bond	A	Interest	K	T					
115. -General Electric Capital Corporate Bond	B	Interest	L	T					
116. -Berkshire Hathaway Corporate Bond	B	Interest	L	T					
117. -FFCB (Monthly Libor+2) NC	A	Interest	M	T					
118. -US Treasury Note Government Bond NC	D	Interest	O	T					
119. -FFCB US Government Agency Bond	B	Interest			Sold	08/04/16	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Greenberg, William S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -FHLB US Government Agency Bond	C	Interest	M	T	Buy (add'l)	11/09/16	L		
121. -Colgate-Palmolive Co. Corporate Bond	C	Interest	M	T					
122. -Exxon Mobil Corp. Corporate Bond		None	L	T	Buy	11/14/16	L		
123. IRA #2 (H)									
124. -Schwab Government Money Fund		None	J	T					
125. -Vanguard Bond Index - Short Term	A	Int./Div.	K	T					
126. -Vanguard S-T Investment Grade Fund	A	Int./Div.	K	T					
127. 401(k) #1 (H)									
128. Trust #1 (H)									
129. -CRA 12 Deposit Account	A	Interest	K	T					
130. -Irving Tex Indpt Sch Dist Zero Coupon Muni. Bond		None	K	T					
131. -Mt. San Antonio CA Cmnty Zero Coupon Muni. Bond	A	Interest	K	T					
132. -Hopewell PA Area Sch Dist Zero Coupon Muni. Bond	A	Interest	K	T					
133. -Chicago IL Brd Ed Zero Coupon Muni. Bond	B	Interest	K	T					
134. -Ector Cnty TX Indpt Sch Dist Zero Coupon Muni. Bond	B	Interest	K	T					
135. -S&P 500 Depository Receipts	D	Int./Div.	N	T					
136. -SPDR S&P Mid-Cap 400 ETF Trust	C	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Dreyfus Small Cap Stock Index Fund	D	Int./Div.	M	T					
138. -Harbor FD Intl Fund	B	Int./Div.	L	T					
139. -Harris Assoc Invt TR Oakmark Intl Fund	B	Int./Div.	L	T					
140. -BNY Mellon Emerging Markets Fund - MPAM Shares	A	Int./Div.	L	T					
141. -AMEX Energy Select Sector SPDR Fund		None			Sold	01/27/16	L		
142. -PIMCO Commodity RR Strat-D Fund	A	Int./Div.	L	T					
143. -iShares MSCI ACWX Index Fund	B	Int./Div.			Sold	07/11/16	M		
144. -DC Income Tax Rev Muni. Bond	B	Interest	L	T					
145. -DC GO NC Muni. Bond	C	Interest	L	T					
146. -Seafarer Overseas Growth & Income Fund	A	Int./Div.	J	T					
147. -Vanguard Energy Vipers Fund	B	Int./Div.	L	T	Buy	01/27/16	L		
148. -Dreyfus Intl Stock Fund	B	Int./Div.	M	T	Buy	07/11/16	M		
149. Trust #2 (H)									
150. -Schwab Government Money Fund		None	J	T					
151. -Vanguard Intermediate Term Tax-Exempt Bond Fund	B	Int./Div.	L	T					
152. -S&P 500 Depository Receipts	B	Int./Div.	L	T					
153. -SPDR S&P Mid-Cap 400 ETF Trust	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Longleaf Partners Small Cap	B	Int./Div.	J	T					
155. -Baron Small Cap Fund-I	B	Int./Div.	J	T					
156. -Gateway Fund Y Shares	A	Int./Div.	J	T					
157. -AMEX Energy Select Sector SPDR	A	Int./Div.	J	T					
158. -PIMCO Commodity RR Strat-Ins	A	Int./Div.	J	T					
159. -Dreyfus Intl Stock Fund CLI	A	Int./Div.	K	T					
160. -Oakmark Intl Fund - I	A	Int./Div.	K	T					
161. -Harbor International Fund - Inst	A	Int./Div.	K	T					
162. -Alerian MLP ETF Fund	A	Int./Div.	J	T	Buy	06/28/16	J		
163. Pleiades Investment Partners, LP - passive LP interest		None			Sold	12/31/16	M	D	
164. The Vittoria Fund, LP - passive LP interest		None	O	U					
165. JBG Investment Fund VIII - passive LP interest		None	M	U	Buy (add'l)	03/18/16	K		
166. Veritable LPC Partners LP - passive LP interest		None	M	U					
167. BKWG, Inc.	D	Distribution	K	U					
168. Northwestern Mutual Whole Life Insurance Policies	E	Dividend	N	T					
169. MassMutual Whole Life Insurance Policies	B	Dividend	K	T					
170. New York Life Whole Life Insurance Policy		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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171. AAFMAA Whole Life Insurance Policy		None	J	T					
172. QuantaPoint (Y)									
173. Wells Fargo Checking	A	Interest	J	T					
174. SunTrust Bank Checking	A	Interest	J	T					
175. Wolfpac Holdings, Inc.	D	Distribution	L	U					
176. Brokerage Account #5 (H)									
177. -Blackrock Liquidity Instl. FedFund #30	A	Interest			Sold	06/16/16	J		
178. -Vanguard Short-Term Tax-Exempt Fund - Admn	A	Int./Div.			Sold	03/17/16	K	A	
179. -Altria Group Inc. Stock	B	Dividend	K	T					
180. -Walt Disney Co. Stock	A	Dividend	K	T					
181. -General Electric Stock	A	Dividend	J	T					
182. -JP Morgan Chase Stock	B	Dividend	L	T					
183. -Mondelez International Inc. Stock	A	Dividend	K	T					
184. -Monsanto Co. Stock	A	Dividend	J	T					
185. -Pfizer Inc. Stock	B	Dividend	K	T					
186. -Philip Morris International Inc. Stock	B	Dividend	K	T					
187. -Henry Schein Inc. Stock		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Exxon Mobil Corp. Stock	B	Dividend	L	T					
189. -Schlumberger Ltd. Stock	A	Dividend	K	T					
190. -PNC Government Money Market Fund #405	A	Interest	J	T	Buy	06/16/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II:

-The 2012 agreement with McCarter & English LLP regarding return of capital is no longer in force.

Part VII:

-Item 25 (Heading: Brokerage Account #1) was reported as "OH St Cap Lacs Lease Approp Rev Muni. Bond" on the 2015 AO-10. The description of this asset has been updated on this 2016 AO-10 to reflect the correct spelling of the asset.

-Item 41 (Heading: Brokerage Account #3) contains no reportable assets. Heading title is included for continuity purposes only with respect to 2015 AO-10.

-Item 127 (Heading: 401(k) #1) contains no reportable assets. Heading title is included for continuity purposes only with respect to 2015 AO-10.

-Item 129 (Heading: Trust #1) is a cash equivalent security funded with cash that is alternatively referred to as "CRA 12 Deposit Account" and "BNY Mellon Money Market Fund" by the reporting financial institution. No sale or purchase information is available to indicate that these are treated as separate assets by the reporting financial institution.

-Item 172: Pursuant to a merger agreement, the ownership of Quantapoint, Inc. (Item 172) was transferred to Qualspec, Inc. on July 2, 2015 and Quantapoint, Inc. was subsequently dissolved. This was a noncash transaction and Filer's spouse (the owner of the shares) received no consideration (neither cash nor shares) as a result of the merger and dissolution. Filer was unaware of this transaction at the time of filing the 2015 AO-10.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William S. Greenberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544