

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, Phyllis M.	2. Court or Organization US Bankruptcy Court, Eastern & Western Districts Arkansas	3. Date of Report 05/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 300 West Second Street Little Rock Arkansas 72201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	First Electric Cooperative - salary
2. 2016	Self-employed - professional surveyor
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Mississippi	February 5, 2016	Memphis, Tennessee	Educational Seminar on Bankruptcy - Speaker on Judges' Ethics Panel	Lunch and Lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Great Lakes - Spouse	Student Loan	L
2.	Hilton Grand Vacation	Time Share #1 Clark County, NV	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank (Accounts) (IRA)	A	Int./Div.	L	T					
2. NRECA 401(K) Pension: (H)									
3. -- Money Market Fund	A	Dividend	M	T	Distributed (part)	06/28/16	K		
4. -- S&P 500 Stock Index Fund	B	Dividend	J	T					
5. -- Diversified Growth Stock	A	Dividend	J	T					
6. -- 2020 Target Date Portfolio	B	Dividend	K	T	Buy	06/28/16	K		
7. NRECA Retirement & SecurityDefinedBenefit Plan		None	O	T					
8. Time Share #1 Clark County, NV (\$31,780 - 07/16/15)		None	K	R					
9. New York Life - Whole Life	A	Dividend	J	T					
10. Shelter Insurance - Universal Life (No Fund Selected)	A	Dividend	J	T					
11. Charles Schwab Account #1: (IRA) (H)									
12. -- Schwab S&P 500 Index FD		None			Sold	06/08/16	J	A	
13. -- IWF-ISHares Russell 1000 Growth ETF	A	Dividend			Sold	06/08/16	K	A	
14. -- Schwab US Small Cap ETF	A	Dividend			Sold	06/08/16	J		
15. -- VO-Vanguard Mid Cap ETF	A	Dividend			Sold	06/08/16	K		
16. -- VEA - Vanguard FTSE Developed Markets ETF	A	Dividend			Sold	06/08/16	K		
17. -- VWO - Vanguard FTSE Emerging Markets ETF	A	Dividend			Sold	06/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- CSJ - IShares 1-3 Year Credit Bond ETF	A	Dividend			Sold	06/08/16	K	A	
19. -- FLOT - IShares Floating Rate Bond ETF	A	Dividend			Sold	06/08/16	K		
20. ArvestWealthManagement Account #1(IRA)(H)									
21. -- JP Morgan 100% US Treasury Securities Money Market Account	A	Dividend	J	T	Open	06/20/16	M		
22.					Distributed (part)	06/28/16	M		
23.					Distributed (part)	07/13/16	J		
24.					Distributed (part)	08/31/16	J		
25.					Distributed (part)	09/15/16	J		
26.					Distributed (part)	10/03/16	J		
27.					Distributed (part)	10/19/16	J		
28.					Distributed (part)	11/21/16	J		
29.					Distributed (part)	12/02/16	J		
30.					Distributed (part)	12/14/16	J		
31.					Distributed (part)	12/15/16	J		
32. -- Deutsche X-Trackers ETX-Trackers MSCI Europe Hedged Equity DBEU	A	Dividend	J	T	Buy	06/28/16	J		
33.					Sold (part)	07/13/16	J	A	
34.					Sold (part)	12/15/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- Goldman Sachs ETF TR ET Activebeta US Large Cap Equity ETF GSLC	A	Dividend	J	T	Buy	06/28/16	J		
36.					Sold (part)	12/14/16	J	A	
37. -- Guggenheim ET S&P 500 Equal Weighted Index FD RSP	A	Dividend	K	T	Buy	06/28/16	K		
38.					Sold (part)	12/14/16	J	A	
39. -- iShares ET Agency Bond SGZ	A	Dividend	J	T	Buy	06/28/16	J		
40.					Buy (add'l)	12/14/16	J		
41. -- iShares ET 10-20 Year Treasury Bond TLH	A	Dividend	J	T	Buy	06/28/16	J		
42.					Buy (add'l)	09/15/16	J		
43.					Sold (part)	10/03/16	J		
44.					Buy (add'l)	10/19/16	J		
45.					Buy (add'l)	11/21/16	J		
46.					Buy (add'l)	12/02/16	J		
47.					Buy (add'l)	12/15/16	J		
48. -- iShares ETF CMBS	A	Dividend	J	T	Buy	06/28/16	J		
49.					Buy (add'l)	12/14/16	J		
50. -- iShares ET 7-10 Year Treasury Bond	A	Dividend	J	T	Buy	06/28/16	J		
51.					Buy (add'l)	12/02/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Buy (add'l)	12/15/16	J		
53. -- iShares ET 1-3 Year Treasury Bond	A	Dividend	J	T	Buy	06/28/16	J		
54.					Sold (part)	09/15/16	J	A	
55.					Buy (add'l)	10/03/16	J		
56.					Sold (part)	10/19/16	J	A	
57.					Sold (part)	11/21/16	J	A	
58.					Sold (part)	12/02/16	J	A	
59.					Sold (part)	12/15/16	J	A	
60. -- iShares 20+ Year ET Treasury Bond TLT	A	Dividend	J	T	Buy	06/28/16	J		
61.					Buy (add'l)	12/15/16	J		
62. -- iShares Iboxx & ET Investment Grade Corp BD LQD	A	Dividend	J	T	Buy	06/28/16	J		
63.					Buy (add'l)	12/15/16	J		
64. -- iShares MBS MBB	A	Dividend	J	T	Buy	06/28/16	J		
65.					Buy (add'l)	12/14/16	J		
66. -- iShares TIP Bond ET TIP	A	Dividend	J	T	Buy	06/28/16	J		
67.					Buy (add'l)	12/15/16	J		
68. -- Schwab Strategic TR ET Intl Equity ETF SCHF	A	Dividend	J	T	Buy	06/28/16	J		

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69.					Sold (part)	12/15/16	J	A	
70. -- SPDR S&P 500 Trust ET SPY	A	Dividend	K	T	Buy	06/28/16	K		
71.					Buy (add'l)	12/15/16	J		
72. -- Vanguard FTSE Developed Markets ETF VEA	A	Dividend	J	T	Buy	06/28/16	J		
73.					Buy (add'l)	07/13/16	J		
74.					Sold (part)	12/14/16	J	A	
75. -- Vanguard Growth VUG	A	Dividend	J	T	Buy	06/28/16	J		
76.					Sold (part)	12/15/16	J	A	
77. -- Vanguard Intl Equity ET Index FDS FTSE Emerging Mkts ETF VWG	A	Dividend	J	T	Buy	06/28/16	J		
78.					Sold (part)	12/15/16	J	A	
79. -- Vanguard Mid-Cap Growth Fund VOT	A	Dividend	J	T	Buy	06/28/16	J		
80.					Sold (part)	12/15/16	J	A	
81. -- Vanguard Mid-Cap Value Index Fund VDE	A	Dividend	J	T	Buy	06/28/16	J		
82.					Sold (part)	12/15/16	J	A	
83. -- Vanguard REIT ET VNQ	A	Dividend	J	T	Buy	06/28/16	J		
84.					Buy (add'l)	12/15/16	J		
85. -- Vanguard Short Term ET Corp BD VCSH	A	Dividend	J	T	Buy	06/28/16	J		

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86.					Buy (add'l)	12/15/16	J		
87. -- Vanguard Small Cap Valu ETF VBR	A	Dividend	J	T	Buy	06/28/16	J		
88. -- Vanguard Small Cap ET Growth VBK	A	Dividend	J	T	Buy	06/28/16	J		
89.					Sold (part)	12/15/16	J	A	
90. -- Wisdomtree Japan ET Hedged Equity Fund DXJ	A	Dividend	J	T	Buy	06/28/16	J		
91.					Sold (part)	12/15/16	J	A	
92. -- IShares ETF MSCI India	A	Dividend	J	T	Buy	07/13/16	J		
93.					Sold (part)	12/15/16	J		
94. -- SPDR Gold Trust ETF		None	J	T	Buy	08/31/16	J		
95.					Buy (add'l)	12/15/16	J		
96.									
97.									
98.									
99.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Phyllis M. Jones

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544