

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Donald, Bernice B.	2. Court or Organization Court of Appeals, Sixth	3. Date of Report 06/17/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Court of Appeals, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Court of Appeals 167 N. Main Street, #1132 Clifford Davis/ Odell Horton Federal Building Memphis, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Vice Chair, Criminal Justice Section (1/15 - 8/15)	American Bar Association
2. Chair, Criminal Justice Section (8/15 - 12/15)	American Bar Association
3. Director (through May, 2015)	Soulsville Museum
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Virginia	January 4-8, 2015	Charlottesville, VA	Trial Advocacy program	Transportation, Lodging, & Food
2. American Bar Association	January 24-26, 2015	New Orleans, LA	Mock Trial Competition	Transportation, Lodging, & Food
3. American Bar Association	January 29-30, 2015	Scottsdale, AZ	Construction Forum	Transportation, Lodging, & Food
4. American Bar Association	March 4-6, 2015	New Orleans, LA	Criminal Justice	Transportation, Lodging, & Food
5. American Bar Association	March 11-13, 2015	Chicago, IL	Bar Leadership Institute	Transportation, Lodging, & Food
6. New York Administrative Judiciary	March 18-21, 2015	New York, NY	Educational Program, Speaker	Transportation, Lodging, & Food
7. American Bar Association	March 24-28, 2015	Naples, FL	Educational Program	Transportation, Lodging, & Food

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8.	Kentucky Bar Association	April 9-10, 2015	Louisville, KY	Speaker	Transportation, Lodging, & Food
9.	American Bar Association	April 16-18, 2015	Boca Raton, FL	Conference	Transportation, Lodging, & Food
10.	American Bar Association	April 23-26, 2015	St. Petersburg, FL	Meeting	Transportation, Lodging, & Food
11.	American Bar Association	May 14-15, 2015	St. Antonio, TX	Educational Conference and Meeting	Transportation, Lodging, & Food
12.	American Bar Association	May 30-31, 2015	Denver, CO	Mental Health Standards	Transportation, Lodging, & Food
13.	Federal Judicial Center	June 1-2, 2015	Washington, DC	Educational Conference	Transportation, Lodging, & Food
14.	American Bar Association	June 4-6, 2015	Kinshasha, DRC	Meeting	Transportation, Lodging, & Food
15.	American Bar Association	June 8-10, 2015	Philadelphia, PA	Book Board Meeting	Transportation, Lodging, & Food
16.	United States Patent & Trademark Office	July 21-23, 2015	San Juan, Costa Rica	Teaching	Transportation, Lodging, & Food
17.	Department of Justice	August 12-14, 2015	Columbia, SC	Teaching	Transportation, Lodging, & Food
18.	United States Patent & Trademark Office/OPDAT	September 6-12, 2015	Lima, Peru	Faculty, Judicial Training	Transportation, Lodging, & Food
19.	American Bar Association	September 26-27, 2015	Washington, DC	Juvenile Standards	Transportation, Lodging, & Food
20.	National Conference of Bankruptcy Judges	September 27-28, 2015	Miami, FL	Meeting	Transportation, Lodging, & Food
21.	United States Patent & Trademark Office	September 28-30, 2016	Mexico City, Mexico	Faculty	Transportation, Lodging, & Food
22.	National Conference of State Tax Judges	October 1-3, 2015	Cambridge, MA	Teaching	Transportation, Lodging & Food
23.	American Bar Association (White Collar Crime Institute)	October 10-13, 2015	London, UK	Faculty	Transportation, Lodging & Food
24.	American University (Jurist in Residence)	October 15-17, 2015	Washington, DC	Faculty	Transportation, Lodging & Food
25.	Federal Judicial Center	November 4-6, 2015	Philadelphia, PA	Educational Conference	Transportation, Lodging & Food
26.	Federal Judicial Center	November 13-15, 2015	Long Island, NY	Educational Conference	Transportation, Lodging & Food
27.	American Bar Association	November 16-22, 2015	Shanghai, China	Meeting	Transportation, Lodging & Food
28.	American Bar Association	December 7, 2015	Washington, DC	Educational Meeting	Transportation, Lodging & Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Lincoln Variable Annuity	A	None	O	U	Buy	09/25/15	M	A	
2. American Global Growth C	A	Distribution	J	U	Buy	08/25/15	J	A	
3. Allianzgt Income & Growth C	A	Dividend	J	U	Buy	08/25/15	J	A	
4. AAM Bahl & Graynor Inc. GWC	A	Dividend	J	U	Buy	08/25/15	J	A	
5. Highland Healthcare C	A	Dividend	J	U	Buy	09/08/15	J	A	
6. Highland Healthcare	A	Dividend	J	U	Buy (add'l)	12/29/15	J	A	
7. Domini Int'l Social Equity Fund	A	Dividend	J	U	Buy	10/01/15	J	A	
8. Ishares S& P 500 Val ETF	A	Dividend	J	U	Buy	10/01/15	J	A	
9. Brown Adv Sm Cp FNDMNTL	A	Dividend	J	U	Buy	10/01/15	J	A	
10. EGShares Emerging Markets	A	Dividend	J	U	Buy	10/01/15	J	A	
11. Pioneer Mlti Ast. Ult Shr Inc	A	Dividend	J	U	Buy	10/01/15	J	A	
12. John Hancock Shr Dur Cr Opp	A	Dividend	J	U	Buy	10/01/15	J	A	
13. American WA Mutual	A	Dividend	J	U	Buy	10/01/15	J	A	
14. American AMCAP	A	Dividend	J	U	Buy	10/01/15	J	A	
15. Allianzgi NFG J Div Value	A	Dividend	J	U	Buy	10/01/15	J	A	
16. PIMCO Foreign BD US Opps	A	Dividend	J	U	Buy	10/01/15	J	A	
17. Blackrock US Opps	A	Dividend	J	U	Buy	10/01/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Amer Cent Intl GRWINV	A	Dividend	J	U	Buy	10/01/15	J	A	
19. AB SMALL CAP GRW ADV	A	Dividend	J	U	Buy	10/01/15	J	A	
20. AMERICAN GW FD OF AMERICA F2	A	Dividend	J	U	Buy	10/01/15	J	A	
21. LORD ABBETT INCOME F	A	Dividend	J	U	Buy	10/01/15	J	A	
22. LORD ABBETT SHT DURATION INC F	A	Dividend	J	U	Buy	10/01/15	J	A	
23. ARIEL FUND	A	Dividend	J	U	Buy	10/01/15	J	A	
24. BLACKROCK TOTAL RET I	A	Dividend	J	U	Buy	10/01/15	J	A	
25. MORGAN STANLEY BANK NA	A	Dividend	J	U	Buy	10/01/15	J	A	
26. Waddell & Reed Investment Account (H)									
27. First Horizon	B	Dividend	J	T					
28. Proctor & Gamble	D	Distribution	J	T					
29. Reynolds American Inc.	C	Dividend	J	T					
30. Ford Motor Co	B	Dividend	J	T					
31. Pioneer Cash Reserves	C	Dividend	J	T					
32. Facebook		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VIII I opened and investment account with Waddell & Reed in February 2013, to which I contribute \$3500.00 monthly from my federal salary.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Bernice B. Donald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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