

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gale, Joseph H.	2. Court or Organization U.S. Tax Court	3. Date of Report 08/25/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Tax Court 400 Second St., N.W. Washington, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Gale, Joseph H.

Date of Report

08/25/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

self-employed songwriter

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Gale, Joseph H.

Date of Report

08/25/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Senate Federal Credit Union	Credit Lines	J
2.	Charles Schwab & Co., Inc. (X)	Margin Loan [REDACTED]	M
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Gale, Joseph H.

Date of Report

08/25/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA Calvert Grp. Inc. Fund, Calvert Grp, Bethesda, MD	A	Dividend	K	T					See note Sec. VIII.
2. IRA M&T Bank, Buffalo, NY (cash equivalent)	A	Interest	J	T					
3. DuPont E.I.deNemours Co. common stock	A	Dividend	K	T					
4. Vertex Pharmaceuticals, Inc. common stock		None	M	T					
5. Wells Fargo & Co. New common stock	A	Dividend	J	T					
6. Intel Corp. common stock	B	Dividend	L	T					
7. Cisco Systems, Inc. common stock	B	Dividend	L	T					
8. Trust #1	E	Int./Div.	O	T					
9. Same as above		Rent							
10. - Rental Property #1, Smithfield, VA									
11. - Rental Property #2, Smithfield, VA									
12. - Hartford Life Insurance Co. variable annuity					Redeemed	07/18/14	K	E	
13. - CAIBX mutual fund									
14. - FRDPX mutual fund									
15. - AMECX mutual fund									
16. - Rental property #3, Smithfield, VA									
17. Express Scripts Holding Co.		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

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18. IRA - Edward D. Jones & Co.	B	Int./Div.	L	T					
19. - Citigroup Inc. subordinated note									
20. - JP Morgan Chase & Co. notes									
21. - AT&T Inc. common stock									
22. - Bank of America Corp. common stock									
23. - Johnson & Johnson common stock									
24. - Medtronic Inc. common stock									
25. - Procter & Gamble Co. common stock									
26. VA Investors Qual. Tax Exempt Series #94 unit trust	A	Interest			Sold	08/08/14	J	A	
27. CA Water Service Group common stock		None	J	T					
28. Chevron Corp. common stock	C	Dividend	M	T					
29. Teradata Corp DEL common stock		None	J	T					
30. Invesco Van Kampen Comstock Fund Class C mutual fund	A	Int./Div.	K	T					
31. Invesco Van Kampen Hi Yield Mun. Fund Class A mut. fund	A	Int./Div.	J	T					
32. Achillion Pharma Inc. common stock		None	J	T					
33. Agilent Technologies Inc. common stock	A	Dividend	J	T					
34. Akamai Technologies common stock		None	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 13

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35. Apple Inc. common stock	D	Dividend	N	T					
36. Beam Inc. common stock		None			Sold	01/13/14	K	E	
37. Exxon Mobil Corp. common stock	C	Dividend	M	T					
38. General Electric Co. common stock	B	Dividend	L	T					
39. Idenix Pharmaceuticals common stock		None			Sold	06/09/14	K	E	
40. McDonalds Corp. common stock	C	Dividend	L	T					
41. Oracle Corp. common stock	A	Dividend	L	T					
42. Pfizer Corp. common stock	B	Dividend	K	T					
43. Rollover IRA-Charles Schwab & Co., Inc.	C	Dividend	O	T					
44. - Achillion Pharma Inc. common stock					Sold	10/15/14	J	A	
45. - Akamai Technologies common stock									
46. - Alibaba Group Hldg ADRF					Buy	11/07/14	K		
47. - Amazon Com. Inc. common stock					Buy	06/11/14	J		
48. - Amazon Com. Inc. common stock					Buy (add'l)	07/25/14	J		
49. - Amazon Com. Inc. common stock					Buy (add'l)	11/12/14	K		
50. - Apple Inc. common stock					Buy (add'l)	01/30/14	K		
51. - Apple Inc. common stock					Sold (part)	02/07/14	K	B	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 13

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Gale, Joseph H.

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52. - Apple Inc. common stock					Sold (part)	12/09/14	M	F	
53. - Apple Inc. common stock					Buy (add'l)	12/11/14	L		
54. - Apple Inc. common stock					Buy (add'l)	12/12/14	M		
55. - Apple Inc. common stock					Buy (add'l)	12/16/14	L		
56. - Facebook Inc. Class A common stock					Buy (add'l)	10/29/14	J		
57. - Facebook Inc. Class A common stock					Buy (add'l)	10/30/14	J		
58. - Fire Eye Inc. common stock					Buy	09/03/14	K		
59. - Fire Eye Inc. common stock					Buy (add'l)	10/30/14	J		
60. - Fire Eye Inc. common stock					Buy (add'l)	11/05/14	J		
61. - General Electric Co. common stock					Sold	11/14/14	L	D	
62. - Gilead Sciences Inc. common stock					Buy	12/22/14	K		
63. - GoPro Inc. common stock					Buy	09/03/14	K		
64. - GoPro Inc. common stock					Buy (add'l)	10/30/14	J		
65. - GoPro Inc. common stock					Buy (add'l)	11/07/14	K		
66. - GoPro Inc. common stock					Sold (part)	11/07/14	K	A	
67. - GoPro Inc. common stock					Buy (add'l)	11/10/14	K		
68. - GoPro Inc. common stock					Buy (add'l)	11/11/14	K		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

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Gale, Joseph H.

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69. - GoPro Inc. common stock					Sold (part)	11/12/14	K	D	
70. - GoPro Inc. common stock					Buy (add'l)	11/13/14	K		
71. - GoPro Inc. common stock					Sold (part)	11/14/14	K	A	
72. - GoPro Inc. common stock					Buy (add'l)	11/20/14	K		
73. - GoPro Inc. common stock					Buy (add'l)	11/26/14	K		
74. - GoPro Inc. common stock					Buy (add'l)	12/01/14	K		
75. - GoPro Inc. common stock					Sold	12/09/14	M	A	
76. - GoPro Inc. common stock					Buy	12/11/14	M		
77. - Idenix Pharmaceuticals common stock					Sold	06/09/14	K	E	
78. - Verizon Communications common stock					Sold	10/15/14	L	E	
79. - Vertex Pharmaceuticals common stock					Sold	09/25/14	M	G	
80. - Vertex Pharmaceuticals common stock					Buy	10/09/14	L		
81. - Vertex Pharmaceuticals common stock					Sold	10/15/14	L	A	
82. - Vertex Pharmaceuticals common stock					Buy	10/17/14	M		
83. - Vertex Pharmaceuticals common stock					Sold (part)	11/19/14	L	D	
84. - Twitter, Inc.					Buy	02/07/14	J		
85. - Twitter, Inc.					Buy (add'l)	10/28/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Gale, Joseph H.

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86. - Twitter, Inc.					Buy (add'l)	11/11/14	J		
87. - Twitter, Inc.					Sold (part)	11/12/14	J	A	
88. - Twitter, Inc.					Buy (add'l)	11/14/14	J		
89. - Twitter, Inc.					Buy (add'l)	11/17/14	L		
90. - Twitter, Inc.					Buy (add'l)	11/18/14	K		
91. - Twitter, Inc.					Buy (add'l)	11/19/14	K		
92. - Twitter, Inc.					Sold (part)	11/26/14	M	B	
93. - Twitter, Inc.					Buy (add'l)	12/01/14	K		
94. - Twitter, Inc.					Buy (add'l)	12/02/14	K		
95. - Twitter, Inc.					Sold	12/09/14	L	A	
96. - Starbucks Corp.					Buy	10/31/14	K		
97. - Starbucks Corp.					Sold	11/11/14	K	A	
98. - Tesla Motors					Buy	09/03/14	J		
99. - Tesla Motors					Buy (add'l)	11/07/14	K		
100. - Tesla Motors					Sold	12/09/14	K	A	
101. - Chevron Corp.					Buy	11/28/14	L		
102. - Chevron Corp.					Sold	12/01/14	L	A	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 13

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103. Century Link Inc. common stock	A	Dividend	J	T					
104. AT&T Inc. New common stock	A	Dividend			Sold	10/15/14	J	A	
105. Altria Group Inc. common stock	A	Dividend	K	T					
106. Amer Electric Pwr Co. Inc. common stock	A	Dividend	J	T					
107. BB&T Corp. common stock	A	Dividend	K	T					
108. Bristol-Myers Squibb Co. common stock	A	Dividend	J	T					
109. Coca Cola Company common stock	A	Dividend			Sold	11/20/14	K	C	
110. Dominion Res Inc. VA New common stock	A	Dividend			Sold	07/03/14	K	C	
111. Frontier Communications (X)	A	Dividend	J	T					See note sec. VIII.
112. Gilead Sciences Inc.		None	K	T	Buy	12/22/14	K		
113. GoPro Inc.		None	K	T	Buy	11/20/14	K		
114. Imperial TOB Gp PLC ADRF common stock	A	Dividend	J	T					
115. Kraft Foods Group common stock	A	Dividend	J	T					
116. Medtronic Inc. common stock	A	Dividend	J	T					
117. Mondelez Int'l Inc. CLA common stock	A	Dividend	J	T					
118. Norfolk Southern Corp. common stock	B	Dividend	L	T					
119. Pepco Holdings Inc. common stock	A	Dividend			Sold	06/18/14	J	C	

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FINANCIAL DISCLOSURE REPORT

Page 11 of 13

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Philip Morris Int'l Inc. common stock	B	Dividend	K	T					
121. Reynolds American Inc. common stock	A	Dividend	J	T					
122. Sprint Corp common stock		None	J	T					
123. Suntrust Banks Inc. common stock	A	Dividend	J	T					
124. Verizon Communications common stock	B	Dividend	K	T					
125. Windstream Hldgs Inc. common stock	A	Dividend	J	T					
126. Invesco High Yield Fund Class A mutual fund	A	Dividend	J	T					
127. Invesco U.S. Mortgage Fund mutual fund	A	Dividend			Sold	08/21/14	J	A	
128. MFS VA Muni Bond Fund Class A mutual fund	A	Dividend			Sold	08/22/14	K	A	
129. American Fund Europacific mutual fund	A	Dividend	J	T					
130. American Fund Income Fund mutual fund	A	Dividend	J	T					
131. Keysight Technologies commonstock		None	J	T	Spinoff (from line 33)	11/01/14	J	A	See note sec. VIII.
132. Insured Municipal Income Trust Series #486 unit trust (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Gale, Joseph H.

Date of Report

08/25/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII. Investments and Trusts

1. Mutual Fund.

111. Below reporting thresholds for 2013 Report.

131. Spinoff from Agilent Technologies, Inc. common stock.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Gale, Joseph H.

Date of Report

08/25/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joseph H. Gale**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544